# URBAN MICROCLIMATE ANALYSIS FOR HIGH PERFORMANCE OFFICE BUILDINGS

BY

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# ABSTRACT

This research explored whether urban microclimate analysis has significant impacts on high-performance office buildings. It studied the effects of detailed three-dimensional urban microclimate modelling on building performance simulation. The feasibility and necessity of developing an urban microclimate simulation system were explored.

Currently, individual parameters of urban microclimate are modelled by individual programs. However, there was no individual software that could model airflow, Urban Heat Island (UHI) effects and building energy performance at the same time. A simulation system made it possible to model these features of urban microclimate together. Apart from the reliability of programs, accessibility and compatibility were also important for building a simulation system. The goal of this research was to determine the relative scale of the likely microclimate impacts on energy performance, not to present a system that made a precise estimate of these effects in combination. The scale of the variations of results due to changes of urban microclimate parameters were more significant than the values of the results themselves. This is because the focus of the research was on determining to what degree each parameter made a difference in the building performance. The goal was to determine whether it is necessary to model every urban microclimate parameter when their individual effects are combined.

The parameters of urban microclimates included horizontal parameters like urban wind and UHI, and vertical parameters like lapse rate, urban boundary layer. In this research, the urban microclimate was modelled in three dimensions, but the process of urban microclimate modelling was time-consuming. This leaded to one of the central questions of the thesis: is there value in the time spent? How big is the scale of the influence of urban microclimate detailed modelling on the prediction of building performance? Is it worthwhile to model three-dimensional urban microclimates? When there is not enough time to calibrate all parameters, what are the parameters' priorities?

A prototypical high-rise office building was modelled based on the data about high-rise office buildings in London. Firstly, the effects of the horizontal parameters were explored. The UHI has larger effects than urban wind. Secondly, the significance of vertical parameters was also explored. At a lower floor, the influence of the wind speed

exponent and the boundary layer thickness on building performance simulation is bigger than that of the air temperature gradient coefficient. However, at a higher floor, the influence of the air temperature gradient coefficient is bigger. Finally, a multilayer modelling method was developed to explore the inconsistent vertical variations. The multilayer model consists of the portion in the Urban Canopy Layer (UCL) and the portion in the Urban Boundary Layer (UBL). The effects of vertical variations increase with the distance between the studied height and the UCL height.

The feasibility and necessity of developing the simulation system of urban microclimate detailed modelling were demonstrated in the climate of London. In different climates, is it still necessary? The effects of urban microclimate detailed modelling on windy, continental, and tropical climates were also studied.

The necessity of urban microclimate detailed modelling has been demonstrated because the combined effects produced around -25% change in London's climate and Wellington' climate at most. In Beijing's climate the change was around -6% and in Singapore's climate was 2.2% at most. The UHI has a big impact in moderate and continental climates. In a continental climate, there is a big difference in the monthly thermal load prediction. It helps engineers optimize the design of heating in winter and cooling in summer. The effects of urban wind in a windy climate are bigger than those in other cities. The precision of vertical variations has very limited influence, especially in the tropical climate. The air temperature gradient in a tropical climate changed thermal load prediction a lot. The parameters' priorities in different climates are different. There is no consistent pattern of one factor being less important than the others across all these climates. Therefore, to model the thermal performance of tall buildings in dense urban environments it is necessary to develop a simulation system that can model the Urban Heat Island, and the differences in 3D of variations of temperature, sun and wind within and above the Urban Canopy Layer. Finally, from the one case study examined, modelling urban microclimate in detail is more important for natural ventilation systems than for HVAC systems.

Overall, the simulation system of urban microclimate modelling was developed gradually. It is necessary to develop the simulation system to approach a real urban circumstance. The accuracy of the detailed urban microclimate model depends on the engineers' requirements. The priority of urban microclimate parameters depends on climatic features.

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# LIST OF SYMBOLS AND ABBREVIATIONS

0	Degrees
°C	Degrees Celsius
AIJ	Architectural Institute of Japan
CDH	Cooling Degree Hours
CFD	Computational fluid dynamics
GIS	Geographic Information Systems
GUI	Graphical User Interface
HDD	Heating Degree Days
HV	Height variations
HVAC	Heating, Ventilation and Air-conditioning
H/W	Height-to-width ratio
K	Degree Kelvin
K/m	Degree Kelvin change per metre
kg/m <sup>3</sup>	Kilograms per cubic meter
km	Kilometre
km <sup>2</sup>	Square Kilometre
kWh	kiloWatt-hours
kWh/m <sup>2</sup>	kiloWatt-hours per square metre
m	Metre

$m^2$	Square Metre
m <sup>3</sup>	Cubic meter
m <sup>3</sup> /s	Metres cubed per second
mm	Millimetre
m/s	Metre per second
NV	Natural Ventilation
UBL	Urban boundary-layer
UCL	Urban canopy-layer
UHI	Urban heat island
UWG	Urban Weather Generator
VAV	Variable Air Volume
VPL	Visual Programming Language
W	Watt
W/m <sup>2</sup>	Watts per square metre of floor area
WWR	Window to Wall Ratio

# CHAPTER 1. INTRODUCTION

Urban microclimates may or may not have significant impacts on high-performance office buildings (Rohinton Emmanuel & Steemers, 2018). In exploring the relationship between the urban environment and its potential to affect the performance of office buildings, it is necessary to examine whether the effect of microclimates is significant. This thesis addressed how to develop a simulation system to explore this question. It examined the impacts of microclimates in urban areas on the performance of high-rise office buildings. It investigated whether or not the impacts could make a significant change to the energy use and indoor thermal comfort of high performance office buildings. In addition, according to the change brought by urban microclimate, technical solutions for building performance optimization were proposed. The research had three Phases:

Phase A – Review urban microclimates and modelling techniques.

Phase B – Develop a trustworthy simulation system to model the effect of urban microclimates on building performance.

Phase C – Analyse the impacts of urban microclimates on high-rise office buildings

The first Phase (A) studied the features of urban microclimates, which might impact the energy consumption and thermal comfort of office buildings. This study focused on not only horizontal differences between urban and rural microclimates but also vertical variations of urban microclimates. Several simulation tools were used to model threedimensional urban microclimates individually (Gracik et al., 2015). A range of urban microclimate modelling tools were evaluated and validated.

The second Phase (B) developed a simulation system, using simulation tools to model the features urban microclimates in Phase A. The effects of urban microclimate detailed modelling on building performance simulation were analysed. In this study, a typical high-rise office building was used as a case study. This was based on data from the tallest office buildings in London. Several features of urban microclimate effects on building performance simulation were also explored.

The third Phase (C) was the application of the system to test the significance of urban microclimate modelling and assess its impacts on building performance simulation. A

series of simulations were conducted to verify the impacts of urban microclimate modelling in different climates. It concluded with an assessment of the necessity of urban microclimate detailed modelling for building performance simulation.

### 1.1 Problem Statement – current building performance evaluation

"Building performance simulation in urban areas mostly relies on regional climate data which were typically collected from suburban or rural areas such as nearby airports" (Wilcox & Marion, 2008).

Simulation of building thermal performance using a digital computer has been an active area of investigation since the 1960s, with much of the early work focusing on energy analysis. Over time, the simulation domain has grown more productive and more integrated, with tools available for integrating simulation of heat and mass transfer in the building fabric, airflow in and through the building, daylighting, and a vast array of system types and components (Hensen & Lamberts, 2012).

Building performance simulation in urban areas mostly relies on regional climate data, which is typically collected from suburban or rural areas such as nearby airports (Wilcox & Marion, 2008). That is mostly a result of the requirements in climate record standards for a large grass area under the climate measurement station and operational requirements for airports. There have been numerous studies confirming the general suspicion that the modelled performance of buildings is not accurate when it is based on weather data from somewhere else other than their actual dense urban location (Kotthaus & Grimmond, 2014; Wong et al., 2016).

However, urban weather data was not as readily available as rural weather data. Measured urban weather data could only ever represent the particular combination of roads, buildings, trees and plants of its specific neighbourhood. Therefore, for generality, it is necessary to look to model the effects of these parameters starting from the generalisable open country data.

Currently, Computational Fluid Dynamics (CFD) programs could model urban winds and other programs could model Urban Heat Island (UHI) effects. However, all these programs were independent. Information about urban microclimates was fragmented and incomplete. There was very little research linking up individual programs for building an urban microclimate simulation system. No research was found modelling the features of urban microclimates together.

Only reliable input gives a reliable output. It is crucial to model urban microclimates in detail. Some previous research modelled two-dimensional urban microclimate but not three-dimensional. They focused on the microclimate differences in the horizontal direction, between urban and rural areas (R. Emmanuel et al., 2007; Street et al., 2013). Vertical variations of urban microclimates and the effects of vertical variations were not included. As temperature and wind speeds are known to vary with height in the open country (Lawrence C. Nkemdirim, 1979) and large buildings create complex three-dimensional airflows when interacting with the wind, it is important to understand how the vertical variations of urban microclimates affect building performance simulation. Is the influence significant enough to be worth modelling? When taking it into detail, the influence of the surrounding microclimate caused by building height variation? Is it significant enough to affect its HVAC system or natural ventilation design? Hence, the problem is the feasibility and necessity of developing a simulation system to model the three-dimensional urban microclimate in detail.

#### 1.1.1 Current simulation tools and method

In general, it could be assumed that the closer urban microclimate modelling is to reality, the more likely it is that building performance simulation results will be accurate. However, currently no individual program could model all urban microclimate features at the same time. Compatibility between programs that model urban environments and those that model buildings was also an issue. Hence, the first and most crucial problem was taking appropriate tools to model urban microclimates and build a simulation system.

Currently, urban wind is generally modelled by Computational Fluid Dynamics (CFD) programs, and there are several CFD programs that focus on modelling the built environment, like OpenFOAM, Autodesk CFD and UrbaWind (Section 3.3.1.1.2). In different industries, people use different CFD programs. There are several programs focused on the built environment that can model Urban Heat Island (UHI) effect as well, like Urban Weather Generator (UWG) and ENVI-met (Section 3.3.1.1.1). There are many individual programs available for urban microclimate modelling (Bueno et al.,

2013; J. Li, Delmas, et al., 2018; Nazarian & Kleissl, 2015; Subramania Pillai & Yoshie, 2012; Y. Wang et al., 2015). The problems are which modelling program is appropriate and how to put the individual programs together and develop a reliable urban microclimate simulation system.

In this research, developing the urban microclimate simulation system consisted of two parts. The first part was the urban microclimate modelling, and the second part was the building performance simulation. Urban microclimate modelling mainly consisted of CFD simulation and UHI simulation. Some of the CFD programs could do very complex simulation. However, the research was not looking for the most potent functional CFD program in this research. What the research was looking for was a compatible and functional one which could be connected with other programs. This research was not about individual modelling. It was about establishing a simulation system to model three-dimensional urban microclimate in detail. Also, the compatibility of UHI modelling programs with building performance calculations got priority in this research.

The feature of compatibility was a special request for this simulation system. Apart from the compatibility, other standard features like availability, operability and reliability were also crucial for the simulation system development. To develop a reliable simulation system for exploring the significance of urban microclimate detailed modelling, it is necessary initially to verify the reliability of relevant individual programs. Only appropriate and reliable individual programs can be linked up by a platform for building an urban microclimate simulation system.

# 1.1.2 Quality assurance and level of precision

Quality assurance and accuracy of results are very significant and ongoing issues for simulation. Simulation results are not based on real data collected from the operating buildings. They come from the simulation algorithm. The reliability of the outputs is determined by the reliability of modelling inputs and simulation tools.

In Satwiko et al.'s paper, a question about the accuracy and precision of CFD simulation "how close is close enough?" is proposed (Satwiko et al., 1998). The answer to this question is significant for all CFD related research. If the simulation results match with reality 100%, there is no doubt that the modelling is successful. However, if the results

don't match with reality, how big of a difference would mean failure? What is the acceptable deviation?

According to some research, a relative error within 10% is "acceptable" for the majority of studies (Calis et al., 2001; Gowreesunker & Tassou, 2013; Gromke & Blocken, 2015; Montazeri et al., 2015; Yoshie et al., 2007). Some of them may be bigger than this value, and some of them may be smaller than this value. A smaller relative error means better agreement. However, it is unrealistic to expect a full match of simulation results with experimental data. A minor deviation between simulation predictions and the measurement data may be accepted (Satwiko et al., 1998). A minor deviation is acceptable if it does not affect design strategies, but unacceptable if it does.

This research explored how to model urban microclimate in detail and predict building performance. Several parameters of urban microclimates were modelled, but the importance of each parameter was different. The precision of urban microclimate detailed modelling depended on how big differences it made in the building performance prediction.

# 1.2 Research Question, Objective and Scope

This research aimed to develop a simulation system to model urban microclimate in detail and explore whether urban microclimate detailed modelling has significant impacts on high-performance office buildings.

In order to address this research question, two goals needed to be achieved:

1) Build a simulation system that can be used to report the likely impact of microclimates;

2) Make an estimate of the likely scale of the microclimate effects, based on annual simulations and accounting for the three-dimensional effects of urban environments on wind and temperature.

The feasibility and necessity of developing a simulation system was discussed. This research also explored the relationship between urban microclimates and high-performance office buildings taking height variations into account. The following works represented the research scope of this research.

• High-rise Office Buildings.

- Wind Flow, Boundary Layer, Urban Heat Island, Lapse Rate (temperature gradient).
- Energy Consumption, Thermal Comfort, Natural Ventilation.

"The evidence suggests it is likely – although not proven – that much of the increase in energy use with height is due to the greater exposure of taller buildings to lower temperatures, stronger winds and more solar gains" (Godoy-Shimizu et al., 2018). The intensity of the urban microclimates in a large-scale city is larger. Therefore, the simulation results are clearer. The same goes for a high-rise office building. Only if the building is high enough can vertical variations of urban microclimates be revealed. The scope of the project is nearly zero-energy office buildings in large-scale cities.

### 1.2.1 High-rise office buildings

The increasing rate of urbanisation in recent decades has accelerated the construction of high-rise buildings all over the world. According to the annual review of tall buildings by the Council on Tall Buildings and Urban Habitat (CTBUH), 128 buildings taller than 200 m lower than 300 m were completed in 2016, with 84% of completions being in Asia and 66% in China (CTBUH Journal Tall Buildings in Numbers, 2018). The 2019 Tall Building Year in Review shows 126 buildings of at least 200 meters were completed in 2019 (2019 Tall Building Year in Review, 2019). In Figure 1, the year of 2019 recorded 26 "supertall" buildings of 300 meters or greater height, more than any year previously.

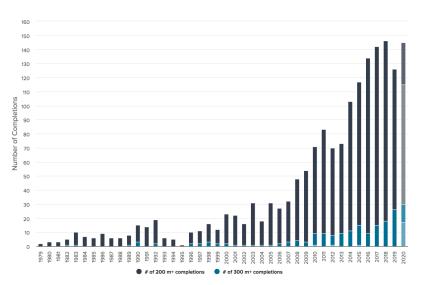


Figure 1: Number of completions of 200-meter-plus and 300-meter-plus tall buildings during the preceding year (2020 data is provisional) (2019 Tall Building Year in Review, 2019)

In the past two decades or so, the race for constructing the tallest has been extended to include the contest for constructing the most iconic and spectacular high-rise buildings. They were often characterised by complex geometries and leaning/twisting forms (Tall Buildings Past and Present Trends, 2018). Figure 2, a graph of the timeline and height of tall buildings, shows the increase of tall buildings' height over time.

The scope of this project is tall buildings, in particular high-rise office buildings, because empirical data suggests tall leads to worse energy performance (Godoy-Shimizu et al., 2018). Therefore, the wind's turbulent boundary layer and the lapse rate decrease of temperature with height become important to model. For low-rise buildings, the increase of wind speeds and the decrease of temperature from elevation are too small to be significant. When a building is tall enough, it is possible to explore the effects of vertical variations of urban microclimates on building performance.

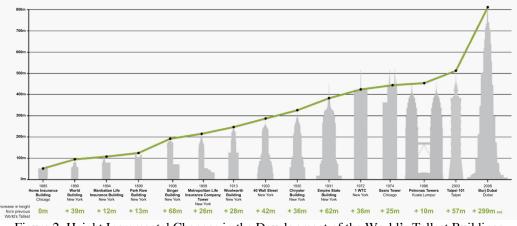


Figure 2: Height Incremental Changes in the Development of the World's Tallest Buildings Historically (CTBUH Journal Tall Buildings in Numbers, 2018)

### 1.2.2 Urban microclimates

Currently, most urban building performance simulations are based on rural weather data. While simulation programs can predict the building performance in rural microclimates, whether or not they are capable to model the complex urban microclimates needs to be demonstrated. The main features of the urban microclimate discussed in this study were the Urban Heat Island (UHI) effects and Lapse Rate, urban winds and the Urban Boundary Layer (UBL), because these temperature and wind related features affect the building performance simulation. These urban features change with height. Wind pressure coefficients and temperature on the façade of the high-rise office buildings on different floors lead to different natural ventilation performance.

#### **1.2.3 Building performance**

Urban life gives citizens a convenient way of living. However, citizens have to face the problem of disconnection with the natural world, which UNDESA argues is likely to lead to various physical and mental disorders (Modak et al., 2012). The disconnection with the natural world is much more obvious in office buildings with air conditioning systems. Natural ventilation can weaken the disconnection, reduce building energy consumption and improve indoor air quality and thermal comfort level (B. Wang & Malkawi, 2019). The focus on recommendations for increased fresh outdoor air delivery resulting from the COVID-19 pandemic, is likely to lead to a greater interest in future in developing natural ventilation options for tall buildings. This project looked to build on simulation data to explore how to develop natural ventilation by utilizing a prototypical high-rise office building model. The reason for modelling an office building is that currently office buildings rely on air conditioning, while natural ventilation can improve internal comfort and save energy for office buildings (B. Wang & Malkawi, 2019).

### 1.3 Significance of the Research

Currently, individual parameters of urban microclimate are studied in some papers. No research was found modelling the features of urban microclimates together. The principal goal of this research is to quantify the magnitude of the difference in building thermal performance simulations when the urban microclimate is modelled as threedimensional compared to the conventional two-dimensional model. Three-dimensional urban microclimate is more complex and closer to reality. The simulation system makes it possible to model urban microclimate more accurately. Modelling urban microclimate in detail influences the prediction of building performance, and the prediction of building performance influences the capacity design of building equipment. Underestimated building performance results in undersized building equipment and a lack of thermal comfort. Overestimated building performance for a high-rise office building is helpful for engineers to optimize design and improve performance.

The standard two-dimensional climate model associated with UHI is ground-level information. The climate data include the temperatures, relative humidity and solar radiation measured at 2 m from the ground, and wind speed recorded at 10 m height

every hour in a year (LBNL, 2018). The climate data is based on a typical meteorological year.

Wind speeds increase with height, so the wind speed at the top of a high-rise building is much higher than that at the bottom. This growth rate of the wind speed is much bigger in the open country than in the city due to the drag from taller buildings. Besides, the complex interaction between buildings and urban winds, leads to downdraughts into the street and channelling between buildings, making the simplistic general equations relating to height and wind speed inaccurate. This imprecision in our understanding of the variation of wind speed with height has a direct effect on a) surface heat transfer coefficients; b) wind pressures affecting infiltration; c) wind pressures affecting the potential for natural ventilation.

In dense urban areas, the maximum temperature difference between the rural temperatures and those in the centre of a UHI could be as much as 6 to 12°C, depending on the density and size of a city (Oke, 1973). UHI is traditionally identified from the air temperatures which are at height of 2 m above the ground surface (Hafner & Kidder, 1999). This research examines the effect of height on temperatures, and hence on the performance of buildings subjected to the temperature variations. Does the conventional lapse rate of a decrease in temperature of 0.65°C per 100 m elevation experienced in the open country (Brunt, 1933) apply in the middle of a dense urban environment?

It is important to understand how the increase of wind speed and decrease of temperature with height influence the building performance prediction at different height. According to the influence of vertical variations of urban microclimate, engineers can take different strategies at different floors.

#### 1.3.1 Benefits of detailed urban microclimate modelling

From 1950 to 2018, the proportion of the world population living in urban areas increased from around 30% to 55%. By 2050, the proportion of the world population living in urban areas is predicted to rise to 68% (United Nations Department of Economic and Social Affairs, 2018). The revision also shows there will be another 2.5 billion people moving from rural to urban areas, taking the overall growth of the world's population into account.

Because of the Urban Heat Island (UHI) phenomenon, urban microclimates are different from rural microclimates. The phenomenon of higher temperatures in urban areas than in rural areas relates to dense urban development over a wide area, including massive high-rise buildings in cities. At the same time, the convenient way of living costs lots of energy, and the enormous energy gives out heat. Given the number of high-rise buildings has increased dramatically during the last 20 years (Figure 1), it is essential to better understand the urbanization impacts on urban microclimates and predict high-rise building performance. If thermal comfort and energy consumption can be predicted before the urban building construction has been completed, the thermal discomfort and waste of energy caused by poorly designed buildings can be avoided effectively. Accurate urban microclimate modelling makes it feasible to propose technical solutions for building performance optimization.

### 1.3.1.1 Urban microclimate modelling

Knowledge about urban microclimate modelling is the essence of accurate microclimate data. Furthermore, accurate microclimate data is significant for building performance simulation. Also, urban microclimate data is beneficial for indoor and outdoor thermal comfort assessment. However, urban microclimate measurement data is not readily available. Rural climate data from weather stations are accessible. Urban microclimate data can be estimated by numerical urban microclimate simulation. The more important thing is that numerical simulation can give three-dimensional climate data. The use of vertical climate data makes it feasible to study the impact of height on building performance in urban areas.

# 1.3.1.2 Definition of accurate - how close is close enough

A simulation system was developed to model urban microclimate, which was based on rural weather data. From rural areas to urban areas, the urban microclimate model is getting close to reality. At first urban winds were taken into account, then UHI, wind speed profile, lapse rate and Urban Canopy Layer. The process of system improvement was advanced gradually. Hence, the effects of each element could be evaluated, and the significance of each element could be compared as well.

Without routinely performing calibration of modelling programs, it would always possible to doubt the simulation results (Satwiko et al., 1998). Even though getting closer to reality means getting closer to real results, it is not necessary to model a 100%

perfect urban microclimate, because the last 10% maybe produces only 1% difference. For example, the urban wind and UHI may have significant impacts on building performance simulation. However, the height of Urban Canopy Layer may not. Hence, the matter of how close is close enough was discussed. ASHRAE Guideline 14 explained the determination of uncertainty. Extensive information was provided regarding the calculation of uncertainty, such as model prediction uncertainty. Generally, there are two ways to improve the accuracy of simulation. One is by reducing biases and the other is by reducing random errors. Better information, like measured values not assumed or stipulated values, can reduce biases (Guideline, 2002). Consideration should be given to control the factors creating uncertainty, and the level of precision can be improved.

This research used a real city, London, as a case study. The simulation system was developed based on the climatic condition in London. Then it examined whether the case might be specific to that climate. Can the simulation system be applied to other climates? If detailed modelling of urban microclimates in different climates is worthwhile, then it is feasible to give engineers suggestions to improve the building performance. If the parameters of the urban microclimate model have different priority under different climatic conditions, it is feasible to adjust the model accuracy and optimize the model according to the requirements of the engineer.

#### **1.3.2** Benefits of high-performance office buildings

#### 1.3.2.1 Definition of high-performance

"High performance buildings are defined as those that minimize resource consumption during construction, and over their life, and provide healthy and productive environments for occupants through the application of "sustainable" or "green" principles" (Riley et al., 2004).

The attributes of high-performance buildings include energy efficiency, durability, lifecycle performance, and occupant productivity (Act, 2005). The operation cost of the lifecycle of a building is approximate 60% to 85% of the total lifecycle cost (Christian & Pandeya, 1997). As the operation phase of a building is cost intensive, Angela Lewis, David Riley and Abbas Elmualim defined high performance buildings by their operation and maintenance (Lewis et al., 2010). They defined operation as services to keep systems and equipment operating as designed, and maintenance as services to restore systems and equipment to design conditions. The building systems and equipment include Heating, Ventilating and Air-Conditioning (HVAC) systems, Building Automation Systems (BAS), lighting, renewable energy technologies and software that support these systems (Lewis et al., 2010).

In this research, the fundamental question is what is the scale of the effect of microclimates on the likely performance of buildings. The buildings were designed to be nearly zero-energy while delivering the highest quality indoor environment. The attributes of high-performance buildings that can be influenced by surrounding microclimates are the operation phase of lifecycle performance, which is related to HVAC systems and natural ventilation systems. Utilization of natural ventilation systems and coordination with HVAC systems can reduce energy consumption and improve building performance. A simplified natural and a detailed natural ventilation were explored. How these two natural ventilation systems improve the building performance would be discussed.

### **1.4 Thesis Structure and Chapter Summary**

#### Chapter 1: Introduction

Chapter 1 introduces the topic and the reason why the research is essential. It outlines the research question, objective and scope.

Chapter 2: Urban Microclimate, Modelling Technique, Building performance

Chapter 2 outlines the context of high-performance office buildings, urban microclimate features, and modelling techniques. It also presents what has been done and what needs to be done based on a literature review.

#### Chapter 3: Methodology

Chapter 3 addresses the methodology of this research. It explains the 3 stages of the research methodology, the preparation stage, the system development stage and analysis stage. It also describes in detail each step of the method and outlines the following research processes.

Chapter 4: Individual modelling programs reliability verification

Chapter 4 demonstrates the simulation programs reliability and prepares for chapter 5. In this chapter, Urban Weather Generator, OpenFOAM and EnergyPlus are tested through comparing predictions with experimental data.

Chapter 5: A representative sample of a prototypical office building in a real city

Chapter 5 begins with developing a prototypical office building and modelling it in the urban microclimate of London. From this case study, this chapter presents the process of gathering individual programs.

Chapter 6: Vertical variation effects on building performance and natural ventilation

Chapter 6 develops the urban microclimate detailed model based on chapter 5. It explores vertical variations of urban microclimate features effects on building performance. The significance of each parameter at different heights is discussed, and the necessity of vertical urban microclimate modelling is demonstrated.

Chapter 7: Simulation system

According to chapter 6, vertical variations of urban microclimates are not constant. Chapter 7 develops a multilayer modelling method. The necessity of wind pressure coefficients modelling is demonstrated. The natural ventilation system with detailed wind pressure data relates to the microclimate around the prototypical building. The urban microclimate effects on ventilation systems using a multilayer modelling method are explored. The simulation system of urban microclimate detailed modelling is developed gradually in Chapter 5, Chapter 6 and Chapter 7.

Chapter 8: Effects of different climates

Chapter 8 demonstrates that urban microclimate detailed modelling has significant impacts on building performance. The necessity of developing a simulation system in different climates is explored. The impacts of different urban microclimate features in different climates are compared with each other. The priority of those features in different climates are also explored. This proves the necessity of developing a simulation system in different climates.

#### **Chapter 9: Conclusions**

Chapter 9 summarises the conclusions of the research and determines whether the research question and objectives have been met.

# CHAPTER 2. URBAN MICROCLIMATE, MODELLING TECHNIQUE, BUILDING PERFORMANCE

### 2.1 Chapter Intent

"The quality of life of millions of people living in cities can be improved if the factors that affect the urban microclimate are understood and the form of the built environment responds to them in an appropriate way" (Erell et al., 2012).

This chapter provides an overview of the characteristics of urban microclimate and the modelling techniques for urban microclimate and building performance. It is based on a systematic literature review. It helps to understand the interaction of urban microclimate and high-rise office buildings. A systematic literature review was conducted to establish the level of documented evidence for the relationship between UHI depth, temperature lapse rates and building interaction with the turbulent wind boundary layer (J. Li & Donn, 2017). The goal is to establish a basis upon which naturally ventilated tall buildings within dense urban environments might be modelled in detail. If a new large-scale tower is placed amongst a large city of low-rise buildings, the effect of the new building on the surrounding microclimate is problematic. This chapter explores what the literature can tell us on this subject. The problem for the simulationist is establishing the appropriate microclimate 'correction'. The height of the new building affects urban wind flow significantly. The temperature lapse rate can be significant in buildings of 30 storeys or more. The effect of the significant lapse rate and the altered wind flows on the building performance are less well-known (J. Li, Donn, et al., 2018).

### 2.2 Urban Microclimate

When simulating the energy performance of a building in urban areas, understanding how urban microclimates affect building performance simulation is critical. In general, an urban microclimate is different from a rural environment. The urban area modifies the climate because of the effects of urban structures and layout. In dense urban areas, the temperature is usually warmer and the winds slower than those in rural areas. Larger urban areas respond with a bigger modification. Compared with rural areas, Table 1 shows that urban areas have less radiation, higher temperature, slower wind speed, less humidity, more precipitation and more cloudiness.

Factor		Comparison with rural environments
Radiation	Global	2–10% less
	Ultraviolet, winter	30% less
	Ultraviolet, summer	5% less
	Sunshine duration	5–15% less
Temperature	Annual mean	1°C more
	Sunshine days	2–6°C more
	Greatest difference at night	11°C more
	Winter maximum	1.5°C more
	Frost-free season	2–3 weeks more
Wind speed	Annual mean	10-20% less
	Gusts	10-20% less
	Calms	5–20% more
Relative humidity	Winter	2% less
	Summer	8-10% less
Precipitation	Total	5–30% more
	Number of rain days	10% more
	Snow days	14% less
Cloudiness	Cover	5–10% more
	Fog, winter	100% more
	Fog, summer	30% more
	Condensation nuclei	10 times more
	Gases	5–25 times more

Table 1: Comparison between urban microclimate and rural environments (Nagle & Cooke, 2017)

### 2.2.1 Wind flow and Boundary Layer

Because there are fewer barriers on the ground, wind speeds are higher in rural areas at ground level. However, at low altitudes, buildings in urban areas produce more friction and slow down winds. That means at the same height below the urban canopy urban areas have slower wind speeds than their surrounding rural areas. At a higher altitude, wind speeds are the same no matter in urban areas or rural areas, because there are no barriers and no impacts from the barriers in both urban areas and rural areas at a high enough height. In the Urban Canopy Layer, the shape and array of buildings affect the airflow movement, which makes urban winds different from rural winds. The increase

of the site coverage ratio decreases the pedestrian-level natural ventilation performance (Yuan, 2018).

Figure 3 shows that in the atmospheric boundary layer, wind speed increases with height. Because the roughness value in urban areas is much higher than that in rural areas, the thickness of the boundary layer in urban areas, also known as the Urban Boundary Layer (UBL), is higher than that in rural areas. The dashed line means the same wind speed.

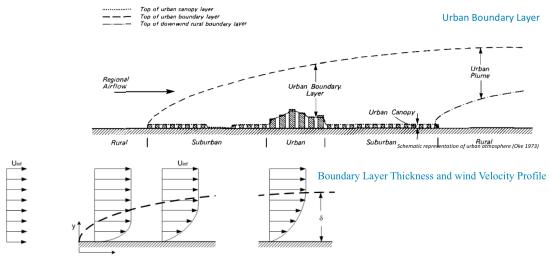


Figure 3: Diagram of Urban Boundary Layer and Urban Canopy (Oke, 1976)

The UBL relates to the height of buildings in the city. Varying heights of buildings makes the urban canopy uneven. From the ground level to the top of buildings is the lowest part of the urban atmosphere, Urban Canopy Layer (UCL) (Erell et al., 2012). UCL is a surface assembled by all roofs of urban buildings (Figure 3). Under the UCL, there is a unique urban microclimate. On a small scale, from point to point within the UCL, the shape and spacing of buildings or groups of buildings vary widely, which means a relatively high level of the inherent heterogeneity of UCL. Due to the heterogeneity of UCL, the winds around buildings in urban areas are highly variable.

In a central area of a city, the behaviour of wind is far more complex and variable. An individual high-rise building affects the movement of air radically. From the windward side, the airflow streams down from the surface of buildings and over the top. Behind the building, the reverse flow leads to turbulence. The behaviour of winds around a single block is relatively independent, and winds behave similarly where buildings are widely spaced. However, when buildings are close together, airflow from multiple buildings can interfere with each other. When buildings are packed close enough, the wind blows over the top of buildings and there is less turbulence between buildings.

When high-rise buildings line a straight street, the airflow is squeezed, resulting in higher wind speeds.

The microclimate surrounding buildings in the UCL is extremely important for energy performance and thermal comfort. The building blocks also influence the airflow moving over and around. Modelling studies with smoke streams have shown that high-rise buildings have a strong effect on the intensity and direction of airflow (Erell et al., 2012). A high-rise building, located in lower-level blocks, generates airflow disturbance. Higher wind speeds and forces occur on the windward wall, and the wake area is near the leeward wall. The sharp corners of the building separate the airflow to form suction zones. The wind in the leeward zone near the ground is weaker and has lower velocities but higher turbulence. These airflow disturbances caused by the high-rise buildings also change the wind environment around them at different heights.

As the airflow passes through the city, the wind from rural areas changes the behaviour by contacting with the urban buildings. The wind in the Urban Boundary Layer (UBL) is significantly different from that at the same height in rural areas. The thickness of UBL increases with the increase of Urban Canopy Layer (UCL) height. Even in the same city, in different areas, the height of urban surface depends on the height of buildings in a particular area. If a new high-rise building is built, the surrounding UCL will also rise and the UBL in this location will change as well. There may be significant differences between urban-scale winds and regional winds.

### 2.2.2 Urban Heat Island

"The urban heat island is one of the most important manifestations of the urban climate, and has been the subject of much research since it was first described for the city of London by Luke Howard in 1818" (Erell et al., 2012).

Urban Heat Islands (UHI) have been associated with urbanization. It is a phenomenon that there is a substantial temperature difference between urban and rural areas of a city. The formation of the temperature difference between urban and rural areas reflects the effects of human activities. The rise of high-rise buildings in cities makes the topography of urban areas very different from that of rural areas. Besides, the leading cause of the urban heat island effect is from the modification of land surfaces (Solecki et al., 2005; US EPA, 2014). The air temperature increase is mainly from the heat storage in the building mass and urban ground surface (Yuan et al., 2020). In high-

density urban environments, as urban population grows, the wasted heat generated by energy usage also contributes to the temperature increase.

The maximum value of UHI is usually located near the densest area of a city. When the city is quite big and has more than one dense area, the values of a measurement location can only represent the corresponding UHI at that site. Besides, the definition of rural is rough, and which measurement location can accurately represent the rural area? In general, the conventional test sites for rural weather data are beside the airport, which is usually located far away from the urban core. The measured data is not only beneficial for the study of urban weather, but also useful for the operation of the airport. Data collected from urban and rural measurement sites are used to calculate the temperature difference, which is the UHI.

UHI is mainly caused by human activities. The intensity is related to the sum effect of human released energy, also solar heating in paved areas, such as lack of heat loss from evapotranspiration by plants. This means the size of the city and the population also affect the maximum temperature difference. The phenomenon is also affected by seasons and time of day. As cities have so many buildings that can store energy, the heating rate in the morning and cooling rate in the late afternoon are usually lower in urban areas than in rural areas. As a result, the temperature difference peaks two to three hours after sunset (Hewitt & Jackson, 2020). The increase of temperature difference is more correlated to cooling rates in rural areas than that in urban areas (Yang et al., 2019). The cooling rate of rural areas varies from one to the other because of geographic location and weather. Figure 4 shows the magnitudes of heat island at a different place of a city which is between -1K and 6K.

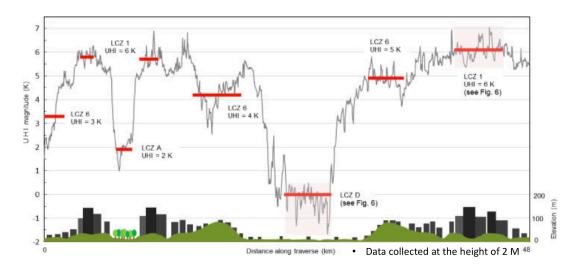


Figure 4: Schematic of Heat island magnitudes in urban, suburban and rural areas for Vancouver in 4<sup>th</sup> November 1999 (Stewart et al., 2014)

In densely populated urban areas, the maximum temperature difference between rural areas and the UHI central area can be as much as 6 to 12°C, depending on the size of the city (Oke, 1973). UHI is usually determined by the surface air temperatures of 2 meters high (Hafner & Kidder, 1999). But is the temperature difference between urban and rural regions at the height of 2 meters equal to the difference at 100 meters?

The intensity of UHI decreases with altitude. Taking the city of Calgary as an example, the intensity of UHI at the height of 10 m is 0.57 °C and decreases to 0.04 °C at the height of 300 m (Lawrence C. Nkemdirim, 1979).

The Urban Heat Island (UHI) effect, as a phenomenon of air temperature increase caused by urbanization, is not often considered in current building energy simulations (Bruno et al., 2012). One reason is that current thermal simulation practices are based on typical meteorological year (TMY) data or actual meteorological year (AMY) data. TMY data is not available for centre city locations because it is very difficult to establish a representative location in the complex heterogeneous environment of a city. The widely used TMY and AMY files originate from long-term weather data stations outside the urban area, usually at airports (Wilcox & Marion, 2008). Since building sites tend to be urban, the use of rural weather data causes deviations in performance metrics due to the UHI phenomenon (Arnfield, 2003).

#### 2.2.3 Lapse Rate

Lapse Rate is the atmospheric phenomenon of vertical variation of temperature in the troposphere. It varies from day to day, from place to place. The vertical temperature difference is related to the atmosphere pressure distribution (Hummel & Kuhn, 1981). The tropospheric pressure decreases with height, and atmospheric expansion cooling occurs with a decrease of pressure. So, the temperature decreases with height as well. In addition, the air is not completely dry, and condensation occurs in moist air. As the air rises from expansion cooling, the condensation releases some heat, thereby reducing the intensity of expansion cooling. When air expansion cooling dominates the temperature change, the temperature decreases with height.

Bokwa studied the differences in lapse rates of the near-ground air layer of 0.5-2 m in urban and non-urban areas (Bokwa, 2015). The temperature near the ground sometimes

decreases with height and sometimes rises with height. The lapse rate is much higher in urban areas than in the non-urban areas, especially in summer.

Unlike wind speed which increases with height, the lapse rate generally decreases with height. For example, in Lawrence's study, the lapse rates at the edge of Calgary city decrease from 0.034°C m-1 at 10 m to only 0.003°C m-1 at 300 m (Lawrence C. Nkemdirim, 1979).

According to previous observations, the average Lapse Rate is close to 0.65°C/100 m (Figure 5). Thus, the temperature outside the top floor of a 100 m high building is 0.65°C lower than that at the bottom. This decrease is the normal lapse rate. The Lapse Rate in densely populated urban areas is different from that in rural areas.

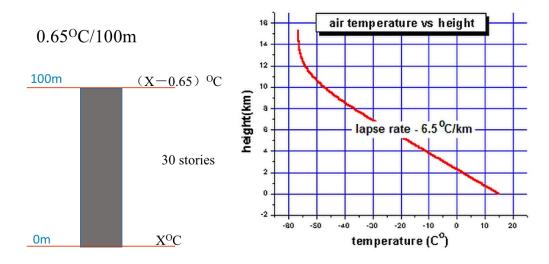


Figure 5: Diagram of lapse rate (Real Climate Science, n.d.)

### 2.3 Modelling Technique

This study aims to find a method for modelling three-dimensional urban microclimates, considering the phenomena of urban wind, Boundary Layer, Urban Heat Islands (UHI) and Lapse Rate. To achieve this main goal, this research proposes a method of using individual simulation programs for different purposes and reorganizing them through a platform to establish a simulation system.

The selected tools for modelling urban microclimate and assessing building performance need to:

 Be extensively used and available for users, such as researchers and design analysts, yet sufficiently comprehensive enough to get useful results

- Be extensible and alterable to meet the needs of a specific situation, and flexible enough to compile like open-source programs
- Be compatible so that they can be united with each other in the same operating environment and have the results linked to other programs

OpenFOAM (Section 2.3.1) is used for urban wind environment simulation, Urban Weather Generator (UWG) (Section 2.3.2) is used for the Urban Heat Island simulation, and EnergyPlus (Section 2.3.3) is used for building performance simulation.

- Verification of CFD prediction of urban winds with OpenFOAM
   Comparison with wind tunnel experiment
- Verification of Urban Heat Island effect prediction with Urban Weather Generator

Comparison with real UHI of a city

Verification of building performance prediction with EnergyPlus
 Comparison with real performance of a building

OpenFOAM, Urban Weather Generator (UWG) and EnergyPlus are the basic programs for developing an urban microclimate simulation system. These three programs have their corresponding plugins: Butterfly (Section 2.3.1), Dragonfly (Section 2.3.2), Ladybug and Honeybee (Section 2.3.3). Rhino is used for modelling three-dimensional buildings and it has a plugin named Grasshopper. Grasshopper is a Visual Programming Language (VPL) for the 3D modelling program Rhino. Butterfly, Dragonfly, Ladybug and Honeybee are user interfaces written in the VPL of grasshopper. Their biggest advantage is that they have a consistent interface style and modelling concept, making inter-operability easier (Figure 6).

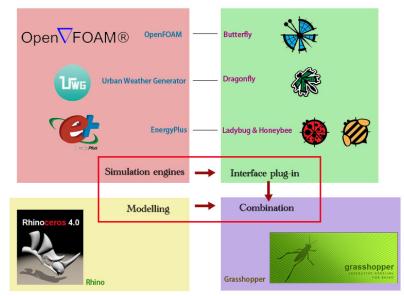
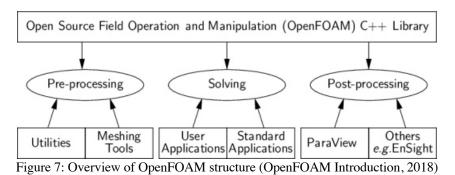


Figure 6: Flow diagram for the research

### 2.3.1 OpenFOAM and Butterfly plugin

OpenFOAM is an open-source Computational Fluid Dynamic (CFD) computer program. OpenFOAM is developed for the Linux operating system and uses virtualization to imitate a Linux compile environment on Windows and Mac. Therefore, it is necessary to install a virtual box such as Docker Hub before using OpenFOAM. The OpenFOAM has no Graphical User Interface (GUI) and requires an additional GUI program. In this research, Butterfly is the GUI for OpenFOAM and the plugin of Grasshopper. Figure 7 shows the structure of the OpenFOAM program which consists of three parts: pre-processing, solving and post-processing.



Most of the simulations in this research used a Linux version of OpenFoam running in a virtual machine on a Windows operating system computer. More recently, a native Windows version of OpenFoam has become available. The new version of OpenFOAM for the Windows 10 operating system is called blueCFD (*The BlueCFD-Core Project*, n.d.).

#### 2.3.2 Urban Weather Generator and Dragonfly plugins

Urban Weather Generator (UWG) is an Urban Heat Island (UHI) effect modelling program. To estimate the UHI effects, UWG requires two pieces of information. One is a weather file (EPW format), and the other is a description of the urban configuration. Weather data is usually from a rural weather station. The description of urban configuration includes the shape and size of the building, the proportion of the landscape and the asphalt pavement, and so on. Building materials and urban composition influence the heat transfer in urban areas. The following figure shows the workflow of UWG. The output of UWG is an urban weather file in EPW format.

"UWG is composed of four modules: the Rural Station Model (RSM), the Vertical Diffusion Model (VDM), the Urban Boundary-Layer (UBL) model and the Urban Canopy and Building Energy Model (UC-BEM). Thermal networks indicate the main heat transfer processes included in the RSM and UC-BEM.  $T_{rur}$ ,  $T_{ubl}$  and  $T_{urb}$  represent the air temperature measured at the weather station, calculated at the urban boundary layer and calculated at the urban site. The RSM provides rural sensible heat fluxes ( $H_{rur}$ ) to the VDM and the UBL model. The UC-BEM provides urban sensible heat fluxes ( $H_{urb}$ ) to the UBL model (Figure 8)" (Bueno et al., 2013).

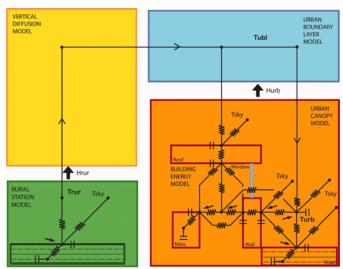


Figure 8: Diagram of the urban weather generator (UWG) scheme (Bruno et al., 2012)

Dragonfly is the GUI between Grasshopper (plugin of 3D modelling software Rhino) and UWG. Dragonfly is used to input urban parameters, and then UWG is responsible for the calculation. Modelling with the grasshopper plugin is convenient because it shares information with other programs. Users do not need to learn the interface to

UWG because the Dragonfly interface provides a way to enter the correct input data and obtain the outputs that follows the same user experience paradigm as the other simulation software used. The Dragonfly interface replaces the set-up process and simplifies the operation. UWG is only used for calculation and runs in the background.

### 2.3.3 EnergyPlus and Ladybug and Honeybee plugin

Over the past few decades, a wide variety of building energy simulation programs have been developed, improved and used throughout the building energy community (Crawley et al., 2008). When assessing those programs, comprehensive modelling capability is the first and foremost criteria, such as daylighting, ventilation, electrical systems, HVAC systems, and so on. In addition, compatibility with other programs is also important. Due to its comprehensiveness, compatibility, wide application in research and multiple publicly available validation reports, EnergyPlus was selected in this research as the building performance simulation tool (Boyano et al., 2013; Fumo et al., 2010; L. Wang et al., 2012).

"EnergyPlus is an energy analysis and thermal loads simulation program. Based on a user's description of a building from the perspective of the building's physical make-up, associated mechanical systems, etc., EnergyPlus will calculate the heating and cooling loads necessary to maintain thermal control setpoints, conditions throughout an secondary HVAC system and coil loads, and the energy consumption of primary plant equipment as well as many other simulation details that are necessary to verify that the simulation is performing as the actual building would" (LBNL, 2018).

Like OpenFOAM, EnergyPlus is also a free, open-source program. Unlike OpenFOAM, EnergyPlus can run on Windows, Mac and Linux operating systems without virtualization tools.

### 2.4 High-Performance Office Building

The reason for studying office buildings is that they perhaps are the most critical building type of the 20th century (Kleiven, 2003). The number and height of high-rise office buildings are increasing. Another reason for studying high-rise office buildings is that the vertical variations of urban microclimates are associated with heights. For

low-rise buildings, the increase in wind speed and the decrease in temperature with height are too small to be significant.

Just as the factory was a symbol of industrialization in the early 19th century, the office building is a symbol of the post-industrial era. There are office buildings all around us. They dominate contemporary cities, they accommodate more than half the working population of the western world, and they represent a large proportion of the total energy use in buildings.

### 2.4.1 Energy performance

Energy performance is measured and compared by the amount of energy consumed. A convenient unit of measurement for energy use in buildings is the kilowatt-hour (kWh). However, for the comparison of energy consumption efficiency of buildings of different sizes, this method is too general and potentially misleading. Therefore, the energy consumption metric used in this research was kWh/m<sup>2</sup>/year. It eliminated the effects of the operation period and building size.

### 2.4.2 Thermal comfort

"A human being's thermal sensation is mainly related to the thermal balance of his or her body as a whole. This balance is influenced by physical activity and clothing, as well as the environmental parameters: air temperature, mean radiant temperature, air velocity and air humidity" (ISO, 2005).

ASHRAE Standard 55 is a thermal comfort standard, which is published by American Society of Heating, Refrigerating and Air-Conditioning Engineers. ASHRAE Standard 55 takes personal and environmental factors into account: metabolic rate (met), clothing insulation (clo), air temperature, radiant temperature, air velocity, and relative humidity (Standard, 2010). The acceptable range of temperature and its relationship with air speed are shown in Figure 9. When the air speed is low, the acceptable temperature is low. When the air speed is high, the acceptable temperature is high. When the air speed is 0.1 m/s, the acceptable temperature range is from 20°C to 27°C.

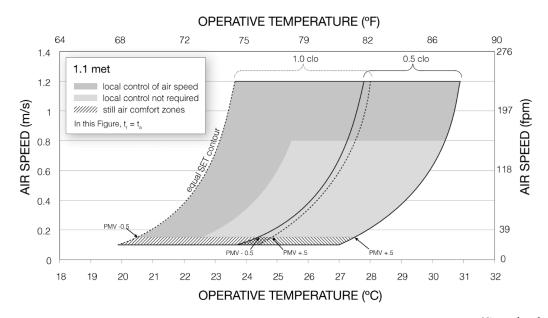


Figure 9: Acceptable range of operative temperature and air speeds at humidity ratio 0.010 (Standard, 2010)

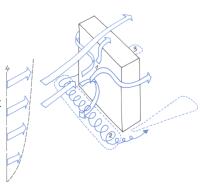
#### 2.4.3 Natural ventilation

Natural ventilation offers the opportunity to achieve a high reduction in energy consumption while improving indoor air quality (Kolokotroni & Aronis, 1999). With more cities looking to remove cars away from the city centre and vehicle fleets electrifying, it is conceivable that occupants in office buildings in dense urban areas might have fresh outdoor air to help maintain high-quality thermal comfort.

Due to the crowding of buildings, wind flow in the city changes. The situation is much more complicated. Wind is brought down into the street by large scale buildings. Wind speeds increase with height. It makes urban winds complex and turbulent. The problems caused by urban winds are shown in Figure 10. As the wind speed increases, the air pressure also increases, which increases the possibility of air infiltration heat loss at the upper floors of tall buildings. So, should the opening at a higher place be smaller than that at a lower place? Should the openings at the top of large-scale buildings be banned because the wind is blowing too fast?

#### **Problems for prediction**

- Urban wind is complex
- Buildings out of scale bring wind down into the street
- Should the windows be different sizes at different heights
- Are the winds at the top of tall buildings too fast for opening windows



#### Figure 10: Problems for urban wind prediction

Natural ventilation efficiency is affected by variable factors such as wind speed and wind direction, especially the wind movement around the building. The urban form, especially the height of individual buildings, influences the wind movement in urban areas, leading to microclimate change. This research focused on identifying the height of buildings as a parameter which influences wind movement, on the increase of wind speed with height in the Urban Boundary Layer, and also on temperature stratification in the atmosphere. In this research, simplified natural ventilation systems and detailed natural ventilation systems were studied.

### 2.5 Systematic Literature Review

The Urban Boundary Layer (UBL) and Lapse Rate change the microclimate with height in urban areas (Figure 11). Each of these phenomena interrelates with all the others. However, it is not clear how changing microclimates affect the natural ventilation of buildings at different heights. These well-known phenomena can be modelled in software such as EnergyPlus. It can model the increase of wind speed with height using a power-law, and the decrease of temperature of 0.65°C for each 100 m height increase. What is less known is the interaction of these parameters with Urban Heat Island. Is city-scale CFD (Ai & Mak, 2013) an essential part of every building model study?

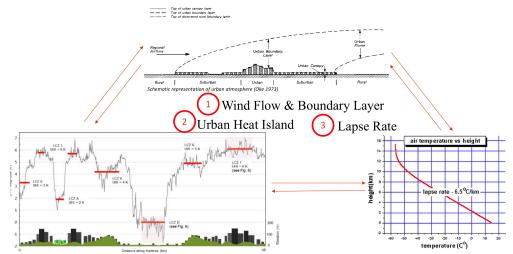


Figure 11: Interaction of urban microclimate features: Urban wind, Boundary Layer, Urban Heat Island and Lapse Rate

The purpose of this literature review is to find evidence for accurate modelling of wind and temperature in dense urban areas affected by Urban Heat Island (UHI) issues. The goal is to establish evidence to manage the models of buildings and neighbourhood heights in order to obtain better natural ventilation in dense urban areas.

### 2.5.1 Methodology

This section systematically reviews the theme of urban microclimate, focusing on the interactions between Urban Heat Island, vertical temperature lapse rates and the influence of tall buildings on urban winds. The review examined over 200 relevant articles.

In the atmospheric boundary layer, the wind speed increases with height. As wind speeds increase, so does the air pressure, which has the potential to increase heat loss from upper storeys of tall buildings, and then the air temperature decreases with height. If a new high-rise building is placed among low-rise buildings in dense urban areas, the problem is what kind of effect the new building has on the UHI, the urban wind in the street and the Lapse Rate. A systematic review was conducted on the influence of building height. The issue of the height or depth of UHI when a large-scale tower penetrates the Urban Canopy Layer (UCL) was explored. The impact of these parameters on the potential for openable windows to provide adequate natural ventilation for cooling in summer was investigated.

#### 2.5.2 Search process

The search process identified papers across five English language literature databases: ScienceDirect, Scopus, ProQuest, Engineering Village and SAGE journals. These five databases are the most popular databases for building science, and they cover the vast majority of relevant research. In ScienceDirect, Scopus and Engineering Village, the initial search terms in the article title, abstract or keywords were: 'Urban Heat Island', 'height or depth', 'temperature', 'simulation', 'building' and 'wind'. In Engineering Village, WN means searching within a specific field and KY relates to "subject/title/abstract" (Table 2).

This study mainly focused on the influence of building height on the depth of UHI, wind flow and temperature gradients, so, the limitation key words were restricted to "UHI", "height or depth", "temperature" and "building" when searching the related papers. Since simulation is also an efficient method, simulation was added as a search limitation. In ProQuest, the search terms were searched everywhere except full text, which is the same as title-abstract-keywords. The search area for SAGE journals was all over the field because search results were rare when restricted to title- abstract-keywords. Then in SAGE journal, the initial search terms in all fields were: 'Urban Heat Island', 'height or depth' and 'temperature'. The search terms in the abstract were: 'simulation', 'building' and 'wind'. Air pollution is out of this study and therefore it does not include the results of pollution or pollutant. The exact search terms used show in Table 2.

Database	Search terms	
	TITLE-ABSTR-KEY(urban heat island) AND	
	TITLE-ABSTR-KEY(height or depth) AND	
ScienceDirect	TITLE-ABSTR-KEY(temperature) AND	
	TITLE-ABSTR-KEY(simulation) AND	
	TITLE-ABSTR-KEY(building) AND TITLE-ABSTR-KEY(wind)	
	TITLE-ABS-KEY ( urban heat island ) AND	
Scopus	TITLE-ABS-KEY ( height OR depth ) AND	
	TITLE-ABS-KEY ( temperature ) AND	
	TITLE-ABS-KEY ( simulation ) AND	

Table 2: Search terms within databases (Date of last search:13 November 2016)

	TITLE-ABS-KEY ( building ) AND TITLE-ABS-KEY ( wind )				
ProQuest	all(urban heat island) AND all((height OR depth)) AND				
	all(temperature) AND all(simulation) AND all(building) AND				
	all(wind)				
Encinconina	((((((urban heat island) WN KY) AND ((height or depth) WN KY))				
Engineering	AND ((temperature) WN KY)) AND ((simulation) WN KY)) AND				
Village	((building) WN KY)) AND ((wind) WN KY))				
SAGE	urban in all fields and heat in all fields and island in all fields and height				
journals	or depth in all fields and temperature in all fields and simulation in				
	Abstract and building in Abstract and wind in Abstract				

### 2.5.3 Data extraction

The following data was searched for in the studies found by the search strategy: (1) temperature gradient (2) difference between Urban Canopy and Urban Boundary Layer temperature or wind (3) type of building (4) measured simulation data (5) 3D or 2D (6) season or diurnal (7) climate type. Papers which were associated with air pollution were excluded.

### 2.5.4 Results

The scoping search revealed that there were thousands of papers on the topic of the Urban Heat Island. In these studies, it was challenging to find papers that answered the research question directly.

This section outlines the interaction of Urban Heat Island, vertical temperature lapse rates and the influence of tall buildings on urban wind flows. In the beginning, there were several thousand of papers about UHI in each database. After comparing and screening the literature search results (Table 3), based on an in-depth abstract selection, 13 unique studies from literature databases seemed to be eligible and were further analyzed. The results of additional studies and limitations are then discussed.

### 2.5.4.1 Step 1: Databases

Table 3 shows the results from the five search databases: ScienceDirect, Scopus, ProQuest, Engineering Village and SAGE journals. Three of the 13 studies (data of 13 November 2016) showed information about temperature gradient, which is not many, but quite useful for understanding temperature differences in a vertical direction.

Database	Search limitation	Quantity		
		13/11/2016	11/01/2019	
Science	Urban heat island(title-abstr-key)	1091	1637	
Direct	Height or depth(title-abstr-key)	117	159	
	Temperature(title-abstr-key)	80	107	
	Simulation(title-abstr-key)	25	37	
	Building(title-abstr-key)	17	24	
	wind(title-abstr-key)	5	9	
	Research relevant (air quality exclude)	4		
Scopus	Urban heat island(title-abs-key)	3886	5999	
1	Height or depth(title-abs-key)	339	506	
	Temperature(title-abs-key)	239	365	
	Simulation(title-abs-key)	71	102	
	Building(title-abs-key)	32	47	
	Wind(title-abs-key)	11(duplicates 5)	18(9)	
	Research relevant (air quality exclude)	5		
ProQuest	Urban heat island(anywhere except full	4017	10804	
	text)			
	Height or depth(anywhere except full text)	375	872	
	Temperature(anywhere except full text)	258	610	
	Simulation(anywhere except full text)	64	173	
	Building(anywhere except full text)	17	86	
	Wind(anywhere)	6(duplicates 3)	23(8)	
	Research relevant (air quality exclude)	2		
Engineeri	Urban heat island(subject/title/abstract)	2299	3438	
ng Village	Height or depth(subject/title/abstract)	200	300	
0 0	Temperature(subject/title/abstract)	141	229	
	Simulation(subject/title/abstract)	56	85	
	Building(subject/title/abstract)	30	48	
	Wind(subject/title/abstract)	9(duplicates 8)	16(13)	
	Research relevant	1		
SAGE	Urban heat island(all fields)	2293	4219	
journals	Height or depth(all fields)	1259	2355	
-	Temperature(all fields)	723	1280	
	Simulation(abstract)	34	59	
	Building(abstract)	19	30	
	Wind(abstract)	3	6	
	Research relevant	1		

Table 3: Search results quant	ty from databases	(13 November 2	2016 and 11 Januray 20	)19)
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Table 4 shows the content and conclusions of 13 eligible studies. Two studies mentioned the difference in temperature or wind speed between Urban Canopy and Urban Boundary Layer. In Pillai's study, uniform height and non-uniform height buildings induced different urban canopy configurations and these were investigated by experimental and numerical simulation. Boundary layer height temperature above the canopy was taken as the roof surface temperature, and the centre point temperature of the canopy was chosen as the reference temperature for buildings' surfaces (Subramania Pillai & Yoshie, 2012). The detailed relationships or differences between the temperature of the urban canopy and boundary layer were not compared. In Li's

study, the typical winter urban boundary layer over Beijing was investigated (X. Li et al., 2005). The effects of variables on Urban Boundary Layer structure were indicated in that paper, and the Urban Canopy Layer was considered as a factor. It does not explain the relationship or temperature difference between the boundary layer and Urban Canopy Layer.

In these studies, only three papers discussed building typology. It is not because study areas played a specific role in urban heat island studies, but perhaps because the study area is the most accessible area for authors as researchers (Table 4).

All of these studies adopted a numerical simulation methodology, and a few of them also picked up measured data, mainly for verification. Fluent was the most common numerical simulation software, which was used in these studies, then ENVI-met followed as the second most popular simulation method. Most of these studies chose 3D modelling, and only a few of them used a 2D model. In the CFD simulation of Nazarian's study, a street-scale urban environment was investigated, and it also explored the average temperature of all four walls of a building (Nazarian & Kleissl, 2015). According to this information, it is possible to get the temperature of building walls at different height through Fluent simulation. Also, mean air temperature at 1.5 m height was achieved in Cao's study on Guangzhou city, which indicated air temperature at different height could also be obtained through Fluent (Cao et al., 2015).

7 papers mentioned research season, and 6 were about summer. Only one of them studied winter, which considered air pollution as well.

Some of these studies gave the research location, and others did not. The given locations included different climate types, but all of them were in dense areas.

When investigating height, some research investigated height-to-width ratio (Bourbia & Boucheriba, 2010; Oleson et al., 2008), or it investigated city areas with same height different density (Stewart et al., 2014). 5 cases were concerned about the effect of Height/Width ratio for the streets on the surrounding environment (P.J.C et al., 2015). In Pillai's study, uniform height buildings and mixed arranged non-uniform height buildings with different building coverage ratio were investigated (Subramania Pillai & Yoshie, 2012), but no studies worked on the structure of the temperature or wind gradient. The Height/Width ratio was about how dense the city was, and hence how hot the 2 m height UHI might be. It was not about how the UHI changes with height.

Urban form and function as building performance parameters were studied (Mohammed A. & Jae D., 2015). 10 local climate regions with different city forms, compact high-rise, mid-rise, low-rise and open high-rise, mid-rise, low-rise, and so on, were estimated. These results addressed the building height influence on the UHI. The model formulation is associated with surface emissivity, albedo, roughness length, building height, vegetation fraction, anthropogenic heat, initial boundary-layer height. According to these parameters, the estimation was found from the calculation (Theeuwes et al., 2015). In Wang's study, three types of areas, high-rise, middle-rise and detached house area, are investigated using software ENVI-met (Y. Wang et al., 2015). It is possible to do the same simulation according to detailed input parameters, and that makes it possible to use a similar simulation method to investigate building height's effect on UHI. Additionally, 3 different building coverage ratios, coupled with 2 categories of uniform height building and non-uniform height building, totalling 6 city models were investigated. With the same building coverage ratio, the temperature contour for the non-uniform height case was quite different from that of the uniform height case. In this research, CFD was used for simulation, and this method has been verified through comparing with experiment results (Sivaraja Subramania & Ryuichiro, 2012). The non-uniform height buildings are arranged regularly, not a high-rise building penetrating the UCL.

Concl	Climate Type/ Location	Season Day / Night	3D or 2D	Measured or simulated data	Type of building/ Office or Apartment	Difference between Urban Canopy and Urban Boundary Layer Temp / Wind	Temperature Gradient	Study
The ground and wall temperature increase w modification with urbanization, larger aspect ratio promot	Southern California, USA	Summer Diurnal	3D	Numerical simulation: ANSYS/FLUENT	Not reported	Not reported	Not reported	(Nazarian & Kleissl, 2015)
Roads and wind direction should be arranged in reduce temperature as roads provide	Guangzho u zhujiang New Town, China	Summer	3D	Numerical simulation: FLUENT	Not reported	Not reported	Not reported	(Cao et al., 2015)
In the vertical direction, the effect of the removal above the surface, there is significant increase of direction	Nanjing, China	Summer	3D	Numerical simulation: ENVI-met	Study area	Not reported	Vertical section of air temperature	(Kong et al., 2016)
No matter for uniform or non-uniform building he surfaces decreases with the increase of building co are not rem	Not reported	Not reported	3D	Measured: wind tunnel Numerical simulation: FLUENT	Not reported	Temperature in urban canopy and boundary layer height	Temperature contour	(Subramania Pillai & Yoshie, 2012)
A new building at the HK PolyU Campus under CFD and the new building would change the en with Velocity Ratio decrease and less uncomfo	Hong Kong	Not reported	3D	Numerical simulation: FLUENT	Study area	Not reported	Not reported	(Zhang et al., 2012)
From street to district scale design issues of UHI r scale large-scale modeling of physical process	Not reported	Summer	3D	Measured: data acquired by LEEA laboratory Numerical simulation: ENVI- met, SOLENE-microclimate	Not reported	Not reported	Not reported	(Bozonnet et al., 2015)
Green urban regeneration improved the urban env with wind thickness increase and reduce the ur consum	Not reported	Not reported	2D	Numerical simulation: Urban Micro-climate Management System	Not reported	Not reported	Not reported	(Park et al., 2013)
Different extent urbanization experiment address kinetic energy increase with the increase in the d the bottom of nocturnal elevated inversion would heat island wou	Beijing, China	Daytime, night time winter	2D	Numerical simulation: MM5-CUP	Not reported	Thermal structure of Urban Boundary Layer	Vertical cross- section of temperature	(X. Li et al., 2005)
Due to wall and road effects, Weather Research F UHI through increased nocturnal warming. For B wind speed decrease significantly, with daytime region. The surface layer warms much more d increase of u	New York City, USA	Daytime, night time summer	2D	Numerical simulation: Coupled Ocean-Atmosphere Mesoscale Prediction System (COAMPS)	Not reported	Not reported	Not reported	(Holt & Pullen, 2007)
Asymmetrical streets enhance more wind flow th radiation, when tall buildings face	Putrajaya Boukevard , Malaysia	Daytime, night time	3D	Numerical simulation: ENVI-met	Not reported	Not reported	Vertical air temperature	(Qaid & Ossen, 2015)
There is an air temperature difference between a difference was highest during the night time. Me wind speed	Not reported	Daytime, night time	2D	Numerical simulation: RNG k-epsilon turbulence model	Not reported	Not reported	Temperature contour	(Memon et al., 2010)
In the most complex urban scheme, the temperat building were characterized by steep lapse rate a	New York City, USA	summer	Not reported	Measured: Observations Numerical simulation: Weather Research Forecasting(WRF) model, Urban Canopy Model(UCM)	Not reported	Not reported	Not reported	(Gutiérrez et al., 2015)
A significant relationship exists between Sky	Tehran, Iran	Not reported	Not reported	Numerical simulation: ENVI- met	Study area	Not reported	Not reported	(Rafieian et al., 2014)

#### lusion

vith canopy height-to-width ratio. Land cover o, and smaller reflectance from ground surface, all ote UHI.

n a specific angle to increase the wind speed and e the broad path for urban ventilation.

l of green spaces on near-surface wind field is rare; on the turbulence perpendicular to the main wind ection.

eights cases, the convective heat flux from canopy cover ratio; the effects of building height variation emarkable.

eight prevailing wind directions was modeled by nvironment which is more comfortable in winter ortable in summer with Velocity Ratio increase. mitigation techniques are highlighted; at the urban sses are required for assessing UHI mitigation.

vironment of wind and temperature at ground level urban heat island as well as mitigate the energy mption.

sess that wind speed would decrease and turbulent density and height of buildings. At the same time, ld increase in city area, and the intensity of urban buld strengthen.

Forecasting-Urban Canopy Model better maintains Brown and Williams Urban Canopy Model, urban e decrease generally over tallest building heights during night than in the daytime with the depth urban canopy.

han low symmetrical streets and block more solar ce wind direction or solar altitude.

high and low aspect ratio street canyon, and the eanwhile air temperature increased when ambient ed reduced.

ture vertical profiles at the location of the highest and the absence of a nocturnal elevated inversion.

View Factor and the intensity of Urban Heat.

### 2.5.4.2 Step 2: Specific keywords

The initial search terms in the article title, abstract or keywords were: 'Urban Heat Island', 'height or depth', 'temperature', 'simulation', 'building' and 'wind', as each of them was an aspect of this study. Articles most relevant to the research topic were after searching these 6 terms. Apart from papers containing all of the 6 terms, some others which only had 2, 3 or 4 terms were also explored.

First of all, "Lapse rate of temperature" and "in dense area" as two limitations were searched in Title-Abs-Key with different search tools to figure out the temperature profile in dense areas (Table 5).

Table 5. The Abs Key. Lapse face of temperature and in dense area (un	
Searching Tool	Quantity
ScienceDirect	5
Scopus	9(duplicates 3)
ProQuest	6(duplicates 4)
Engineering Village	3(duplicates 3)
SAGE journals	0

Table 5: Title-Abs-Key: "Lapse rate of temperature" and "in dense area" (until 26 October 2016)

Secondly, UHI, rural, air temperature and height as four limitations were searched in Title-Abs-Key with different search tools to investigate the height of UHI differences between urban and rural area (Table 6).

Table 6: Title-Abs-Key: UHI, rural, air temperature and height (until           Searching Tool	Quantity
ScienceDirect	12
Scopus	10(duplicates 6)
ProQuest	19(duplicates 12)
Engineering Village	11(duplicates 8)
SAGE journals	0

. .. .. 0010

Wind speeds increase in the boundary layer, so wind speeds governing air leakage (infiltration) and surface heat loss is twice at the top than that at the bottom.

In general, the temperature of urban areas should be TU=TR+  $\Delta$ T, where TU is the temperature of urban, TR is the temperature of rural areas, and  $\Delta T$  is the UHI temperature difference. But what is the temperature profile like when wind flows happen, and the atmosphere is not stable? Will the urban temperature contour change in vertical and horizontal directions? To explore these questions, the next search was limited to wind and lapse rate in height.

Thirdly, Urban Heat Island, wind, temperature gradient and height as four limitations were searched in Title-Abs-Key with different searching tools to study temperature gradient of UHI, taking wind into consideration (Table 7).

Table 7: Title-Abs-Key: Urban Heat Island, wind, temperature gradient and height (until 26 October

201	.6)
Searching Tool	Quantity
ScienceDirect	2(duplicates 2)
Scopus	3(duplicates 1)
ProQuest(all fields)	5(duplicates 4)
Engineering Village	5(duplicates 4)
SAGE journals	0

Finally, Urban Heat Island, wind and lapse rate as three limitations were searched in Title-Abs-Key with different searching tools, as lapse rate had similar meaning to temperature gradient with height (Table 8).

Table 8: Title-Abs-Key: Urban Heat Island, wind an	nd lapse rate (until 26 October 2016)
Searching Tool	Quantity
ScienceDirect	0
Scopus	5
ProOuest(all fields)	2(duplicates 1)

**Engineering Village** 

SAGE journals

2(duplicates 1)

0

In Bornstein's study, when the average height of the base of the urban area is elevated to 310 m, urban-rural temperature differences became zero (Bornstein, 1968). In S.Pal's study based on Paris, because of the effect of UHI, the mean nocturnal boundary layer depth over the urban area on average is 74 m higher than its adjacent suburban areas, and if the UHI effects are excluded, the number should be 63 m (Pal et al., 2012). UBL depends on the amount of surface energy. Because of warming and heat storage, turbulent processes are induced above cities. Daily UBL becomes unstable, and its depth changes from daytime to night time (Aude & Valery, 2001). In Paris, daytime well-mixed convective boundary layer showed higher entrainment zone thickness(326 m) over urban areas than over suburban (234 m) and rural (200 m) areas (Pal et al., 2012). Also, it is confirmed that wind speed and lapse rate could affect the urban heat island intensity (L.C. Nkemdirim, 1980). In Giovanini's study, Trento of Italy was investigated, and the UHI intensity tends to be slightly stronger during dry months, which are influenced by seasonal lapse rate changes. Furthermore, stronger winds make weaker UHI intensity (Giovannini et al., 2011).

#### 2.5.5 Discussion

The interaction of UHI, wind speed and lapse rates in dense urban areas is not clear. The analysis shows that urban heat island intensity is related to the city size, which is measured by population (Oke, 1973). In Oke's later study, an empirical model incorporating wind speed and city size were proved to be able to describe urban heat island (Oke, 1976). Padmanabhamurty and Hirt made a study about the relationship between lapse rate (x) and UHI intensity ( $\Delta \theta$ ) as  $\Delta \theta_0 = 3.81 + 0.88x$ (Padmanabhamurty & Hirt, 1974). A significant heat island increases with the heightto-width ratio for the canyon which is the average cross section dimensions of the streets (Oleson et al., 2008). When a new tall building, much taller than neighbouring buildings, is built, the effects of height-to-width increase on urban heat island intensity around its surrounding area were explored (Oleson et al., 2008). Simultaneously, airflow environment around the new building would be changed. The importance of street canyon aspect ratio and wind speed on urban heating have been highlighted (Memon et al., 2010). In Stewart's study, urban and rural landscapes were divided into 17 standard classes, and buildings intensity is relevant to urban heat island magnitude (Stewart et al., 2014). The building height topology has a minimal influence on the mean air temperatures, but affects the local air temperature and the size of local heat islands (Allegrini & Carmeliet, 2017).

The studies from the literature review focused more on the uniform, or the non-uniform but regular arranged urban form impact on UHI, rarely on individual building height impact on UHI. The scenario of an individual tall building surrounded by low-rise buildings hasn't been investigated, as well as a high-rise building breaking through the urban canopy. What the lapse rate is like above the original urban canopy before the new large-scale tower is placed is still a knowledge gap.

Currently, simulating energy consumption of urban buildings needs site-specific microclimate data. Site-specific weather data relies on calculations based of operational weather stations from rural areas. Some relative models have been investigated, like Local-Scale Urban Meteorological Parameterization Scheme (LUMPS), which are based on the urban canopy model (Grimmond & Oke, 2002), the canyon air temperature (CAT) model, which is a rural-to-urban weather transformation, as well as incorporating vertical diffusion model and urban boundary layer model (VDM-UBL) (Bueno et al., 2012). The Urban Weather Generator (UWG) is composed of four

coupled modules: the rural station model (RSM), the vertical diffusion model (VDM), the urban boundary layer (UBL) model and the urban canopy and building energy model (UC-BEM) (Bueno et al., 2013). It can be used alone or integrated into existing programs. According to the literature review, Fluent, ENVI-met as well as UWG did well in its simulation scenario. In order to explore the impact of UHI, lapse rate and wind speed on the natural ventilation, energy consumption of an office building surrounded by a complex set of urban buildings will be calculated. Whether or not the data from Fluent, ENVI-met and UWG could be exported into EnergyPlus or similar energy simulation software needs to be explored in the next practical stage.

#### 2.6 Chapter Summary

This chapter reviews and evaluates the relevant papers to see whether they answer the research question about three-dimensional model of urban microclimate such as the interaction between the height of a building and its surrounding microclimate. Currently, the interaction of UHI, wind speed and lapse rates in dense urban areas have not been studied, nor have the microclimate of a new tall building surrounded by low-rise buildings.

Some research documents the influence of temperature lapse rates in dense urban areas. The temperature lapse rates profile in urban areas differ from that in rural areas. The influence of wind speed on the intensity of urban heat island was quantified. It could be concluded that UHI influences the lapse rate in urban areas and the lapse rate reflects UHI intensity. Individual high-rise buildings change their surrounding microclimate, especially the airflow. The rising wind speed may increase the temperature. As a result, UHI intensity decreased. At the same time, the temperature profile changed. According to previous studies, the relationship between two of these three parameters could be addressed, but there is still a knowledge gap on the impact of the three parameters.

In addition, the measurement method and the variable simulation method were compared and investigated. Therefore, in the following chapters, the influence of building height on its microclimate is simulated, considering lapse rate, wind speed as well as UHI. Finally, the potential of natural ventilation system for high-rise buildings could be investigated according to the analysis of urban microclimate threedimensional model.

### CHAPTER 3. METHODOLOGY

#### 3.1 Chapter Intent

Chapter 3 presents the refined research question, objectives and scope as well as the research methodology. The objectives and scope were refined to help understand the methodology of this research. A research flow diagram was provided to explain the structure of this thesis and display each step of the methodology.

### 3.2 Refined Research Question, Objective and Scope

#### 3.2.1 Research question, objective and framework

The research question was whether it is worthwhile developing a simulation system to model urban microclimate in detail.

Two objectives needed to be achieved to outline the specific steps and address this research question.

- Build a simulation system that can be used to report the likely impact of microclimate;
- Make an estimate of the likely scale of the microclimate effect, based on annual simulations, accounting for the three-dimensional effects of urban environments on wind and temperature.

The first objective was to explore the feasibility of developing an urban microclimate simulation system. The second objective was to explore the necessity of developing the simulation system.

Urban microclimate modelling allows architects and urban planners to understand how the surrounding climate affects building performance. At the same time, it also provides a basis for design decisions. Urban microclimate modelling is based on the rural weather data collected from official stations. However, due to the disturbance of building blocks, the airflow and heat transfer in the city are much more complicated. In addition, the wind profile and temperature profile in urban areas are quite different from those in rural areas. In general, urban microclimate modelling might consider the UHI and urban winds and reports two-dimensional differences. In this research, the influence of vertical variations of urban microclimates were also analysed. Hence, urban microclimates were modelled in three dimensions.

The aim of developing a simulation system was to predict the effects of urban microclimates on building performance. In the former part of this research, before the system developed, the modelling programmes were tested separately to verify their credibility. The development of an urban microclimate simulation system was a stepby-step process. The related parameters of urban microclimate modelling were tested, and the importance of each parameter was evaluated. A detailed urban microclimate model was established to verify its impacts on building performance simulation, and that the results could be useful and meaningful for the design of ventilation systems.

This research was designed to answer the question of whether it is necessary to account for urban microclimate in detail during the design process. The theoretical framework of this research was that it proposed a sufficient test of the hypothesis. In order to create a testable proposal, it was hypothesised that it is not necessary to account for urban microclimate. It was assumed that a sufficient test of the hypothesis is to model the performance of a single high-rise building in a complex urban environment. Should that single case demonstrate that urban microclimate parameters cannot be ignored, then it will have been demonstrated that the hypothesis is not true for this case. If not true for this case, it cannot be assumed that microclimate can be ignored. Further, the framework proposes that the applicability of the approach can be demonstrated more broadly than for a single case through examination of the case in several different climates. The reason for using several climates rather than several high-rise office buildings to verify the approach is that this study is about the effects of urban microclimates and testing different urban microclimates enables generalization to a broader range of urban environments. The theoretical building physics foundations of this study would argue that studying a range of different building scales would only reveal the same issues, but to a lesser or greater degree depending on the building scale. If it has been proved that detailed modelling of urban microclimate parameters is not negligible in one or more cases, then the hypothesis is not true. Therefore, the answer to the research question is that it is necessary to account for urban microclimate in detail during the design process.

This research also proposed a simulation framework (Figure 12). It began with a comprehensive understanding of 3-dimensional urban microclimates and modelling

tools, including how a detailed simulation system could be developed. A comprehensive understanding of urban microclimate detailed modelling, based on the optimization of design strategies and the building performance refining consequences, provided the basis for why urban microclimates should be modelled in detail. In other words, engineers require detailed information to adjust design strategies, and a simulation system of this type can provide the opportunities to understand the scale of the impact of 3-dimensional detailed modelling can have, and then engage in deliberate simulation.

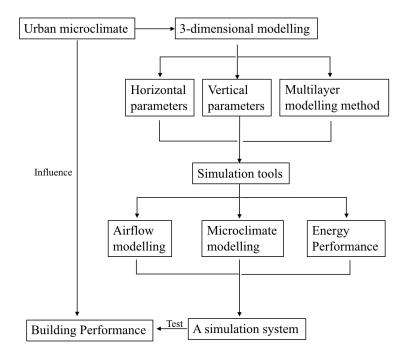


Figure 12: Simulation framework of the research

#### 3.2.2 Urban microclimate parameters

The urbanization process has dramatically influenced the heat balance of urban space, the convective heat exchange between the ground and buildings, the air flow above the urban area and the heat generation within the city (Dimoudi et al., 2013). The parameters of urban microclimates discussed in this research were about the climate characteristics, not the urban features. Urban parameters such as sky view factor, floor area ratio, site coverage ratio and building storeys determine the urban morphology (Wei et al., 2016), and these parameters were not included in urban microclimate parameters of this research. The modelling of urban microclimatic conditions mainly focused on the airflow and air temperature distribution in cities. The investigation of wind profile and thermal profile in dense urban areas is mainly due to the difference

between urban and rural climatic conditions. Additionally, these parameters are tightly related to the performance of building blocks, which are captured in the urban microclimatic conditions. Phenomena related to the urban wind and temperature distribution such as Urban Heat Island, Lapse Rate, and Urban Boundary Layer were addressed.

In general, the rural areas are the low dense area and the buildings in these areas are low-rise buildings. Even though the rural climate changes with height, the influence of vertical climate variations on building performance is trivial, because the heights of buildings are too low to generate vertical climate differences. However, in dense cities, there are plenty of high-rise buildings which are high enough to experience vertical climate variations. At the same time, vertical variations of urban microclimates such as the wind speed and direction as well as the temperature, affect the performance of highrise buildings and even the feasibility of natural ventilation at different floors. Hence, the vertical distributions and variations of urban microclimate parameters were explored.

### 3.2.3 High-performance buildings and performance metric

It is not easy to define a high-performance building, because different functions and demands dominate different buildings. High performance includes environmental, societal and economic aspects. Users are concerned about the thermal comfort, and owners are concerned about the economic efficiency. For the society, energy efficiency is of great significance for energy source finiteness. The term "high performance" in this research mainly refers to energy efficiency and thermal comfort because they are dramatically influenced by urban microclimates. Urban winds cause pressure changes on the surfaces of buildings. These effects on the air change rates and ventilation methods are important for improving thermal comfort. The cooling and heating costs of buildings are mainly determined by the temperature distribution in urban areas, which is associated with the energy consumption.

Hence, the performance metrics in this research indicated the energy performance metric and the thermal comfort performance metric.

#### 3.2.4 High-rise office buildings

A high-rise office building, as the term suggests, is a tall building and its function is an office. A high-rise building is the antithesis of a low-rise building. However, what exact height is the boundary line of a high-rise building? Since different regions have different definitions of height for a high-rise building, there is no uniform answer. For example, in China, residential buildings with height over 27 meters and non-residential buildings with height over 24 meters height are classified as high-rise buildings (Code for Fire Protection Design of Buildings, 2014). In Japan, high-rise buildings are over 31 meters, or more than 8 storeys ('Building Height Restrictions', 2017). According to Emporis Standards, the definition of a high-rise is between 35-100 meters tall or 12-39 floors (EMPORIS, n.d.). In America, high-rise means higher than 23 meters or about 7 storeys. The exact height which is deemed high-rise may be specified by fire and building codes of the country, region, state or city where the building is located (Craighead, 2009). In general, when a fire breaks out in a high-rise building, the people inside cannot rely on external rescue because it is too high to be reached by pressurized hoses and ladders. The simulation system developed in this research is intended to be used in any city around the world. It has no geographical restriction. The height definition of high-rise buildings in this research is correlated with vertical variations of urban microclimates. It is high enough to capture the great microclimate differences between the bottom and the top of high-rise buildings.

High-rise buildings have a variety of functions, such as residential and commercial. High-rise office buildings are commercial buildings, as well as hotels and retail. Sometimes, a high-rise building consists of several functions, such as a commercial building with retail at the bottom, office in the middle and hotel on the top part of the building.

The office building is the most representative type of buildings in the last century. An office building should provide users with a safe and comfortable working environment. In this research, the elements which are associated with the improvement of thermal comfort were explored, especially those affected by urban microclimates.

### 3.2.5 Vertical variation

The vertical variation in this research is reflected in two aspects. One is the variation of urban microclimate with height. The other is the difference in building performance between different floors. The vertical variations of urban microclimates are the reason for the difference in building performance at different heights. The vertical variations of urban microclimates have two aspects. The wind speed increases with height and the air temperature decreases with height (Figure 13).

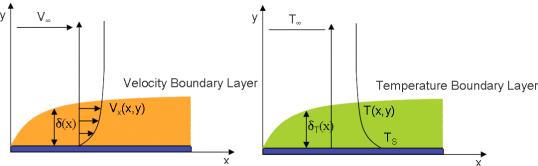


Figure 13: Velocity and Temperature boundary layer (Vijek, 2013)

The wind speed and wind direction affect the wind pressure around buildings and the natural ventilation of buildings. The widely used wind boundary condition is addressed by a power-law distribution (Chang & Meroney, 2001; Irwin, 1979; Theurer et al., 1992).

$$v_z = v_0 \left(\frac{z}{z_0}\right)^{\alpha}$$

where  $v_z$  is the wind speed (m/s) at height Z (m), and  $v_0$  is the measured wind speed (m/s) at a reference height  $Z_0$  (m). The exponent ( $\alpha$ ) is an empirical constant and is dependent on atmosphere stability.

#### 3.2.6 Accuracy, sensitivity and reliability

In this research, several programs were used to analyse urban microclimate parameters such as wind speeds, boundary layer and Urban Heat Island (UHI). However, urban weather data are rarely collected from weather stations and it is based on real information from a rural weather station. So how do we know that the urban microclimate data is accurate and reliable? If the environment inputs don't match the reality, then the building performance prediction lacks credibility. Incorrect inputs lead to unreliable simulation results.

In order to develop a reliable simulation system, both the inputs and outputs should be reliable. Accuracy or reliability is concerned with matching real data with simulation results. In general, the real data cannot always match the predictions of simulation 100%, so how big a difference is acceptable? What scale of difference between real and simulated data is acceptable and how close is close enough (Satwiko et al., 1998)?

In Varga et al.'s research, the average error between CFD and experimental primary flow rate was 8% and it was considered as a good agreement (Varga et al., 2011). In Hemidi et al.'s research, the CFD prediction was reported as a good accuracy (<10%) (Hemidi et al., 2009). In Rusly et al.'s research, the prediction by CFD is very close to the experimental results, with a difference of less than 10% (Rusly et al., 2005).

In Calis et al.'s research, it was shown that a commercial CFD code (CFX-5.3) could be used for prediction with an average error of around 10% and an error of 10% by CFD is acceptable for design purposes (Calis et al., 2001). However, Gowreesunker and Tassou's study considered that the models based with a relative error less than 30% as valid models (Gowreesunker & Tassou, 2013). With most of the studies, Yoshie et al.'s research took relative errors within 10% of the CFD analysis results as criteria, compared with experimental results in the active wind region (Yoshie et al., 2007). While it was considered as not a good match when the calculated results were 15% lower than the experimental results (Yoshie et al., 2007). Gromke and Blocken contemplated relative differences smaller than 10% as a good agreement with field data (Gromke & Blocken, 2015). In Montazeri et al.'s research, it was believed that the evaporation process is accurately predicted when the local deviations from the windtunnel measurements are within 10% for dry bulb temperature, 5% for wet bulb temperature and 7% for the specific enthalpy (Montazeri et al., 2015).

There is no absolute answer to this question of accuracy and reliability. The scale of acceptable differences is related to the criteria which always varies with the situation. That's why the answer to the question of how close is close enough is always problematic (Satwiko et al., 1998). When a cost of a 2% error is very high, 2% error is not close enough, and the criteria in these circumstances is much more rigorous. When even a 15% error doesn't make a remarkable difference to the results, then the 15% error is acceptable. That means there is no equivalent measure and criterion. In different studies, there is an individual acceptable scale of difference that is appropriate to its specific situation.

Apart from accuracy, it is also important to know whether accurate inputs significantly affect the outputs. The sensitivity of the urban microclimate modelling influences the

necessity of detailed simulation. Sensitivity is a general concept, but the sensitivity can be measured by quantitatively comparing the changes in output with the changes in input (Lam & Hui, 1996). From rural weather data, to the Urban Heat Island (UHI) effects, then wind, and the accuracy of vertical variation, the parameters change step by step. How these parameters influence building performance determines the significance of modelling urban microclimate in detail.

In this research, more than 10% changes in building performance prediction mean a significant impact, between 5% and 10% changes mean a medium impact and less than 5% changes mean a small impact.

## 3.3 Overarching Methodology: Real City, Prototypical High-rise Office Building

This section presents the overall methodology of this study. The first goal was to develop a simulation system to model the urban microclimate and the building performance in urban areas. The second one was to assess the necessity of this system.

To model the urban microclimate, the initial weather data was real and collected from weather stations located in rural areas. Therefore, weather data was based on a real city. Besides, the simulation system for urban microclimate modelling was intended to be used in real circumstances, and modelling microclimate of a real city laid the foundation for further study. In contrast, the high-rise office building in this research was not a real building but a prototypical building. Because a prototypical building could be prescribed to be representative of the majority of the high-rise buildings, but detailed real buildings are often constrained by site, finance, planning laws and are thus very specific to a situation.

Figure 14 gives the flow diagram of methodology, and there are 3 phases as following:

- Phase A Review urban microclimates and modelling techniques.
- Phase B Develop a trustworthy simulation system to model the effect of urban microclimates on building performance.
- Phase C Analyse the impacts of urban microclimates on high-rise office buildings

Phase A was the preparatory phase. The 1<sup>st</sup> step addressed the features of urban microclimates. The 2<sup>nd</sup> step indicated modelling techniques and validates the reliability of modelling programs individually.

Phase B used a case study to develop the simulation system. The 3<sup>rd</sup> step modeled a prototypical high-rise office building in a real city. The 4<sup>th</sup> and 5<sup>th</sup> steps explored horizontal and vertical urban microclimate parameter effects on building performance. The 6<sup>th</sup> step explored the effects of urban microclimates on detailed natural ventilation systems and the 7<sup>th</sup> step compared a multilayer modelling method with single-layer modelling method.

Phase C used the simulation system developed in Phase B to analyse the impacts of modelling urban microclimate in detail on different climate regions in the 8<sup>th</sup> step. It tested whether the urban microclimate parameters identified as important in the case study are also important in other climates. The necessity of developing a simulation system in different climates was explored in Phase C.

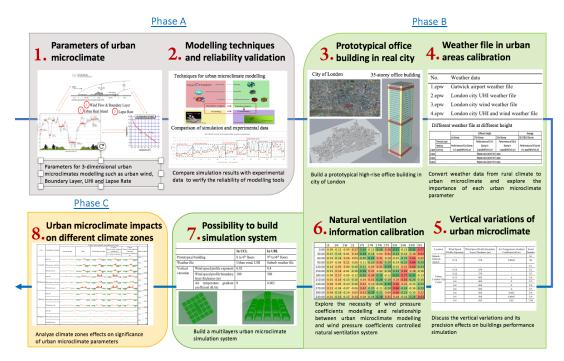


Figure 14: Methodology flow diagram

#### 3.3.1 Modelling technique and reliability validation

The first phase of the research methodology was the background of urban microclimates and modelling techniques. To develop the simulation system to study the effects of urban microclimate on building performance, appropriate modelling techniques were required. Whether or not the modelling programs are capable of predicting the microclimate in the city centre was assessed at this stage. The reliability of the modelling programs was determined by comparing the real data and the simulation results. The simulation tools could be used as components of the simulation system only if the tools are trustworthy.

# 3.3.1.1 <u>Techniques for modelling</u>

In this research, programs for Urban Heat Island modelling, urban wind modelling and building performance simulation are necessary. Individual programs are essential for developing a simulation system. In chapter 2, reasons related to the selection of modelling techniques were addressed, such as Urban Weather Generator (UWG) is used for the Urban Heat Island modelling, OpenFOAM for urban winds and EnergyPlus for building performance (Section 2.3). UWG and OpenFOAM are tools for urban microclimate modelling, and EnergyPlus is an energy analysis tool. When selecting computer programs, the validation of simulation tools was considered, as it was associated with the reliability of the prediction results.

There are good reasons such as accessibility and compatibility to select OpenFOAM as urban wind modelling program. However, there are so many Computational Fluid Dynamics (CFD) programs for urban wind modelling, such as Autodesk CFD and UrbaWind. Cannot they model urban wind for this research? A comparison of 3 CFD programs was made to make sure that OpenFOAM is the most appropriate CFD program in this research.

# 3.3.1.1.1 Selecting the appropriate UHI modelling program

Using urban density data or empirical data for a specific city, regional climate data can be modified to better represent of the temperature range experienced by the city.

ENVI-met is an urban microclimate simulation program that includes air-flow calculation, heat exchange, and vegetation as well as pavement factors (Bruse & Fleer, 1998). Numerous studies use three-dimensional climate data provided by ENVI-met to simulate urban microclimates. One of the advantages of ENVI-met is the convenience to use. This advantage is also a disadvantage of ENVI-met, as its convenience sacrifices the details of the inputs and the accuracy of the results. In Ali's study, the effects of aspect ratio and orientation of an urban street canyon on outdoor thermal comfort in hot and dry climates have been discussed using ENVI-met (Ali-Toudert & Mayer, 2006). Perini and Magliocco studied the effects of vegetation, urban density, building height,

and atmospheric conditions on local temperatures and thermal comfort using ENVImet (Perini & Magliocco, 2014). ENVI-met is often used in a street level of scale simulation. In this research, relatively detailed buildings and large-scale cities were both involved. Therefore, ENVI-met did not meet the requirements of this research.

Urban Weather Generator (UWG) imports rural weather data and exports an urban weather file. It only changes temperature and humility. One of the advantages of UWG is that it can predict UHI for a whole year. The output is continuous data. That means it can be compatible with other programs, especially building performance modelling programs, as programs of building performance simulation generally give a year-round prediction. The results of the prediction are based on hourly weather data. Besides, UWG is free and comes with a plugin version for Grasshopper. That makes it possible to link up UWG with other programs and build a system. Therefore, compared with ENVI-met, UWG was much more suitable for this study.

#### 3.3.1.1.2 Selecting the appropriate CFD program

With the increasing availability of Computational Fluid Dynamics (CFD) programs, CFD simulation is becoming more feasible for general urban design practice. There are several CFD programs that can simulate the urban wind environment. To explore which CFD programs can be used in this study, my colleagues, Riley Willis, Aymeric Delmas and I compared CFD simulation results with experimental wind tunnel data, using Autodesk CFD, OpenFOAM and UrbaWind respectively (J. Li, Delmas, et al., 2018). The standard, high-quality experimental wind tunnel dataset, was provided by the Architectural Institute of Japan (AIJ) (Tominaga & Mochida, 2016).

This section reports a comparison between simulation results of three CFD programs and experimental wind tunnel data. Also, it reports the urban flow areas where the CFD programs agree with each other, areas where they agree with the wind tunnel data, and areas where they disagree. The influence of these agreement on the reliability of CFDbased design decisions were discussed.

In the guidebook of Architectural Institute of Japan (AIJ), 7 cases and 4 city configurations are presented (Tominaga & Mochida, 2016). The case of a central high-rise building surrounded by a grid of city blocks was selected for its consistency in the exploration of the urban wind environment. This research explored the urban microclimate of a high-rise office building surrounded by low-rise buildings. At the

same time, this simplified model made the validation much more targeted and efficient. In this case, the AIJ wind tunnel model consisted of 82 low-rise blocks (40m x 40m x 10m) and 1 high-rise block (25m x 25m x 100m) in the centre. The initial physical experiment was conducted at the Niigata Institute of Technology with a scale of 1:400, as shown in Figure 15. The inflow velocity at the height of 100 m was 6.65 m/s. 66 measurement points around the central building and between the surrounding blocks were set up for the experiment.



Figure 15: Model of AIJ wind tunnel experiment (Tominaga & Mochida, 2016)

Table 9 shows detailed information of the model parameters used by three different CFD programs. According to relevant data of AIJ wind tunnel experiment, wind flowed from 0°, 22.5°, 45° and the roughness length is 0.043 m. There was no unified modelling method for these three programs. Autodesk CFD could not model the wind flowing from 22.5°. To model the wind profile, Autodesk CFD inputed different speeds at different heights, while OpenFOAM and UrbaWind only imported a roughness length (z<sub>0</sub>) to show the vertical variations of wind speeds. Autodesk CFD and OpenFOAM had Standard k-Epsilon model and RNG k-Epsilon model to describe turbulence flow conditions. Autodesk CFD could set up turbulence intensity, and OpenFOAM could increase the meshing density of a specific site. The computational domain modelled by UrbaWind extended the same scale for each direction.

Table 7. Wodening and simulation parameters of 5 Cr D programs				
Condition	Autodesk CFD	OpenFOAM	UrbaWind	
Wind direction	0°,45°	0°,22.5°,45°	0°, 22.5°, 45°	
Inlet Wind Profile	Velocity at a different height (from AIJ)	Roughness length(z <sub>0</sub> ): 0.043 m(From AIJ)	Roughness length(z <sub>0</sub> ): 0.043 m (From AIJ)	
Turbulence model	k-Epsilon model SST k-w RNG k-Epsilon model	Standard k-Epsilon model RNG k-Epsilon model	k-L model	
Turbulence intensity	1%, 5%, 50%			

Table 9: Modelling and simulation parameters of 3 CFD programs

Cor	ndition	Autodesk CFD	n parameters of 3 CFD prog OpenFOAM	UrbaWind
	Cell type	Unstructured tetrahedral mesh	Rectangular prism	Unstructured Cartesian mesh
Meshin g	Density distributio n	Gradual change	X axis: percentage length (10%, 30%, 60%) cells (10%, 70%, 20%) Y axis: percentage length (50%, 50%) cells (50%, 50%) Z axis: percentage length (50%, 50%) cells (70%, 30%)	Automatic refinement near ground, obstacles and result points. One face of a cell can be in contact with a maximum of two different faces
	Cell size       Autosized360,000         0.5-2,900,000       0.25-19,000,000         0.25-19,000,000       0.2-32,000,000         0.15-83,000,000       0.15-83,000,000		Cell size: (100, 50, 30) Refinement level 2— 260,000 Refinement level 5— 1,070,000 Cell size: (20, 10, 6) Refinement level 2— 2,300,000 Refinement level 5— 2,310,000	Thinnest cell size: 1 m Refinement level 1 (2 layers of thinnest cells) $-2,712,800$ Refinement level 2 (4 layers of thinnest cells) $-2,710,500$ Thinnest cell size: 2 m Refinement level 1-4,903,100 Refinement level 2 -4,849,200
		1720m x 1500m x 300m	2360m x 1340m x 300m (Windward extension: 3 times Leeward extension: 3 times Sides extension: 4 times Top extension: 15 times)	Automatic rectangular box (based on the size of the studied geometry) 800m x 800m x 800m (aligned with the wind flow for each direction)

Table 9: Modelling and simulation parameters of 3 CFD programs

The selected configuration was modeled in Autodesk CFD at full scale. The computational domain was 1720m x 1500m x 300m. The boundary conditions, the meshing settings, as well as the turbulence parameters are shown in Table 9.

In the OpenFOAM simulation, the model was at full scale. The prototype model was based on the experiment conditions of the wind tunnel. The inlet wind profile used for the wind tunnel experiment was given by the AIJ (Tominaga & Mochida, 2016), and it was reproduced in the OpenFOAM by corresponding roughness length of terrain which is shown by the option of landscapes in the software.

In the UrbaWind simulation, the configuration was modelled at full scale as well. In UrbaWind, considering the structure of the atmospheric boundary layer, the inlet boundary condition was vertically divided into three regions. The first region, from the ground to hs (the surface heat flux), corresponded to the surface layer (Caniot et al., 2011). The inlet wind profile was given by the logarithmic law which takes the inlet roughness defined by the user into account. The transition region, from hs to hbl (height of boundary layer), corresponded to the Ekman layer. In the upper region, geostrophic wind was applied to the upper boundary condition. Turbulent kinetic energy is constant. It was evaluated through the roughness length of the inlet which is the only input parameter of the inlet.

The meshing of the model in Autodesk CFD was gradually changed. It is unstructured tetrahedral mesh and its density could not be defined according to requirements of the target calculation areas. Besides, it was hard to locate the test points which were based on uniform grids. While, OpenFOAM could define the density of meshing. It even could define it in different methods with X, Y and Z axis. For example, the blocks in the middle area of the computational domain took 30% length of X axis, while 70% cells of X axis, which means the density in the middle area is much higher than the other areas. On the Z axis, the lower half part had 70% cells and the higher half part only had 30%. It is because blocks are in the lower portion. UrbaWind could define the density of meshing through changing the refinement level and the cell size of meshing (Table 9).

The biggest weakness of Autodesk CFD was the placement of the measurement points. In the Autodesk CFD program, the only method of exporting the wind speed results was via a uniform grid across the entire domain. The modeller could not independently control the location of the grid but can control its resolution. The major disadvantage of UrbaWind was that it cannot set up wind speeds and turbulence profiles of the inlet, while that is crucial in the case of calibration against experimental wind tunnel data. OpenFOAM does not have that weakness. The roughness length setting did not match AIJ quite well, but the roughness length, when tested, has a minimal effect on the prediction of wind speeds.

Compared with Autodesk CFD and UrbaWind, OpenFOAM matched the tendency of the wind tunnel well at 0° (Figure 16) and 22.5° (Figure 17). At 0°, the predictions of OpenFOAM are almost the same as the wind tunnel measurements, and only a small

gap can be found in the wake area. In the wake area, the predictions of OpenFOAM are less inaccurate than those of Autodesk CFD. At 22.5°, UrbaWind overestimates the predictions. The OpenFOAM graph at 45° (Figure 18) is not as good as at 0° (Figure 16) and 22.5° (Figure 17), but its main tendency matched well with the wind tunnel one, with only a few points under-predicted in the Corner Effect and Downstream Flow areas.

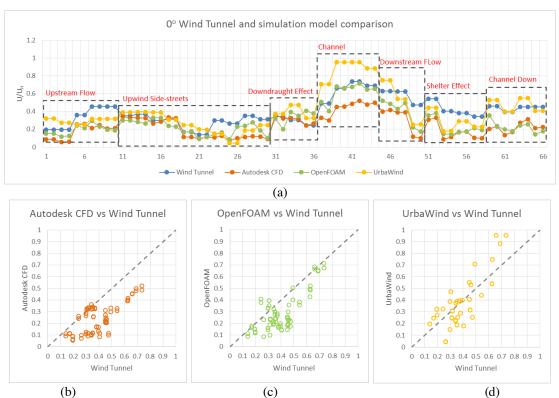
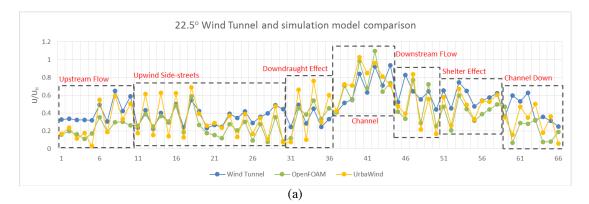
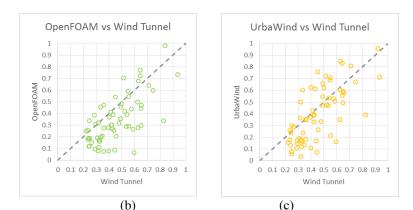
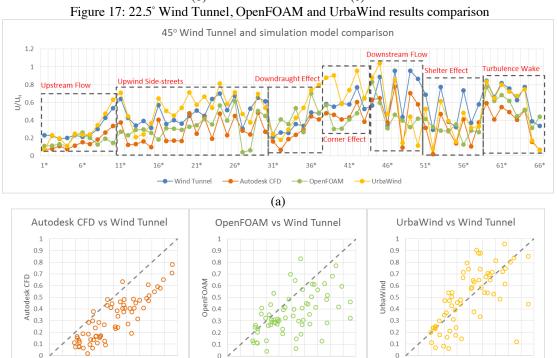


Figure 16: 0° Wind Tunnel, Autodesk CFD, OpenFOAM and UrbaWind results comparison







(b) (c) (d) Figure 18: 45° Wind Tunnel, Autodesk CFD, OpenFOAM and UrbaWind results comparison.

0.3 0.4 0.5 0.6 0.7

Wind Tunne

0.8 0.9

0.3 0.4 0.5 0.6 0.7

Wind Tunnel

0.3 0.4 0.5 0.6 0.7 0.8 0.9

Wind Tunne

The results of three CFD programs were compared with the AIJ wind tunnel data to verify their accuracy and reliability.

1) OpenFOAM can adjust meshing density and improve the efficiency of calculation.

2) At 0° and 22.5° the prediction of the CFD programs matches better than at 45°.

3) The simulation results of Autodesk CFD and OpenFOAM at measurement points around central building are consistent with the AIJ dataset.

4) The predictions of air flow at the wake area is not quite consistent with the AIJ data set. At 0° OpenFOAM predicts better, and at 45° UrbaWind is better.

Autodesk CFD could not define the location of measurement points. UrbaWind could not set up the wind speed and turbulence of the inlet. Compared with Autodesk CFD and UrbaWind, OpenFOAM could define the meshing methodology and turbulence model. Overall, OpenFOAM is appropriate for this research. The reliability of OpenFOAM would be tested and verified in the next chapter.

# 3.3.1.1.3 Comparison of modelling tools

The research looked for appropriate tools to build a system, rather than the most functional tools which covered almost all urban microclimate parameters. The tools had to be interoperable, alterable and available, because the outputs of weather data were to be used for building performance simulation. One full year of weather data is useful for energy calculation, user-defined test points are necessary for the simulation of wind pressure on windows; and the set-up of wind speed and turbulence is important for urban wind modelling. Some of the tools could not meet these requirements and some were not free. For example, ENVI-met could not predict the UHI for a whole year, Autodesk CFD could not define the location of measurement points, and UrbaWind could not set up the wind speed and turbulence of the inlet. They were not sufficiently extensible or alterable. The appropriate tools had to be compatible. Urban Weather Generator and OpenFoam were selected as the appropriate tools for this research, because their outputs could be the inputs of building performance simulation, and they have relevant grasshopper plugins (Section 2.3) to link them up. The comparison of the tools is shown in Table 10.

	Available for users	Extensible and alterable	Compatible	Available for the research
Urban Weather Generator	Free	Yes	Yes	Yes
ENVI-met	With costs	No	Yes	No
OpenFoam	Free	Yes	Yes	Yes
Autodesk CFD	Free for students; with costs for professionals	No	No	No
UrbaWind	With costs	No	No	No

Table 10: Comparing modelling tools and selecting appropriate tools (J. Li, Delmas, et al.,

2018)

# 3.3.1.2 <u>Verify the reliability of modelling programs individually</u>

There were two objectives in this research: the first one was the feasibility of developing a simulation system to model effects of the urban microclimate on building performance; the second one was the necessity of the simulation system. Before developing the system, the validation of independent programs of which the system consists of, laid the foundation for consistent inputs of system parameters. When the inputs are truthful, the outputs are reliable.

In this section, the accuracy of several programs' prediction is demonstrated. To verify the programs' prediction, real data and simulation results had to be compared (Table 11). Urban Weather Generator (UWG), an Urban Heat Island (UHI) effects modelling program, could predict the temperature difference between urban and rural areas. The verification of UWG reliability was to match the UHI of a city with its prediction. In this research, the real data of London UHI, which was collected from numerous literatures, was compared with the UWG simulation results. The wind speed outputs of OpenFOAM were compared with the wind tunnel data of AIJ (Architectural Institute of Japan) benchmarks (Tominaga & Mochida, 2016). Taking a high-rise buildings and wind speed profile parameters. The wind pressure coefficient outputs of OpenFOAM were compared with the wind tunnel experiment results of CAARC Standard Tall Building Model (Melbourne, 1980). Thermal loads of the EnergyPlus model were compared with real measurement data of a high-rise commercial building in Auckland, which is from Cory's PhD research (Cory, 2016).

Content	Programs	Against	Reference
UHI	UWG	London UHI	(Kolokotroni & Giridharan, 2008) (Watkins et al., 2002)
Wind speed	OpenFOAM	Wind tunnel data of AIJ	(Tominaga & Mochida, 2016)
Wind pressure coefficient	OpenFOAM	Wind tunnel data of CAARC	(Melbourne, 1980)
Building performance	EnergyPlus	Measurement data of a high- rise commercial building in Auckland	(Cory, 2016)

Table 11: Verification of programs

#### 3.3.2 Simulation system establishment

In the first phase, appropriate programs were selected. Then, the second phase of the methodology in this study was to develop the simulation system. This phase combines those programs and establishes a system that reorganizes the independent simulation tools. The variable phenomena of urban microclimate were modelled step by step through the simulation system. For different urban climate phenomenon, there are different corresponding modelling programs, and these programs operate individually. While, Grasshopper, a Visual Programming Language (VPL) interface for 3D modelling program Rhino, provides a platform which can put the urban microclimate modelling programs and building performance modelling programs together. The urban microclimate was calculated by Urban Weather Generator (UWG) and OpenFOAM, and building performance was calculated by EnergyPlus. The calculations were operated by corresponding plugins Dragonfly, Butterfly, Ladybug and Honeybee. Grasshopper provides the opportunity to reorganize these algorithm plugins systemically, and then link them up to develop the simulation system.

Firstly, the horizontal parameters of urban microclimates were modelled. Secondly, the impacts of vertical variations of urban microclimates on building performance simulation were explored. At last a multilayer modelling method of urban microclimate modelling was developed. These three steps were the process of the simulation system development. The simulation system makes it much more convenient and reliable to evaluate the urban microclimate comprehensively.

# 3.3.2.1 Build a sample of a prototypical office building in a real city

A case study was built to string all the programs together. A prototypical office building was used as the case to string all the plugins together and develop the urban microclimate simulation system. The corresponding plugins of modelling programs were reorganized and strung together on the grasshopper visual programming language platform. Rhino is used for three-dimensional modelling, and Grasshopper is a Visual Programming Language (VPL) platform where many plugins, algorithms and interfaces can be built not only for Rhino, but also for external analysis engines. The "Ladybug Tools" suite of programs has taken advantage of the Grasshopper VPL to build a set of interoperable interfaces to a range of Daylighting, Energy, Airflow, and Microclimate modelling engines (Roudsari, n.d.). This made it possible to string

together a range of programs to enable the consistent exchange of information between programs to assist the reliable simulation of prototypical office buildings.

The sample took a typical high-rise office building in a typical Urban Heat Island (UHI) city as an example. The reason for picking high-rise office buildings was explained in Section 3.2.4. London was the typical UHI case study city in this research as its urban weather data and its buildings GIS (geographic information system) data is accessible. The shape and size of the prototypical high-rise office building was based on published data of the 32 tallest office buildings in London ('List of Tallest Buildings and Structures in London', 2018) and Pacific Northwest National Laboratory (PNNL) prototype office large (Commercial Prototype Building Models, 2016). The prototypical high-rise office building was 72m x 50m x 154m high and 35 storeys tall. Each floor of the prototypical high-rise office building was 5 m and occupied 31.1% of the floor area, which were set according to PNNL prototype office large and large office studies of Lawrence Berkeley National Laboratory (Huang et al., 1991).

## 3.3.2.2 Calibrate the urban weather file

The prototypical office building performance was modelled in the city centre of London. The urban microclimate situation is significant for building performance modelling. At first, the weather data of London was collected from the weather station located at Gatwick airport, which is approximately 45km away from the city centre of London. This rural weather data, as the initial version, was the foundation of urban microclimate data of London. CIBSE recommended this data is to be used for urban (London) site energy performance simulation (CIBSE - Weather Data, 2019). A second version of weather file which took Urban Heat Island (UHI) effects into account was generated by Urban Weather Generator (UWG). Its temperature was significantly different from the initial version. Then a third version was generated by using OpenFOAM to predict urban wind changes. The second version only considered the temperature differences between urban weather data and rural weather data, and the third one only calibrated the air-flow variations. A fourth one combined the second and third version, and a complete version of urban microclimate weather data was achieved. Based on the fourth version, the vertical variation of urban microclimates was taken into account to explore the effects of wind speeds and temperatures at different heights on the building performance. Lastly, additional algorithms about vertical variations of wind speeds and

temperatures were added on the modelling process. This was intended to calibrate urban weather file to make it much closer to reality.

# 3.3.2.3 Calibrate the natural ventilation information around the office building

The detailed natural ventilation of a prototypical office building located in urban London was explored. The feasibility of detailed natural ventilation was related to the urban wind, especially the wind pressure coefficients (Cp) around the building facades. The first step was to get wind pressure coefficients using OpenFOAM. An electronic wind tunnel was built with OpenFOAM to simulate the wind in 12 directions and predict the corresponding Cp of each facade. The second step used Airflow Network template of EnergyPlus to model a detailed natural ventilation system and evaluate the performance of the sample office building, especially on different floors.

# 3.3.2.4 <u>Combine individual programs as a workflow to build the simulation system</u>

The workflow of a comprehensive simulation system was set up. The reliability of individual tools was the cornerstone of the system. Although there could be no guarantee that the combination of all the tools precisely predicts reality, the goal was to determine the potential scale of the tools' interactions. The relative scale of the effect of each parameter would then determine whether each Urban Microclimate parameter had a sufficiently large effect on the overall energy performance that it must be incorporated into any future urban microclimate simulation. Two branches of urban microclimate modelling, the temperature branch and wind branch, were gathered together. The urban microclimate modelling outcomes were the inputs of building performance simulation. The plugins of individual programs made it possible for them to collaborate on a single on one platform. The modelling of urban microclimate was divided into two layers. The lower portion was the Urban Canopy Layer (UCL) and the upper portion was the Urban Boundary Layer (UBL). This same division was applied to the prototypical high-rise office building. Thus, the methodology was to work with a multilayer modelling approach that dealt separately with the effects of the UCL and the UBL on the building.

By modelling the horizontal and vertical parameters of urban microclimate modelling and using the multilayer modelling method, an urban microclimate simulation system was established. The simulation system consisted of a three-dimensional modelling template, Urban Heat Island modelling template, wind tunnel modelling template, natural ventilation modelling template and building performance template. The simulation system took account of most of the parameters that potentially affect the urban microclimate and explored their impacts on energy performance predictions.

# 3.3.3 Impact analysis of urban microclimate on high-rise office buildings

The third phase of the methodology was to explore the necessity of the simulation system by estimating the likely scale of the microclimate effects on building performance simulation. Whether or not the impacts of urban microclimate detailed modelling are significant is the most crucial part of this research.

# 3.3.3.1 <u>Assess the influence of each parameter of urban microclimates on building</u> performance

The cumulative effects of urban microclimates parameters, the likely scale of impacts of height variation precision of a city and the effects of height variations of suburban terrain were explored. The combined effects included urban wind, UHI, adjusted urban height variations (HV) and using multilayer modelling method. Urban wind here was the wind speed reduction due to urban blocks. Adjusted urban height variations were specific for London, while the original height variations were rough for cities. Accurate height variation data of urban microclimates was unavailable, especially temperature lapse rate and wind speed profile exponents inside the Urban Canopy Layer (Section 7.3.3). Hence, the likely scale of impacts of height variation precision determined the necessity of getting a specific urban height variation. Besides, exploring importance of suburban terrain was because the air flow over rough urban terrain does not represent well the air flow over large parks. The comparison made it clear which parameter has a big effect. The scale of the combined effects of the parameters indicated the necessity of developing a simulation system for accurate prediction. Then according to the prediction of the simulation system, building performance on different floors with different ventilation systems was assessed to explore the effects of height and ventilation systems on building performance.

# 3.3.3.2 <u>Analyse the impacts of urban microclimate detailed modelling in different</u> <u>climates</u>

The thesis also explored how the urban microclimate parameters influence building performance predictions in other climates. The reason for using several climates to verify the simulation system was that this study is about the effects of urban microclimates and testing different urban microclimates enables generalization to a broader range of urban environments. Not all types of climates, but several typical and different climates were explored, such as a moderate climate, a windy climate, a continental climate and a tropical climate. The case study in previous sections was based on the climate of London which is a moderate climate. If the effects in moderate climates have been demonstrated, it is possible to model the case study in other climates and test how the effects are different in different climates. Apart from a moderate climate, a moderate but windy climate was studied to explore whether wind plays a more important role in a windy climate. Wellington is one of most windy cities in the world and it is also moderate. The climate of Wellington was compared with London to explore the influence of wind. The research also studied an extremely hot and cold climate and a hot and wet climate. Beijing has a continental climate which is cold in winter and hot in summer. Singapore is another kind of extreme. Singapore is close to the equator and has a tropical climate. Singapore is hot all year round. When the outdoor weather is not suitable for natural ventilation for most of the year, whether the urban microclimate parameters play a less important role was discussed. The effects of moderate, windy, continental, and tropical climates were explored and compared. In different climates, the most critical parameter might be different. If detailed urban microclimate modelling has significant impacts on building performance simulation in not only a moderate climate but also other climates, then these parameters should not be ignored, and the necessity of urban microclimate simulation system development has been demonstrated.

# 3.4 Chapter Summary

This chapter outlines the overall methodology of this research. The methodology outlined the detail to be expanded on in the next few chapters. To explore the feasibility to develop a simulation system and the necessity of developing the system, the methodology of this research consisted of three phases: the first phase was the preparation part (refer to CHAPTER 4); the second phase demonstrated the feasibility (refer to CHAPTER 5 CHAPTER 6 and CHAPTER 7); the third phase demonstrated the necessity (refer to CHAPTER 8).

This research was about the relationship between urban microclimate and the performance of office buildings. The research methodology was mainly based on numerical simulation, which related to urban wind environment, temperature variation, building performance, natural ventilation, and so on.

# CHAPTER 4. INDIVIDUAL MODELLING PROGRAMS RELIABILITY VERIFICATION

# 4.1 Chapter Intent

Chapter 4 is the preparation stage for the following three chapters of modelling. This purpose of this study is to explore how to model the urban climate in detail to provide information about the microclimate around buildings and thus affect the energy performance simulation. The reliability of the simulation tools used in this research is of fundamental importance for all modelling, calculation and simulation. This Chapter tests and verifies the reliability of related programs such as OpenFOAM, Urban Weather Generator, and EnergyPlus. Only when these tools are reliable, will the modelling of a high-rise building in an urban climate in the next chapter make sense.

#### 4.2 Verification of Wind Speed Prediction with OpenFOAM

# 4.2.1 Methodology

To verify the reliability of wind speed modelling with OpenFOAM, the simulation results were compared with experimental wind tunnel data from the Architectural Institute of Japan (AIJ) (Tominaga & Mochida, 2016). AIJ provided a benchmark for the validation of CFD simulation. The prediction of the simulation results was compared with the experimental data to verify the agreement. How the OpenFOAM parameters, such as meshing size, influence the agreement is discussed.

This research studied high-rise office buildings in urban microclimates. The air flow around a high-rise building surrounded by blocks was one part of this research. In the AIJ research, there are 7 benchmark tests, from group A to group G. Among them, group D, which is "A high-rise building in a multi-block" model, is consistent with this research. Group D is a simplified urban configuration. The simplified urban configuration from group D is modelled and its relative urban wind is predicted. In the verification stage, the model should be neither too simple nor too complex. If it is too simple, the results are not reliable. If it is too complicated, it takes lots of time and is not necessary. Group D consists of 83 blocks, which can represent an appropriately sized urban environment.

# 4.2.2 Model description

# 4.2.2.1 The wind tunnel model

In the AIJ's documents, detailed information about the wind tunnel model is provided (Tominaga & Mochida, 2016). It contains the size and layout of the buildings, the wind environment and the location of the test points.

The wind tunnel model was made up of 82 low-rise blocks (40m x 40m x 10m) and 1 high-rise block (25x25x100m) in the centre (Figure 19). The experiment was conducted at the Niigata Institute of Technology at a scale of 1:400 (Tominaga and Mochida 2016).

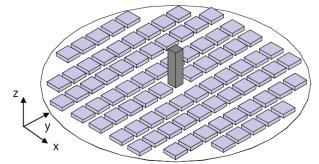


Figure 19: Outline of the multi-block model for AIJ wind tunnel experiment (Tominaga & Mochida, 2016)

The vertical distribution of wind from AIJ wind tunnel is shown in Figure 20. In practice, the inflow velocity at the height of 100m was 6.65 m/s. In the case of the scaled wind tunnel, the wind speed was 6.65 m/s at the height of 250 mm.

z(mm)	z/H	U(m/s)	U/U <sub>H</sub>	k	k/U <sub>H</sub> ^2
5	0.02	3.833	0.576	0.529	0.012
10	0.04	4.121	0.620	0.606	0.014
20	0.08	4.321	0.650	0.667	0.015
30	0.12	4.476	0.673	0.666	0.015
50	0.20	4.740	0.713	0.730	0.017
100	0.40	5.320	0.800	0.750	0.017
200	0.80	6.282	0.945	0.744	0.017
300	1.20	6.982	1.050	0.694	0.016
400	1.60	7.550	1.135	0.635	0.014
600	2.40	8.678	1.305	0.456	0.010
800	3.20	9.525	1.432	0.281	0.006
1000	4.00	10.024	1.507	0.126	0.003
1200	4.80	10.071	1.514	0.068	0.002
(Inflow Velocity at Height of Center Building (250mm) ) is 6.65 [m/					

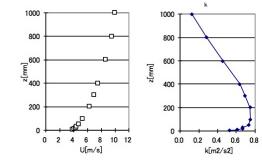


Figure 20: Wind profile of AIJ wind tunnel

Table 12 shows the environmental parameters in the real circumstances and in a scaleddown condition. Since the wind tunnel model was 400 times smaller than the real size building, the roughness length of inlet airflow and the height of the measurement points were scaled down (Table 12). The wind speed was the same as the real circumstance.

Table 12: Environment conditions from AIJ model

Condition	Real	Scaled (Normalized by H and $U_H$ )	Scaled 1:400
Height of central building (H)	100 m	1	0.25 m
Inflow velocity at the central building height $(U_H)$	6.65 m/s	1	6.65 m/s
Wind direction	0°, 22.5°, 45°	0°, 22.5°, 45°	0°,22.5°,45°
Measurement points height (z)	2 m	0.02	0.005 m
Roughness length (z <sub>0</sub> )	0.043 m	4.3X10 <sup>-4</sup>	1.075X10 <sup>-4</sup> m

In this wind tunnel experiment, the wind speeds around the central high-rise building were collected. Figure 21 (a) shows 78 test points arranged in the grid. However, the results of 12 measurement points next to the central building could not be tested. Hence, the data of points 26, 27, 28, 29, 32, 33, 36, 37, 40, 41, 42, 43 in AIJ tunnel wind model were empty. After ignoring the points in the yellow area, the series number of the measurement points was rearranged (Figure 21b).

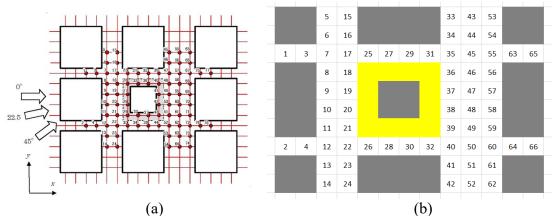


Figure 21: (a) 78 measurement points for AIJ wind tunnel experiment (b) Modified version of 66 measurement points without points besides the central building

#### 4.2.2.2 <u>The numerical model</u>

The numerical model was developed by OpenFOAM. Whether or not the simulation results match with the wind tunnel results can reflect the trustworthiness of OpenFOAM. In this simulation, the model is of real-life size. According to the data of AIJ experimental conditions, the wind speed at 100 m height was set as 6.65 m, the wind flowed from 0°, 22.5° and 45°, the measurement height was 2 m and the roughness length is 0.043 m (Table 13). OpenFoam represents the world in full scale measurements, but the wind tunnel represents a scaled building. At different heights, inlet flow velocities of the wind tunnel were different. In OpenFOAM, the vertical variation of the inlet flow profile was adjusted through different roughness lengths of various landscapes (Hammond et al., 2012). The roughness length of 0.43 m was bigger

than 0.03 m and it corresponded to the landscape of "open" in the OpenFOAM model. The meshing method and size were based on Section 3.3.1.1.2.

Basic condition for prototype model	Values
Inflow velocity at 100 m height	6.65 m/s
Wind direction	0°, 22.5°, 45°
Measurement points height (z)	2 m
Roughness length (z <sub>0</sub> )	0.043 m
Landscape (Based on OpenFOAM standard)	2 > '0.03' # open. Level country with low vegetation (e.g. grass) and isolated obstacles with separations of at least 50 obstacle heights; e.g. grazing land without windbreaks, heather, moor and tundra, runway area of airports. Ice with ridges across-wind.
Turbulence model	k-Epsilon model
Meshing	X axis percentage length (10%, 30%, 60%) percentage cells (10%, 70%, 20%) Y axis percentage length (50%, 50%) percentage cells (50%, 50%) Z axis percentage length (50%, 50%) percentage cells (70%, 30%)
The cell size	(100, 50, 30)
Refinement level	2
	Windward extension: 3 times
Computational domain	Top extension: 3 times
Computational domain	Sides extension: 4 times
	Leeward extension: 15 times

Table 13: Basic parameters of the simulation condition for wind speed model

The computational domain of the model was initially set by default. The recommendations were 3 times the height, 3 times the width, 3 times windward and 15 times leeward (Table 13). It worked well when the wind blows from 0° direction. However, when the wind flow direction changed to  $22.5^{\circ}$  or  $45^{\circ}$ , the diagonal of building block group exceeded the meshing width range. The 3 times width was not big enough because the diagonal was longer than the width. Therefore, it was modified to 4 times which worked well. The meshing models in different directions are shown in Figure 22.

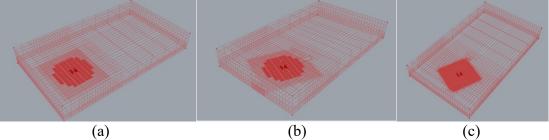
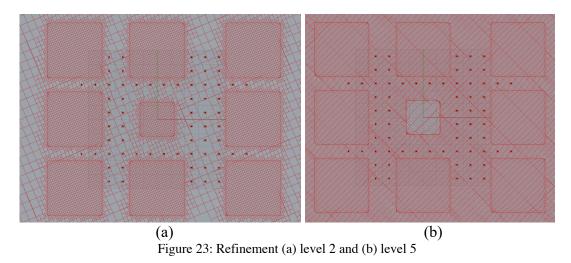


Figure 22: Meshing for (a) 0° (b) 22.5° (c) 45° wind direction

In CFD simulations, meshing is always a significant step. The refinement level represents the density of meshing, the lower-level means fewer and bigger grids (Figure 23a) and the higher-level means denser and smaller grids (Figure 23b). The higher density of meshing gives a more accurate result; however, it also means a longer calculation period.



# 4.2.2.3 Analysis cases

To understand the role of each parameter in the modelling process, a prototype model and several comparison models were generated. The original model was based on the AIJ wind tunnel model, and the comparison models had different conditions. How the change of condition parameters influence the results is discussed in this section (Table 14).

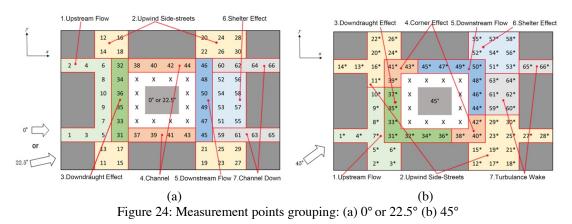
The impacts of mesh refinement level, roughness length and turbulence model can show which parameters are closer to the wind tunnel experiment data and indicate how to model wind environments using OpenFOAM. For example, is it worthwhile to mesh in a high level, is the accuracy of roughness length important, and does the turbulence model make a significant difference?

Condition	Original Model	Comparison Model
Mesh refinement level	5	2
Wind direction	0°	22.5°, 45°
roughness length	2 > '0.03' # open	4 > '0.25' # rough
Turbulence model	k-Epsilon model	RNG k-Epsilon model

Table 14: Parameters of original model and comparison model

# 4.2.2.4 Grouping measurement points

The grouping of measurement points is helpful to identify different flow features and to compare the simulation data. A set of sorting rules was proposed to ensure the consistency of test point numbering in a different direction. The grouping of points was based on the effects of different expected winds. The effect areas of the 0° and 22.5° winds were considered similar, but the grouping of the measurement points at 45° was different from that in the previous two directions. The grouping of three directions is shown in Figure 24. The measurement points were separated into 6 groups: Upstream Flow, Upwind Side Streets, Flow Down Side Streets, Downdraught Effect, Channelling Down streets, Corner Effect and Downstream Flow and Shelter Effect area.



4.2.3 The comparison of analysis results

# 4.2.3.1 Effects of wind direction

Figure 25 shows the effect of different wind direction on the AIJ configuration. The graphs show a comparison between wind predictions by OpenFOAM and wind tunnel measurements recorded by the AIJ.

At 0°, the wind tunnel measurement data and CFD simulation results have good consistency in Upstream Flow area, the left part of Upwind Side streets, and the left part of Corner Effect and Channel area (Figure 25a). There is a distinct gap between

the measurement data and the predicted results in the Downstream Flow, Shelter Effect and Channel Down area. This shows that the CFD model under-predicts the wind speed in the wake area of flow. The line graph at 22.5° has a similar situation at 0°. The agreement at Upstream area is better than in the wake area (Figure 25b). At 45°, the graphs of Upstream Flow area, Upwind Side Streets area and Shelter Effect match the shape of the wind tunnel graph. Graphs of Channelling Down streets, Corner Effect and Downstream Flow on the whole match the wind tunnel graph, but there is a large gap between the two lines (Figure 25c). OpenFOAM predicts the tendency well but underestimates the values.

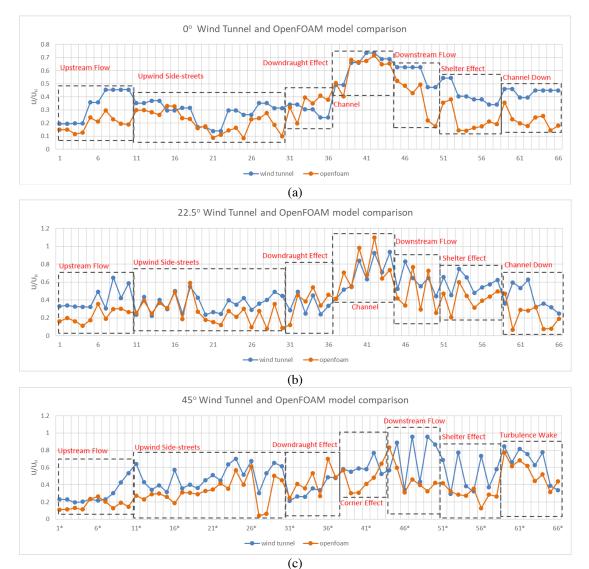


Figure 25: Comparison of wind speed ratios at different wind direction

Compared (a) (b) and (c) of Figure 26, it can be seen that red spots are less scattered than green spots and blue spots. Less scattered means more consistent. The equation shows OpenFOAM is closer to wind tunnel at 0° (84.0%) and 22.5° (89.2%) than at 45°

(45.7%). That means that OpenFOAM matches with Wind Tunnel at  $0^{\circ}$  and 22.5° better than at 45°.

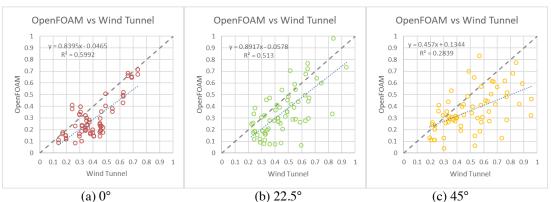


Figure 26: Comparison of wind speed ratios between OpenFOAM and Wind Tunnel

Figure 25 shows the comparison of OpenFOAM and wind tunnel for 7 groups of flow features. Figure 26 shows the agreement of all 66 test points between OpenFOAM and wind tunnel. If the 66 test points of Figure 26 are divided into 7 groups, the number of test points is too small to get a trustworthy trend line. The 66 test points are divided into 2 groups, 1-44 (non-wake areas) and 45-66 (wake areas).

In the non-wake area, OpenFOAM is 94.7% (Figure 27a)of wind tunnel at 0°, and 106% (Figure 27b) at 22.5°. The difference between OpenFOAM and wind tunnel is less than 10%. At 45% OpenFOAM reaches 60.8% (Figure 27c) of wind tunnel, which is much better than 45.7% (Figure 26c). The agreement between OpenFOAM and wind tunnel in the non-wake area is better than that of all test points.

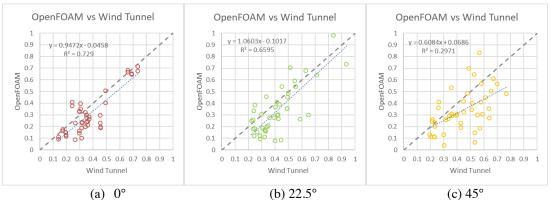
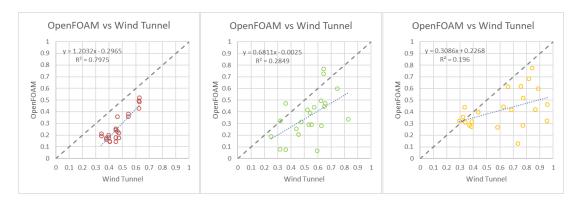


Figure 27: Comparison of OpenFOAM and Wind Tunnel in non-wake areas (test points 1-44) In contrast to in the non-wake area and all test points, the agreement between OpenFOAM and wind tunnel in the wake area is the worst. In Figure 28, OpenFOAM is 120.3% of wind tunnel at 0° and 68.1% at 22.5°. At 45°, OpenFOAM is only 30.9% (Figure 28c) of wind tunnel.

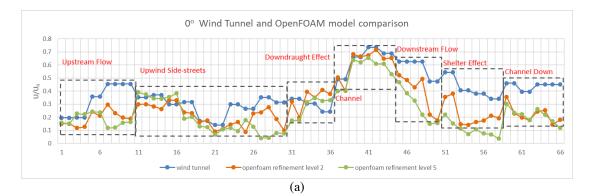


(a) 0°
 (b) 22.5°
 (c) 45°
 Figure 28: Comparison of OpenFOAM and Wind Tunnel in wake areas (test points 45-66)
 Overall, the agreement between OpenFOAM and wind tunnel at 0° and 22.5° is better than at 45°, and the agreement in the non-wake areas is better than the wake area.

# 4.2.3.2 Effects of mesh refinement

The purpose of exploring the effects of mesh refinement level is to evaluate the necessity of intensive meshing. Figure 29 shows the effect of mesh refinement which is related to grid density, and high level means high density.

The graphs show that the wind predictions by OpenFOAM with two levels of refinement compared to wind tunnel measurements recorded by the AIJ. The agreement between the graph of refinement level 5 and the wind tunnel is not as good as the agreement of refinement level 2 and the wind tunnel. Besides, the gap between level 5 and the wind tunnel is larger than the gap between level 2 and wind tunnel, especially at 45° in the Corner Effect and Downstream Flow area and Shelter Effect areas. The CFD model of level 2 under-predicts the wind speeds, in particular the right part of Upwind Side streets, and the right part of Corner Effect and Downstream Flow areas. The predictions of level 5 enlarge the gap because the gaps between level 5 and wind tunnel are bigger than that between level 2 and wind tunnel.



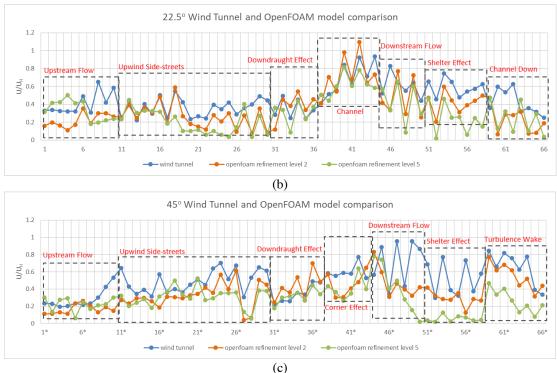
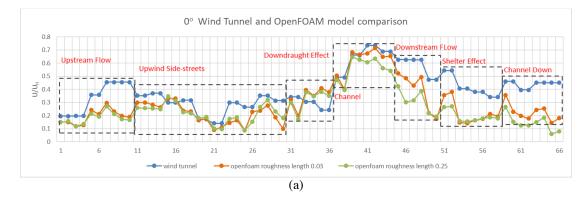


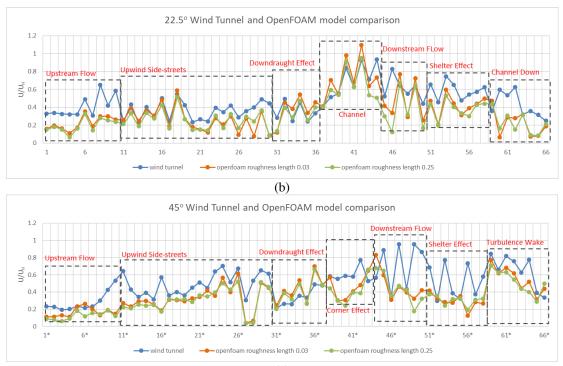
Figure 29: Comparison of wind speed ratios for different refinement levels

Hence, it is unnecessary to mesh in level 5. Mesh refinement of level 2 is recommended for the following models using OpenFOAM.

# 4.2.3.3 Effects of roughness length

The purpose of exploring the effects of roughness length is to evaluate the importance of roughness length accuracy. Figure 30 shows the effect of roughness length, which is related to ground surface condition and landscape style. The graphs show the comparison between wind predictions by OpenFOAM with two kinds of roughness lengths and wind tunnel measurements recorded by the AIJ. At  $22.5^{\circ}$  and  $45^{\circ}$  the agreement between roughness 0.03 and 0.25 is quite good, they are almost the same. At  $0^{\circ}$ , the agreement is also quite good in the non-wake area. In the wake area, the predictions of roughness 0.03 are higher than those of roughness 0.25.





(c) Figure 30: Comparison of wind speed ratios for different roughness lengths

Hence, the roughness length has a minimal effect on the prediction of wind speed. That is probably because the influence of roughness length is related to the height and the height of measurement points is too low to give a difference.

# 4.2.3.4 Effects of turbulence model

The purpose of exploring the effects of turbulence models is to identify the recommended model. Figure 31 shows the effect of turbulence models. The graphs show the comparison between wind predictions by OpenFOAM with two kinds of turbulence models and wind tunnel measurements recorded by the AIJ. The agreement between graphs of RNG k-Epsilon turbulence model and the wind tunnel is not as good as the graphs of k-Epsilon turbulence model with the wind tunnel. The trends of k-Epsilon turbulence model and RNG k-Epsilon turbulence model match each other quite well, but RNG k-Epsilon turbulence model enlarges the peak data. The k-Epsilon turbulence model under-predicts the wind speed. Compared with k-Epsilon turbulence, the RNG k-Epsilon turbulence model under-predicts the wind speeds, while, in some areas over-predicts the wind speed, such as in No.3 Downdraught Effect area at 0° and 22.5°, and in No.1 Upstream Flow area at 45°. The RNG k-Epsilon turbulence model enlarges the wind speed in some areas. Turbulence model has different effect on wind speed prediction in different flow feature areas. The k-Epsilon turbulence model

matches better than the RNG k-Epsilon turbulence model. Hence, the k-Epsilon turbulence model is recommended.



Figure 31: Comparison of wind speed ratios for different turbulence models

# 4.2.4 Summary of the analysis of flow around a high-rise building in a multiblock model

20 measurement points around a high-rise building and their serial number at  $0^{\circ}$ , 22.5° and 45° are shown in Figure 32. The predictions of measurement points just around the building were analysed because it reflects the small scale of the wind environment. This thesis was about the influence of microclimate on building performance. The simulation of wind environment around buildings is an important part of this research. At 0° or 22.5°, the measurement points around the high-rise building were 31-50, and at 45°, the measurement points were 31\*-50\*.

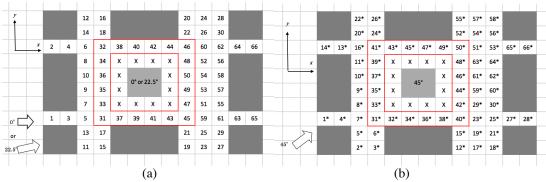
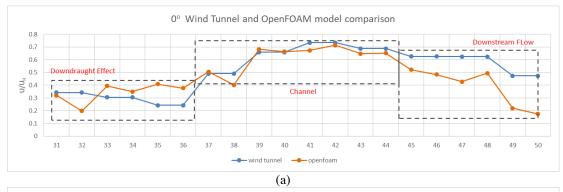
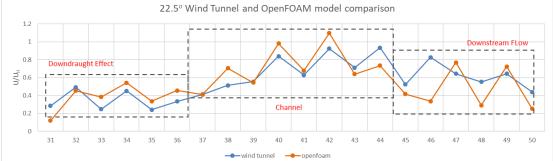
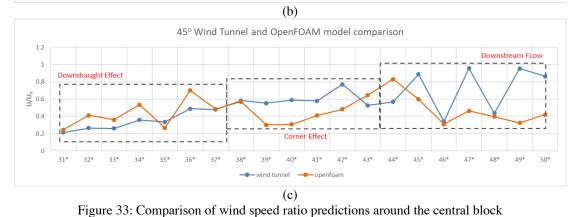


Figure 32: Measurement points around central building: (a) 0° or 22.5° with 31-50 and (b) 45° with 31\*-50\*

In Figure 33, the CFD graphs mostly match the shape of the wind tunnel graph in the windward direction. It suggests that the right flow features are modelled. In the wake area, the gap between the graphs becomes larger.

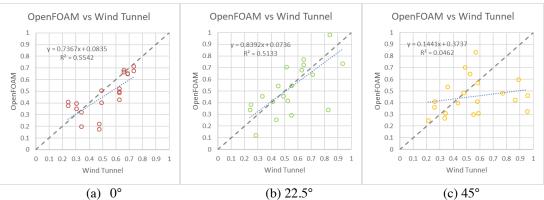


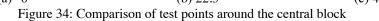




The CFD graph (Figure 33) matches the shape of the wind tunnel graph quite well at 0° and 22.5°. The predictions are smaller than wind tunnel results in the Downstream Flow

area. The CFD graph at 45° is not as perfect as at 0° and 22.5°, but the main tendency matches except a few under-predicted points. Figure 34 shows a similar conclusion as Figure 33, because the red spots are less scattered than the green spots, and the green spots are less scattered than the yellow spots. When the spots are closer to the dashed line, it means values from OpenFOAM match with values from Wind Tunnel. The equation shows that at 0° OpenFOAM is 73.7% of wind tunnel, at 22.5° 83.9%, and at 45° only 14.4%. The red and green spots match better than yellow spots. That means OpenFOAM matches wind tunnel at 0° and 22.5° much better than 45°.





In summary, the wind speed calculated by OpenFOAM matches well with wind tunnel results in the non-wake areas, not well in the wake area. OpenFOAM predicts the wind speed at 0° and 22.5° better than at 45°. Meshing of refinement level 2 and the k-Epsilon turbulence model are highly recommended because their simulations are less inaccurate. The roughness length has a minimal effect on the prediction of wind speed at a low height, because the effect only apparent when the height is sufficient. Overall, the wind speed modelled by OpenFOAM can be trust, except the simulation in the wake area.

# 4.3 Verification of Wind Pressure Coefficient Prediction with OpenFOAM

#### 4.3.1 Methodology

The verification of wind speed prediction is for urban wind simulation. In this section, the wind pressure coefficient perdition is verified. The wind pressure coefficients are the inputs for the detailed natural ventilation modelling. The wind pressure coefficients around the high-rise office building can address the surrounding environment.

The verification of the wind pressure coefficient model was similar to that of the wind speed model. The simulation results of wind pressure coefficient from OpenFOAM

were compared with the experiment data of wind tunnel. Experimental data is from the paper "Comparison of Measurements on the CAARC Standard Tall Building Model In Simulated Model Wind Flows" (Melbourne, 1980).

That paper provided six sets of experimental information made by six establishments. Only two of them did the experiment in seven directions. The six groups of values were compared with each other, and the maximum and minimum values were taken. Comparing those two experimental results, the results of the National Aeronautical Establishment are less extreme. Therefore, the experimental model of National Aeronautical Establishment was taken as the comparative model. The simulation model was set with its parameters and their results were compared with each other.

OpenFOAM can directly output wind speed, wind pressure and wind pressure coefficient and other information. However, the default outputs from the butterfly plugin are only wind speed and wind pressure without wind pressure coefficient. If the wind pressure coefficient is required, additional inputs of the Function Objects are added before simulation.

The additional Function Objects inputs for wind pressure coefficients was based on the OpenFOAM. According to the OpenFOAM Code Guide, the pressure function object provides several calculation methods (OpenFOAM Introduction, 2018), including:

• static pressure, *p*:

 $p = \rho p_k$ 

• total pressure,  $p_0$ :

$$p_0 = p_{ref} + p + 0.5\rho |U|^2$$

• static pressure coefficient, C<sub>p</sub>:

$$Cp = \frac{p - p_{inf}}{0.5\rho_{inf}|\boldsymbol{U}_{inf}|^2}$$

• total pressure coefficient,  $Cp_0$ :

$$Cp_0 = \frac{p_0 - p_{inf}}{0.5\rho_{inf}|U_{inf}|^2}$$

Where

$$\rho$$
 = Density [kg/m3]

U	=	Velocity [m/s]
$ ho_{ ext{inf}}$	=	Freestream density [kg/m3]
$p_{ m inf}$	=	Freestream pressure [Pa]
$U_{\inf}$	=	Freestream velocity [m/s]
$p_k$	=	Kinematic pressure (p/rho)[m2/s2]
р	=	Pressure [Pa]
$p_0$	=	Total pressure [Pa]
<i>p</i> <sub>ref</sub>	=	Reference pressure level [Pa]
Ср	=	Pressure coefficient
$Cp_0$	=	Total pressure coefficient

The static pressure coefficient  $C_p$  is calculated by the butterfly plugin of OpenFOAM.

# 4.3.2 Model description

#### 4.3.2.1 The wind tunnel experimental model

To validate the wind pressure coefficient prediction by OpenFOAM, the prediction was compared with the results of wind tunnel experiment. According to Melbourne's paper, six centres conducted wind tunnel experiments on the CAARC Standard Tall Building Model (Melbourne, 1980). They compared different natural winds in different wind tunnels to help improve the technique. The CAARC Standard Tall Building Model consisted of a rectangular block (30.5m x 45.7m x 182.9 m) in the centre (Figure 35). The comparative experiment was carried out at the National Aeronautical Establishment. The inflow velocity at the height of 182.9 m was 15 m/s. Test points were at 2/3 height of the block. The power-law exponent of the boundary layer of this research was around 0.28 (Melbourne, 1980). There was a range of wind directions between 0° and 90°, at 15° intervals.

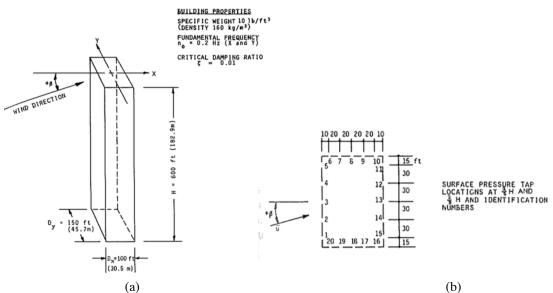


Figure 35: CAAR stand tall building: full-scale dimensions, dynamic properties and pressure tap location (Melbourne, 1980).

# 4.3.2.2 The numerical CFD model

In the OpenFOAM simulation, the model is in real size. The prototype model was based on the experimental condition of the CAARC Standard Tall Building Model (Melbourne, 1980). Table 15 gives the modelling condition parameters. A high-rise building (30.5m x 45.7m x 182.9m) was modelled, and the wind pressure coefficients of 20 test points at 2/3 height of the building was measured. The wind speed was 15 m/s, and wind directions were from 0° to 90° in 15° steps (Table 15). The OpenFOAM simulation results were compared with the wind tunnel measured data by the National Aeronautical Establishment and the maximum and minimum experimental values of the six wind tunnel experiment centres.

Basic condition for prototype model	Values
Inflow velocity at 182.88 m (600 ft) height (Building Height)	15 m/s
Wind direction	0°, 15°, 30°,45°,60°,75°,90°
Measurement points height (z)	121.92 m (2/3 Building Height)
Landscape (Based on OpenFOAM standard)	4 > 0.25' # rough. Cultivated or natural area with high crops or crops of varying height, and scattered obstacles at relative distances of 12-15 obstacle heights for porous objects (e.g. shelterbelts) or 8-12 obstacle heights for low solid objects (e.g. buildings).
Turbulence model	k-Epsilon model
Meshing	X axis percentage length (20%, 10%, 70%) percentage cells (10%, 70%, 20%)

Table 15: Basic parameters of the simulation condition for wind pressure coefficients model

	Y axis percentage length (45%, 10%,45%) percentage cells (10%, 80%, 10%) Z axis percentage length (60%, 40%) percentage cells (90%, 10%)
The cell size	(100, 50, 30)
Refinement level	2
Computational domain	Windward extension: 8 times Top extension: 6 times Sides extension: 8 times Leeward extension: 25 times

This computational domain (Table 15) is bigger than that for wind speed verification (Table 13) because this wind tunnel needs to obtain data of freestream pressure and freestream velocity. The freestream height expands the scope of the model. Figure 36 shows the meshing of the wind tunnel.

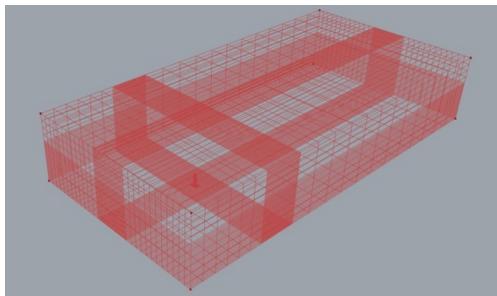


Figure 36: Meshing of the numerical wind tunnel of CAAR stand tall building using OpenFOAM Figure 37 shows the CAARC standard tall building meshing and the locations of test points. The grids around the standard tall building and test points are denser than other areas. The density of grids decreases with distance away from central building and test points.

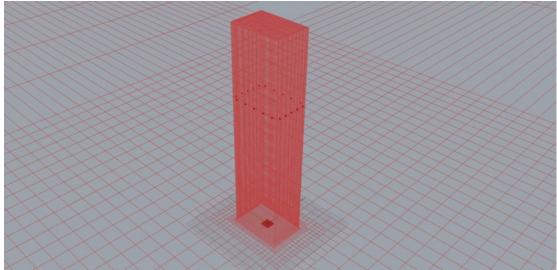


Figure 37: Meshing of CAARC standard tall building and 20 test points 4.3.2.3 The pressure coefficient strings for function objects set-up

Before simulating the wind pressure coefficient, it is necessary to calculate the freestream pressure and freestream velocity. In this study, the freestream height is 1000 m (Sokhi, 2012), and the pressure and velocity of a point in windward direction was measured at the height of 1000 m. The wind tunnel model was run without a function object set-up to get the freestream pressure and freestream velocity. The remaining settings for the freestream pressure and velocity simulation were the same as for the pressure coefficient simulation. The wind came from the X-axis, and the speed was 15 m/s at 182.88 m height. The outputs of the wind tunnel simulation were wind speed (U) and wind pressure (p).

According to the simulation results, the freestream velocity (UInf) is 33.43 m/s (around 120 km/h) and the freestream pressure (pInf) is 26.77 Pa. 1.29 kg/m3 was taken as the freestream density (rhoInf). These values were imported into the pressure coefficient calculation, and the additional string for the function object setting is shown in Figure 38.

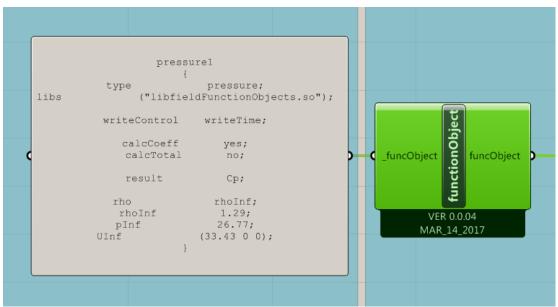
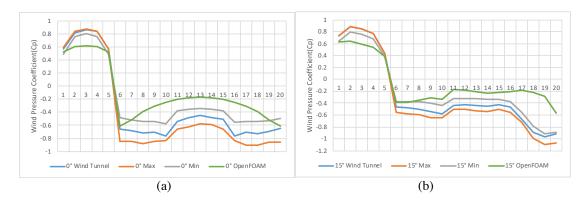


Figure 38: Pressure coefficient calculation strings for the function object

# 4.3.3 The comparison of analysis results

The wind pressure coefficients (Cp) of the CAARC standard tall building were collected in seven directions. The measurement wind tunnel data of six research centres was collated. Red curves are the maximum absolute values and grey curves are minimum absolute values. The values from the OpenFOAM numerical simulation (green curves) were compared with the experimentally measured values from the National Aeronautical Establishment (blue curves). Figure 39 shows seven groups of data of seven wind directions. Experimental data and simulation results are curves of four colors respectively.



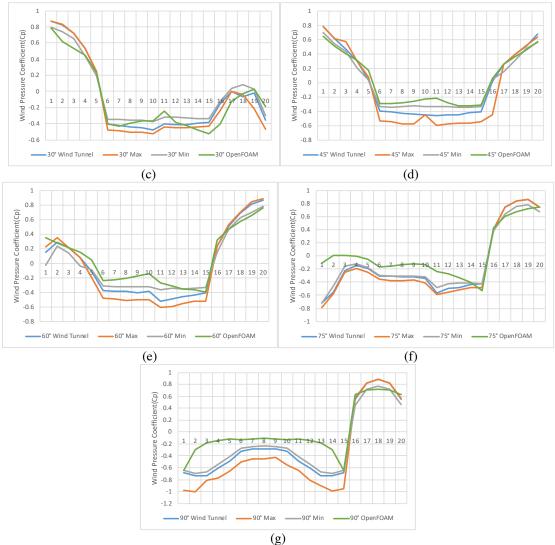


Figure 39: Comparison of wind pressure coefficients at different wind direction

Figure 39 shows that the overall trends of curves match each other, especially for (c) (d) and (e). In all seven figures from (a) to (g), the blue curves of wind tunnel measured data from National Aeronautical Establishment is between the red curves and grey curves, which means the absolute values are between the max and min values. The green curves are much closer to the 0-horizontal line, which means the absolute values of OpenFOAM numerical results are smaller than experimental data.

In Figure 39 (a), when the wind blows from 0° direction, the prediction is not good in the upwind side areas and shelter effects areas, because point 8 to point 18 values do not match well with the measured data. Figure 39 (g) is almost the mirror image of (a). Figure 39 (b) and (f) are mirror images of each other. When the wind blows from the direction of 15° or 75°, the OpenFOAM curves match well in the upwind areas, but the values are underestimated in the other areas. Figure 39 (c) (d) and (e) show a perfect

match, except point 11. Point 11 is in the shelter effect area, and the gap of point 11 is small.

In Figure 40, the values of  $R^2$  are more than 87% and the trend lines are reliable. Wind pressure coefficients of OpenFOAM are 60% to 85% of wind tunnel values. The OpenFOAM at 30° has the best consistency with the wind tunnel, and the worst at 15°.

The trends of the OpenFOAM simulation results are basically consistent with that of wind tunnel data. However, the absolute values of the simulation results are smaller than the experimental data.

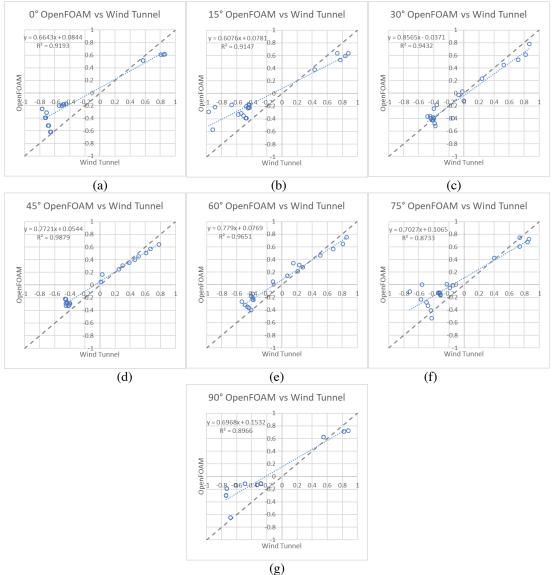


Figure 40: Wind pressure coefficients comparison between OpenFOAM and Wind Tunnel

## 4.4 Verification of Urban Heat Island Effects Modelling with Urban Weather Generator

A building energy performance can be influenced by Urban Heat Island (UHI) effects. This influence is due to temperature changes in urban and rural areas. In Crawley's study, the impact of the UHI effect on a small office building is between 5% and 10% of energy consumption. Cooling energy consumption increases in summer and heating energy demand decreases in winter (Crawley, 2008).

## 4.4.1 Methodology

To test and verify the trustworthiness of Urban Weather Generator (UWG), the UHI effects of a large city modelled by UWG was compared with the real data. In this part of the research, London is used as a case study. London is a big city, with significant UHI effect that is well researched (Kolokotroni & Giridharan, 2008; Watkins et al., 2002). Measured data on UHI effects are available for London and Geographic Information Systems (GIS) data of the City of London is available. GIS data of the City of London was made available by the UCL (University College London) Energy Institute. A three-dimensional model of a city is necessary for UHI modelling.

The modelled area was not Greater London, which spans more than 1500 km<sup>2</sup>. Most of the 1500 km<sup>2</sup> is suburban and rural areas, and these areas do not have significant UHI effects. UHI effects increase with proximity to the city centre. The City of London was rebuilt and modelled to represent the urban form of London. Apart from having access to buildings data of the City of London, the City of London was chosen as the UHI modelling area because of its typical urban form. The buildings' heights cover a wide range in that region, from 20 m low-rise buildings to 200 m high-rise buildings. Furthermore, it is the centre of London where the UHI effects are much greater than in other areas. The red area in the middle of Figure 41 is the UHI modelling area, the City of London.



Figure 41: City of London (red area) in Greater London (TUBS, 2011)

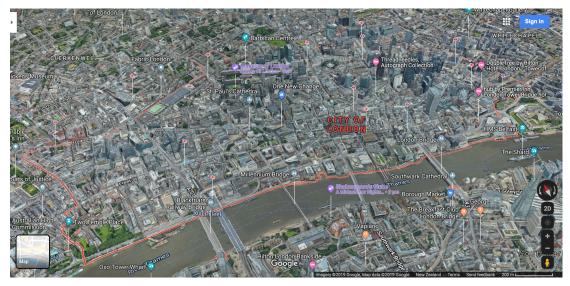


Figure 42: three-dimensional City of London from Google map (Google Maps, 2019) From Figure 42, it can be seen that the City of London is densely populated with tall buildings. Figure 43 is a three-dimensional model of building blocks in City of London reconstructed using GIS data. GIS data of City of London is provided by the UCL (University College London) Energy Institute. The three-dimensional model is used for UHI calculation.

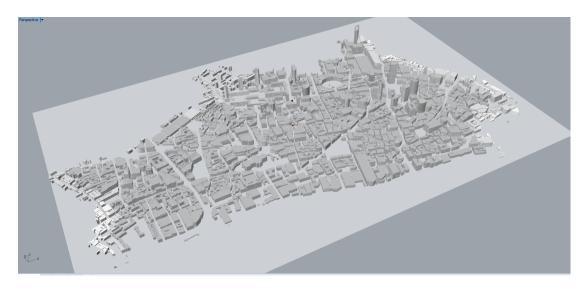


Figure 43: City of London from GIS model

## 4.4.2 Model description

To verify the reliability of Urban Weather Generator (UWG) modelling, the estimated simulation results from UWG were compared with measured London UHI. The key to model UHI with Urban Weather Generator is to define UWGCity. UWGCity is a city whose multi-parameter for UHI calculation is defined by Urban Weather Generator (UWG) program. In general, there are three methods to define UWGCity. The first method is to define the typology of each building, when the shape and typology information of each building are accessible, which is appropriate for small-scaled cities. The second method is to define UWGCity by the buildings' footprint. The footprint of buildings is 2-dimensional information, lacking the buildings' height. This method is suitable for the city with uniform building shape. Meanwhile, the typologies of buildings' footprint are accessible. The third method is using typological ratio to define UWGCity. This method is applicable to a big-scaled city whose three-dimensional information is available, and typology information is not. This study chose the third method because of not only the large urban size, but also because of the lack of typology information of each building.

To model the UHI in London with UWG, the parameters for the buildings of London are necessary. According to GIS data of the City of London, the three-dimensional blocks were generated. Firstly, building blocks were imported into the UWG. Secondly, urban typologies and the ratio of each typology in the urban area were used to define the UWGCity. In this section, there are two urban typologies, residential typology and commercial typology. The ratios of residential and commercial typology were set as 0.4 and 0.6, respectively. In addition to information on buildings, information on pavement, coverage of trees and grass, and traffic conditions was also included in the UWGCity. Finally, run the simulation and get the new weather file for London urban areas in EPW format.

#### 4.4.3 The comparison of analysis results

After four hours of calculations, a new version of the London weather file, taking UHI effects into account, had been generated. The original air temperature was collected from the weather station at Gatwick airport, which is far from the urban area of London. The revised urban air temperature was compared with the original suburb air temperature. The UHI is the temperature difference between the City of London and Gatwick Airport. In Figure 44, the average air temperature in the city is always higher (around 10%) than that in the rural areas, and the temperature gap is especially significant in July and August. The average values of every day's UHI in each month are between 0.8°C and 1.8°C and remains stable.



Figure 44: Average air temperature and UHI of London

The hottest week in London is August, and the highest UHI is also in August. Hence, taking August as an example, the maximum, minimum and average values of UHI effects in London are shown in Figure 45. The daily average and minimum UHI values are slightly different. The average UHI values range from 0°C and 3.15°C, and the minimum daily UHI values in August range from -2.1°C and 0.2°C. While, the lowest UHI value is 0.8°C and the highest is 8.3°C, with a difference of ten times.

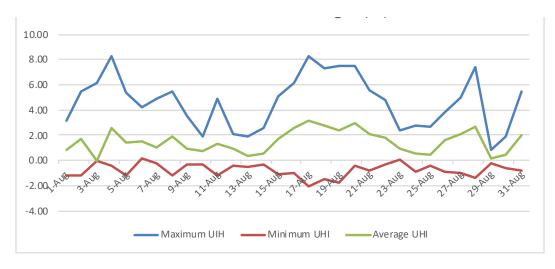


Figure 45: Maximum, minimum and average London UHI in August (°C)

Figure 45 shows the highest UHI happened twice in the whole year, on 4<sup>th</sup> and17<sup>th</sup> August respectively. Taking 4<sup>th</sup> and 17<sup>th</sup> August as examples, the UHI hourly change is shown in Figure 46. The highest UHI on both days is 8.3°C at 5:00 am (Figure 46). UHI effects increase in the afternoon and peak in the early morning.



Figure 46: Air temperature of London suburb and city as well as UHI on Aug-4 and Aug-17 The research of Kolokotroni and Giridharan shows that the maximum UHI effects at night in London during the periods of clear-sky, partially-cloudy and cloudy are 8.6 °C, 7.3 °C and 6.6°C respectively (Kolokotroni & Giridharan, 2008). The data was collected from 77 measurement stations during the summer from May to September. Another study monitored the summertime in London, with 68 measurement stations simultaneously recording air temperature (Watkins et al., 2002). According to Watkins' research, the UHI in London occasionally reached 7 °C, mostly at night.

The annual average value of UHI in London simulated by Urban Weather Generator is 2.3°C, and the maximum value is 8.3°C. The UHI intensity of 8.3°C from the simulation is slightly lower than 8.6 °C during clear-sky periods of measured data by

Kolokotroni, and higher than the 7 °C measured data by Watkins. The simulation result is within the range of measured data.

The measurement data is for Greater London and the simulation data is for City of London. It is acceptable that the simulation results are slightly different from the measurement data. The Urban Weather Generator program is a useful tool for UHI intensity modelling (Palme et al., 2017).

## 4.5 Verification of Building Performance with EnergyPlus

In addition to urban microclimate modelling, building performance simulation is also a significant part of this research. This research is to build a simulation system, and the reliability of the simulation system is based on the reliability of each part of the system. The EnergyPlus program is responsible for building performance modelling. This section demonstrates the reliability of building performance modelling program, EnergyPlus.

## 4.5.1 Methodology

The most straightforward way to verify the trustworthiness of EnergyPlus is to compare simulation results with an actual situation. This section takes the actual energy consumption data of a high-rise office building as an example and compares the data with the simulated results of electricity demand.

Cory explored the feasibility of converting New Zealand commercial building stock to be Net Zero Energy in his thesis (Cory, 2016). In Cory's PhD research, he collected the data on actual energy use of the office, retail and mixed commercial buildings in New Zealand. He followed through a process with careful systematic calibration. Each commercial building was given a building ID. In the research, a high-rise office building was studied. Of all the commercial buildings studied by Cory, ID R0198 is the most appropriate case study, because it is the highest of all the office buildings.

## 4.5.2 Model description

Cory studied 48 buildings selected from 101 BEES monitored building (Cory, 2016). These 48 buildings represented all the buildings in New Zealand. The highest office building in Cory's research, R0198, had 20 storeys. The 20-storeyed building was modelled to verify the reliability of EnergyPlus for modelling large office building performance.

In this section, R0198 is the prototype of the EnergyPlus verification modelling and Table 13 shows geometric information of R0198. According to Cory's research, R0198 as a large open office building with a Variable Air Volume (VAV) ventilation system, with a total floor area of 33636.4 m<sup>2</sup> (Cory, 2016). The location of R0198 was in Auckland, and the monitoring time of R0198 was 2011.

HVAC	VAV	
Building ( (Degrees-Clockwise)	18	
Total Building	33628	
Total Numb	20	
Building I	62.1	
	North	90%
Glazing Percentage	East	30%
	South	70%
	West	30%

Table 16: Geometric information of R0198

## 4.5.3 The comparison of analysis results

The EnergyPlus model for R0198 was built based on the building information of R0198. The original building was in Auckland, and its energy consumption data was collected in 2011. Therefore, the weather file for Auckland in 2011 was imported into the EnergyPlus model of R0198. The simulation results were compared with the actual monitoring data. The actual and model thermal loads were divided into weekday and weekend average hourly loads.

Figure 47 shows the average hourly loads of monitoring and simulation data on weekdays. The loads of EnergyPlus model is slightly higher than the monitoring loads, especially during the daytime. The overall trend of the thermal loads of EnergyPlus model is consistent with the actual energy consumption. The average difference of hourly loads between the simulation and monitoring data on weekdays is 10%.

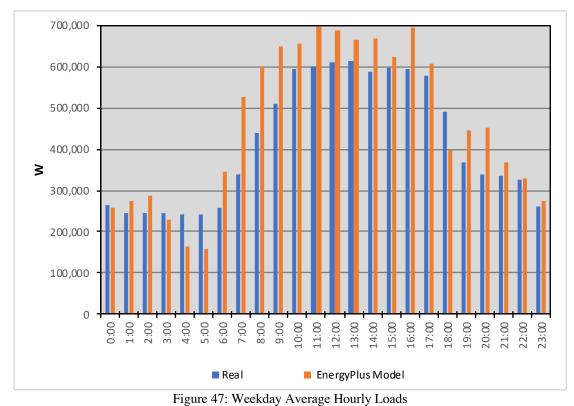


Figure 48 shows the average hourly loads of monitoring and simulation data over the

weekend. The weekend loads of EnergyPlus model matches the actual data better than the weekday loads. The average difference of hourly loads between simulation and monitoring data over the weekend is 5%.

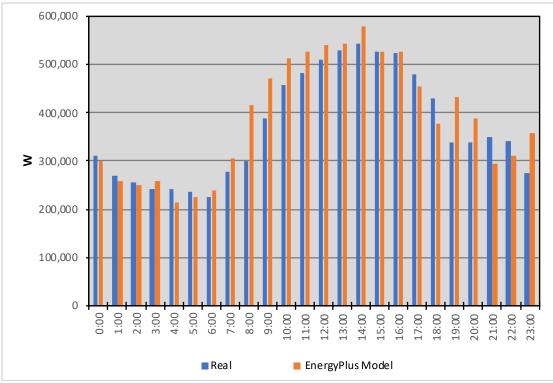


Figure 48: Weekend Average Hourly Loads

In Figure 47 and Figure 48, it can be seen that the simulation results of the EnergyPlus model closely correlate the actual data. The reliability of EnergyPlus has been tested and verified.

## 4.6 Chapter Summary

In this chapter, the reliability of OpenFOAM, Urban Weather Generator, and EnergyPlus is tested and verified. The reliability verification of OpenFOAM consisted of the simulation of wind speeds and wind pressure coefficients. Hence, there were four sets of verifications.

• OpenFOAM-wind speed

The weakness is the wind speed simulation in the wake flow area. The wind speed calculated by OpenFOAM matches well with wind tunnel results in the non-wake areas, not well in the wake area. How this weakness affects the development of the simulation system is evaluated in the next chapters. Meshing of refinement level 2 and the k-Epsilon turbulence model are highly recommended. The roughness length is associated with the wind profile, so the effects of the roughness length is discussed when exploring vertical variations of urban microclimates.

• OpenFOAM-wind pressure coefficients

The trends of the OpenFOAM simulation results are basically consistent with that of wind tunnel data, while the simulation results are slightly less than measurement data. In this research, the wind pressure coefficient simulation is carried out for modelling the detailed natural ventilation system. Hence, the underestimation of wind pressure coefficients by OpenFOAM maybe influence the building performance simulation using a detailed natural ventilation system.

• Urban Weather Generator

This study models the City of London not the Greater London, because Greater London is too massive to model. Hence, it is acceptable to have a slight difference between the simulation results and measurement data. The UHI of Urban Weather Generator numerical model is compared with measurement data of London collected from two papers: "Urban heat island intensity in London: An investigation of the impact of physical characteristics on changes in outdoor air temperature during summer (Kolokotroni & Giridharan, 2008)" and "The London Heat Island: results from summertime monitoring (Watkins et al., 2002)". The simulation result is in the range of measurement data.

• EnergyPlus

Thermal loads of the EnergyPlus model are compared with the actual energy consumption data of a high-rise commercial building in Auckland, which is from Cory's PhD research (Cory, 2016). The simulation results of EnergyPlus model match the actual data.

Overall, the simulation results of these three programs are consistent with corresponding experiment data or measurement data. The reliability of the simulation tools used in this research has been verified. These tools can be used to develop the simulation system for urban microclimate detailed modelling.

# CHAPTER 5. A REPRESENTATIVE SAMPLE OF PROTOTYPICAL OFFICE BUILDING IN A REAL CITY

## 5.1 Chapter Intent

Chapter 4 demonstrated the reliability of simulation tools. The next three chapters are developing a simulation system step by step. Chapter 5 is to use these simulation tools to develop an initial model and ensure the model worked well.

The initial version of the model contained a prototypical high-rise building in a real city and a rough estimated urban microclimate. Rough urban weather data was converted from rural weather data. It takes the horizontal and vertical features of urban microclimates into account. The effects and importance of each horizontal feature, such as urban winds and the UHI, were discussed. Whether or not urban microclimate vertical variations influence building performance is briefly analysed in this chapter. The detailed analyses will be carried out in the next chapter.

In this chapter, the building performance of a prototypical office building with urban or rural weather data files is calculated to explore the influence of urban microclimate parameters. The first stage of modelling, Chapter 5, mostly focuses on horizontal variations of weather data. The second stage of modelling, Chapter 6, is about vertical variations of urban microclimates. This chapter discusses whether vertical variations influence building performance simulation. Then in the next chapter, how the vertical variations and height influence building performance will be discussed.

#### 5.2 Real City – London

#### 5.2.1 Real city or ideal city?

In general, a real city has a complex configuration with details and an ideal city is simplified. The urban planning of an ideal city is much easier to model. However, the configuration of an ideal city is non-existent, and it cannot represent the diversity of a city. Furthermore, the urban microclimate based on an ideal city is quite unrepresentative. A real city has individual urban microclimate and topography, and its weather data is from the local airport area. Both the weather data and the city configuration information are all real and reliable. The data can be used and compared.

An ideal city does not have a unified standard, which means the results based on an ideal city might be far from the real one. In this research, the simulation system is intended to be applied in practice, and the evaluation based on a real city is much more appropriate.

## 5.2.2 Why London?

One of the issues discussed in this research is the difference between weather data in urban areas and rural areas. Urban Heat Island (UHI) is one of the causes of extreme differences in microclimates between urban and rural areas. London is one of the cities particularly affected by UHI in summer. Many studies on the UHI in London provide additional information that is readily available. The UHI effects and accessible data in London make it possible to verify the reliability of the UHI modelling program and explore differences between rural and urban areas in large cities. Figure 49 shows the relative position of Gatwick Airport and the City of London. The weather station is at Gatwick Airport and it is far away from the urban areas of London.



Figure 49: Map of London and Gatwick Airport (Google Maps, 2019)

Figure 50 shows the isotherm map of London UHI in mid-May with clear skies and light winds. The general pattern in the diagram shows the highest temperature is in the

city core, reaching 11°C, which contrasts with the rural fringe, where temperatures dropped to 5°C (Chandler, 1965).

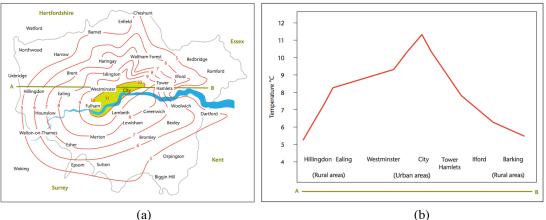


Figure 50: (a)London 'heat island' (minimum temperatures in °C) (mid-May: clear skies and light winds) (b) Temperature profile of London (Jebson, 2008)

It has been reported that the temperature in the centre of large cities increases up by 7°C compared with the local weather station (Wilby, 2003). The UHI effects are greater in the City of London than in other urban areas of London.

## 5.3 Prototypical Office Building

#### 5.3.1 Why office buildings?

Office buildings are energy-intensive, with HVAC systems consuming nearly 50% of total energy use (Pérez-Lombard et al., 2008). This research discussed how to model urban microclimate in detail. The urban microclimate model may provide a different design method of ventilation systems to get a high-performance building. Office buildings are usually located in urban areas. Large office buildings primarily adopt automatic centralized control through a building management system. The building performance of office buildings depends on their engineering design. If urban microclimate models can give engineers a different design strategy, the performance of office buildings can be significantly improved.

Office buildings have a relatively regular schedule and a stable indoor environment. Most office buildings operate much more standardized. Office building users cannot individually control their working environment. In general, workers need a comfortable work environment, and they should not be distracted by things outside of work. If part of HVAC system is replaced by natural ventilation systems, it can not only save lots of energy, but also provide fresh air and a thermal comfortable work environment. Hence, a high-performance office building is quite necessary. The possibility of modelling a high-performance office building was explored.

#### 5.3.2 Why high-rise office buildings?

As the population increased with time during the last century (Figure 51a), higher density has reformed the urban configuration, and more and more high-rise buildings are continually built (Figure 51b). As high-rise buildings are more exposed to lower temperatures, stronger winds and more solar gains, there is a trend of increasing energy use with height (Godoy-Shimizu et al., 2018). Hence, the energy conservation of high-rise office buildings is very important.

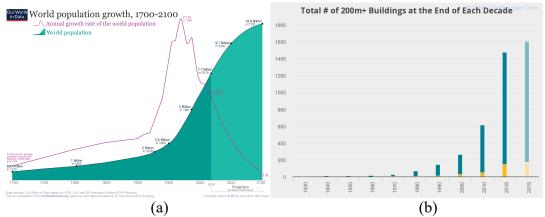


Figure 51: a) World population growth (Roser et al., 2013) b) Total number of 200-meter-plus buildings (blue) and 300-meter-plus (yellow) at the end of each decade (2018 Tall Building Year in Review, 2018)

High-rise office buildings are exposed to complex urban microclimates. Urban microclimate modelling is highly correlated with height, such as Urban Boundary Layer and Lapse Rate. High-rise office building make it possible to evaluate the influence of vertical variations of urban microclimates. For low-rise buildings, the increase of wind speed and the decrease of temperature caused by elevation is too small to be significant. Hence, the complex surrounding microclimate of high-rise office buildings is worth to analyse in order to build a high-performance office building.

#### 5.3.3 Prototypical office building based on highest office buildings in London

Under normal circumstances, a real city has a unique urban environment and configuration. The prototypical high-rise office building was based on the tallest buildings in London, because the research looked into an extreme case study that could reveal differences between under and above the Urban Canopy layer and also could represent other high-rise buildings. Hence, the prototypical building was a very tall building but not an unrealistic building. Its height was set to the average height of buildings over 100 m in the City of London, rather than the height of the tallest building. The studied city was London and the prototypical building also referred high-rise buildings in London.

There were 32 office buildings in London that are 100 m or higher in 2016. The average height of the 32 tallest buildings in London was 153 m; the average number of floors was 35; the average floor to floor height was 4.36 m (Table 17). The 32 buildings varied widely in floor area, from 640 m<sup>2</sup> to 4900 m<sup>2</sup>. The height of the 6 buildings around median (ranked 13<sup>th</sup>-18<sup>th</sup>) of 32 buildings are consistent and their average floor area is 3592 m<sup>2</sup>. Based on this information, the prototypical high-rise office building in this study was 72m x 50m x 154m high, with 35 storeys. Three typical floors (defined as top, middle, and bottom) were modelled to represent the performance near the top, near the bottom and around the middle of the building as a whole (Figure 52). Natural Renewable Energy Laboratory (NREL) has demonstrated that even simulating a single floor with a multiplier can give accurate results for an entire building, as long as the floor is near mid-height (Ellis & Torcellini, 2005). A systematic study showed that these could also be combined to calculate the performance of the entire building (Ellis & Torcellini, 2005).

Rank	Name	Height(m)	Floors	Floor to Floor	Floor area(m2)	Average floor size(m2)	Year	Primary use	Location
1	22 Bishopsgate	278	62	4.48387097	201863	3255.85	2019	Office	City of London
2	One Canada Square	235	50	4.7	162422	3248.44	1991	Office	Isle of Dogs
3	Heron Tower	230	46	5	43000	934.78	2011	Office	City of London
4	122 Leadenhall Street	225	46	4.89130435	84424	1835.30	2014	Office	City of London
5	8 Canada Square	200	42	4.76190476	164410	3914.52	2002	Office	Isle of Dogs
6	25 Canada Square	200	42	4.76190476	170000	4047.62	2002	Office	Isle of Dogs
7	The Scalpel	192	39	4.92307692	38545	988.33	2018	Office	City of London
8	Tower 42	183	47	3.89361702	30100	640.43	1980	Office	City of London
9	30 St Mary Axe	180	40	4.5	47950	1198.75	2003	Office	City of London
10	100 Bishopsgate	172	40	4.3	73000	1825.00	2018	Office	City of London
11	Broadgate Tower	164	35	4.68571429	37000.3	1057.15	2008	Office	City of London
12	20 Fenchurch Street	160	37	4.32432432	62100	1678.38	2014	Office	City of London
13	One Churchill Place	156	32	4.875	157164	4911.38	2004	Office	Isle of Dogs
14	25 Bank Street	153	33	4.15	97546	2955.94	2003	Office	Isle of Dogs
15	40 Bank Street	153	33	4.63636364	84021	2546.09	2003	Office	Isle of Dogs
16	10 Upper Bank Street	151	32	4.71875	92251	2882.84	2003	Office	Isle of Dogs
17	Heron Quays West 2	147	28	5.25	121789	4349.61	2019	Office	Isle of Dogs
18	25 Churchill Place	130	23	5.65217391	89800	3904.35	2014	Office	Isle of Dogs
19	CityPoint	127	36	3.53	110000	3055.56	1967	Office	City of London
20	Willis Building	125	26	4.81	50107	1927.19	2007	Office	City of London
21	Euston Tower	124	36	3.44	37661	1046.14	1970	Office	Euston
22	Millbank Tower	119	33	3.61		0.00	1963	Office	Westminster
23	St. Helen's	118	28	4.21	56097	2003.46	1969	Office	City of London
24	Centre Point	117	35	3.34	27180	776.57	1967	Office	West End
25	Empress State Building	117	31	3.77	43664	1408.52	1961	Office	Earls Court
26	1 West India Quay	108	36	3.00			2004	Office	Isle of Dogs
27	Shell Centre	107	26	4.12	22761.24	875.43	1961	Office	South Bank
28	33 Canada Square	105	18	5.83			1999	Office	Isle of Dogs
29	99 Bishopsgate	104	26	4.00	30000	1153.85	1976	Office	City of London
30	Portland House	101	29	3.48	29914.78	1031.54	1963	Office	Westminster
31	One Angel Court	101	24	4.21	29357.36	1223.22	2017	Office	City of London
32	Stock Exchange Tower	100	27	3.70	31000	1148.15	1970	Office	City of London

Table 17: Top 32 tallest office building in London in 2016 ('List of Tallest Buildings and Structures in London', 2019)

The buildings may not be exactly representative of London, but this was to set up a system to test Urban Canopy. It would be fair to say if the Canopy is smaller, then the effects would be different but the overall importance of modelling all aspects of the UCL and UBL does not change.

If people want to look lower buildings, when reducing the height and it might get a different scale. If you are doing a tall building, it has been demonstrated that people definitely need to do all of the effectors.

Thermal loads of three typical floors represented the thermal loads of the building. The bottom floor was the one just above the ground floor (1<sup>st</sup> floor), the middle floor was the 17<sup>th</sup> floor and the top floor was the one just under the highest floor (the 33<sup>rd</sup> floor) (Figure 52). Note that the convention of calling the lowest floor above ground level the ground floor and the next the first floor was used here. The bottom floor was not the ground floor because the ground floor was adjacent to the ground so it had potential for heat gain or loss to the ground which was not typical of other lower floors. The top floor was not the roof floor because the roof floor was exposed to solar radiation and had potential for heat gain or loss through the ceiling to outside. Hence the topmost floor was not representative of higher floors. Therefore, the performance of 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floor was calculated to predict whole building performance.

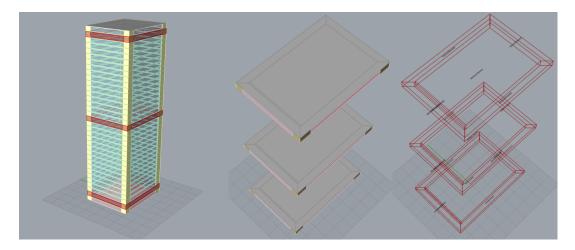


Figure 52: 3 floors of the prototypical high-rise office building

Each floor of the prototypical high-rise office building was divided into 5 zones, 4 perimeter zones and 1 core zone (Table 18). The thermal zones and perimeter zone depth (5 m) were set according to Pacific Northwest National Laboratory (PNNL) prototype office large (Commercial Prototype Building Models, 2016) and large office studies of Lawrence Berkeley National Laboratory (Huang et al., 1991). The perimeter

zone is affected by daylighting. The daylighting zone depth depended on the height of ceiling and window percentage area. According to the study of Huang et al., the depth of the perimeter zone of large office buildings is 15 feet (4.5 m) when the wall is 10 feet (3 m). The floor to floor height of the typical high-rise office building was 4.4 m, however, the floor to ceiling height was less than the floor to ceiling height. Assuming the ceiling height was 3.3 m and the depth of the perimeter zone was 5 m in this study.

Table 18: The percentage of each zone					
Name	Function	% on floor size			
Zone0	Core Zone	68.9%			
Zone1-Zone4	Perimeter zone	31.1%			

The loads (Table 19), working schedules (Table 20), and construction (Table 21) of the prototypical high-rise office building model were based on the large office model from Pacific Northwest National Laboratory. The glazing ratio was 0.6 (Juniwati, 2008). The HVAC system used in this research was a Variable Air Volume (VAV) system. The heating setpoint of the natural ventilation system used in this research was 18°C, and the cooling 27°C. The high-rise office building located in the City of London. Hence, the terrain was set as a city. The Wind Speed Exponent for a city was 0.33, and the Wind Speed Profile Boundary Layer Thickness for a city was 460 m. The default Air Temperature Gradient Coefficient was 0.0065 K/m.

Table	19: Loads set-up	
Per Area	(W/m <sup>2</sup> )	
Equipment Load	7.64 W/m <sup>2</sup>	
Infiltration Rate	$0.000227 \text{ m}^3/\text{s-m}^2$	
Lighting Density	$11.84 \text{ W/m}^2$	
Number of People	$0.056 \text{ ppl/m}^2$	
Ventilation	$0.000305 \text{ m}^3/\text{s-m}^2$	
Schedules Type	): Schedules set-up EP Schedule Libraty	
• •		
Occupancy	Office Bldg Occ	
Occupancy Activity	Office Activity	
Heating Set Point	Office HtgSetp	
Cooling Set Point	Office ClgSetp	
Lighting	Office Bldg Light	
Equipment	Office Bldg Equip	
Infiltration	Office Infil Quarter On	

Construction	Standard	Surface Type				
Wall	ASHRAE90.1-2010	Exterior Wall Steel Frame				
Window	ASHRAE90.1-2010	Exterior Window Metal				
Roof	ASHRAE90.1-2010	Exterior Roof IEAD				
Floor	ASHRAE90.1-2010	Attic Floor				

Table 21: Construction set-up

## 5.4 Weather Data in Urban Areas Calibration

According to the reference of EnergyPlus, air temperature is measured at approximately 1.5 m above ground and wind speed is measured at 10 m above ground (LBNL, 2018). Weather station is in a flat, open field with little protection from the wind. The weather station of London locates in Gatwick airport.

London is one of the cities which is significantly affected by UHI effects, especially in summer (Kotthaus & Grimmond, 2014). The first step in this progress is to obtain the urban microclimate data for London.

## 5.4.1 Urban Heat Island weather data

Based on GIS information of London, the buildings of the City of London were modelled, and the UHI climate data was calculated by Urban Weather Generator (UWG) (Urban Weather Generator, n.d.). The predicted values of Dry Bulb Temperature (°C), Dew Point Temperature (°C) and Relative Humidity (%) differ from the original Gatwick data. The difference between original and UHI daily averaged Dry Bulb Temperature is shown in Figure 53. The air temperature is between -3°C and 10°C in winter and between 10°C and 25°C in summer. The maximum London UHI is 8.3°C, the maximum daily average UHI is around 6°C and the average London UHI is 2.32°C.

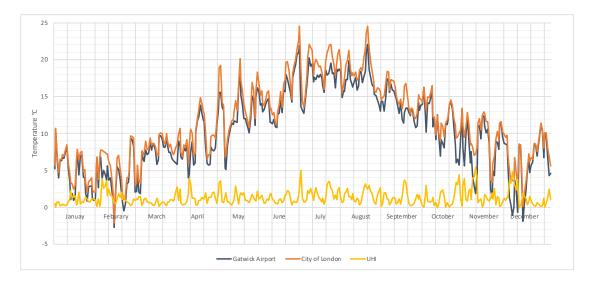


Figure 53: Daily averaged Dry Bulb Temperature and UHI

#### 5.4.2 Urban wind weather data

The EPW (EnergyPlus Weather data file) file of London weather data was collected from Gatwick airport, 47.5km south of Central London. The wind speed collected from airports is usually higher than that of the airflow in cities. To explore the accuracy of wind speed in urban areas, it is necessary to take the terrain into account and calculate the relative urban speed.

The Ladybug script, WindSpeedCalculator, calculated wind speed at a specific height for a given terrain type (Roudsari, n.d.). It generated an approximate wind speed and direction in an urban area, which was used to explore whether the air-flow movement has an impact on building performance. In terms of the terrain of city, the ratio of the wind Speed at 10 m in central London to the Wind Speed at 10 m at Gatwick Airport is around 0.45.

#### 5.4.3 Four kinds of weather data

On the basis of considering terrain, the weather data of central London with UHI and wind was predicted. In addition to the original EPW file of Gatwick Airport, a revised weather file considering urban wind, a revised weather file considering UHI and a revised weather file combining UHI and wind were created (Table 22). EPW weather files were transferred to csv format and CSV files were opened by excel. Temperature was adjusted according to the UHI data calculated by UWG and wind speed was adjusted according to urban wind produced by OpenFOAM. Temperature and wind speed data were revised in excel and saved as CSV files. At last, CSV files were

transferred back to EPW format. These four weather data files were used to explore the impacts of horizontal parameters of urban microclimates on the simulation of building performance, such as the influence of UHI on cooling energy consumption and the influence of air-flow movement on natural ventilation.

Tal	Table 22: 4 kinds of weather data in London				
No.	Weather data				
1.epw	Gatwick airport weather file				
2.epw	London city wind weather file				
3.epw	London city UHI weather file				
4.epw	London city UHI and wind weather file				

## 5.5 Analysis of the Prototypical Office Building Performance

This case study reported the possible effects of three-dimensional variations of temperature and wind speed when modelling tall buildings in dense urban areas. In modelling these three-dimensional effects, the case study demonstrated the significant impact of the variances in temperatures and wind speeds between rural and urban areas on the performance of a high-rise office building. The possible impact on simulation results of modelling with and without vertical variations was also explored. The next chapter would study how each parameter of vertical variations, such as the wind profile exponent and the temperature gradient, influences energy simulation. The modelling flow of the case study is shown in Table 23.

Height	Ventilation	Weather	Performance Different Height Average		Modelling					
Varations(HV)	Systems	data	Calculation 1st Floor 17th Floor 33rd Floor 1&17&33 Floors		steps					
	HVAC	1.epw	Thermal Loads Cooling Heating	Performance(kWh /m2.monthly)	Performance(kW h/m2.monthly)	Performance(kWh/ m2.monthly)	Performance(kWh/ m2.monthly)	a)	1st	
	system	2.epw		Repeat calculaton for 2.epw b)					Step	
		3.epw		Repeat calculaton for 3.epw						
With Height		4.epw		Repeat calculaton for 4.epw						
Variations	Natural	1.epw	Thermal Loads Cooling Heating	Performance(kWh /m2.monthly)	Performance(kW h/m2.monthly)	Performance(kWh/ m2.monthly)	Performance(kWh/ m2.monthly)	2	1.61	
	Ventilation	2.epw		Repeat calculaton for 2.epw					2nd Step	
	stytem	3.epw		Repeat calculaton for 3.epw						
		4.epw	Repeat calculaton for 4.epw					1		
	HVAC system	1.epw	Thermal Loads Cooling Heating	Performance(kWh /m2.monthly)	Performance(kW h/m2.monthly)	Performance(kWh/ m2.monthly)	Performance(kWh/ m2.monthly)			
		2.epw		Repeat calculaton for 2.epw						
A Calc		3.epw		Repeat calculaton for 3.epw						
Without		4.epw		Repeat calculaton for 4.epw						
Height - Variations	Natural Ventilation stytem	1.epw	Thermal Loads Cooling Heating	Performance(kWh /m2.monthly)	Performance(kW h/m2.monthly)	Performance(kWh/ m2.monthly)	Performance(kWh/ m2.monthly)	310	d Step	
		2.epw		Rej	peat calculaton for	2.epw				
		3.epw		Repeat calculaton for 3.epw						
		4.epw	Repeat calculaton for 4.epw							

Table 23: Modelling flow for heights, weather data, ventilation systems and height variations

In Table 23, in the first step, the buildings in the City of London were modelled, and the UHI climate data was calculated using the Urban Weather Generator (UWG). The UHI climate data was calibrated against published empirical data. The approximate urban wind speeds were also achieved through a Ladybug script, WindSpeedCalculator. A comparison was made of:

- a) the performance of the typical high-rise office building based on climate data collected from a weather station in a rural area;
- b) the performance of the same building using climate data of the adjusted urban wind;
- c) the performance of the same building using climate data of the adjusted UHI calculated by UWG;
- d) the performance of the same building using climate data of adjusted UHI and urban wind.

The performance calculation was based on an HVAC system, including thermal loads, cooling loads and heating loads at the 1<sup>st</sup> floor, the 17<sup>th</sup> floor and the 33<sup>rd</sup> floor.

The second step modelled the performance of the same building based on a natural ventilation system and repeated the calculation with four kinds of weather data.

The third step was to model the typical building without vertical variations of urban microclimate, tested the effects of vertical variations, and repeated the performance calculation with four kinds of weather data, two kinds of ventilation systems, at different floors (refer to Table 23). Vertical variations of urban microclimates included the Wind Speed Profile Exponent, Wind Speed Profile Boundary Layer Thickness (m), and Air Temperature Gradient Coefficient (K/m). The previous model had an original height variation setting and changed the default values to 0. Then the effects of UHI, urban winds, heights, ventilation systems and vertical variations of urban microclimates were compared and analysed.

## 5.5.1 Urban microclimate weather data

To explore the influence of UHI and wind in urban areas on the accuracy of modelling, the thermal loads, and cooling and heating performance using different weather data were compared with each other. A rural weather file (GatwickAirport) and three urban weather files taking the UHI (LondonCity\_UHI), urban wind (LondonCity\_Wind) and

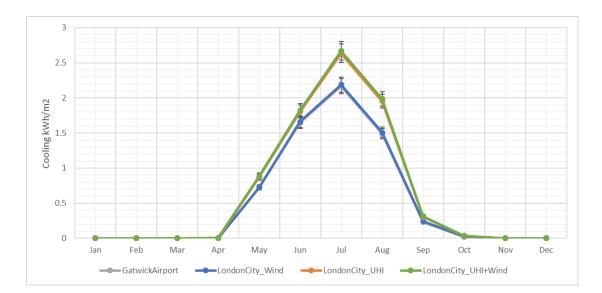
both the UHI and wind (LondonCity\_UHI+Wind) into account were imported into the simulation. The ventilation system was an HVAC system. The black error bars in figures represent a 5% difference.

Figure 54 shows that thermal loads using rural weather data are higher than those using urban weather data for most of the year. In summer, the UHI increases cooling loads. The effects of urban wind on thermal loads are less than 5% and the effects of the UHI are more than 10%. This means the urban wind has fewer effects on building performance, while the UHI has greater effects on building performance.



Figure 54: Thermal loads of the high-rise office building for 4 different weather data

Figure 55 shows that urban wind has very slight impacts on the cooling loads and the UHI has big impacts on the cooling loads in summer. Figure 56 shows the heating load using rural weather data is higher than that with urban weather data. Urban microclimates reduce heating loads. The decrease caused by the UHI is greater than that caused by wind. That means that UHI has a big impact on heating consumptions in winter.



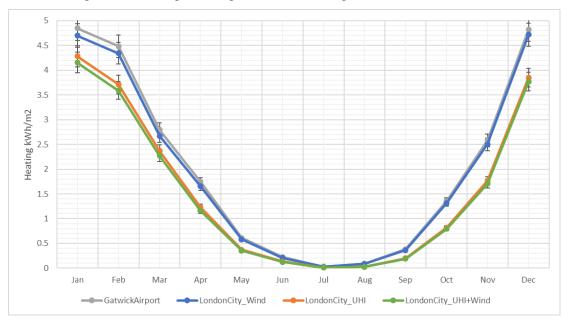


Figure 55: Cooling of the high-rise office building for 4 different weather data

#### Figure 56: Heating of high-rise office building for 4 different weather data

As shown in Figure 54-Figure 56, the UHI has a big impact on building performance simulation. For most of the year, the difference in energy consumption between taking into account the UHI effects and using the original weather data is more than 10%. Estimated wind speed in the urban area has a less than 5% effect on the energy performance modelling. The combined effects taking the UHI and wind into account are higher than that taking the UHI into account alone.

Therefore, in London, engineers should model the UHI first. If they need more accurate results, they could model both the UHI and the urban wind. At present, it is not clear whether the accurate air-flow movement has a bigger impact on the natural ventilation. The additive effect of the wind might be significant for the overall results.

## 5.5.2 Height

Three typical floors (1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors) of the high-rise building were selected to explore the influence of height on building performance. A rural weather file (GatwickAirport) and an urban weather files taking the UHI and wind into account (LondonCity\_UHI+Wind) were imported to investigate the effects of height on rural and urban weather data with an HVAC system.

Thermal loads using rural weather data and urban weather data at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors are shown in Figure 57. For most of the year, the thermal loads increase with height and the thermal loads of urban microclimates are fewer than those of rural microclimates. The difference of thermal loads between using rural and urban weather data increases with height. In summer, cooling loads using urban weather data are more than those using rural weather data, while, they are not affected by height a lot.

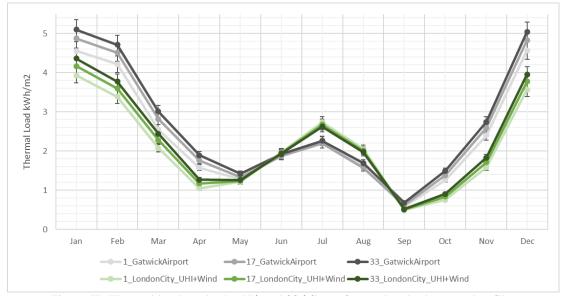
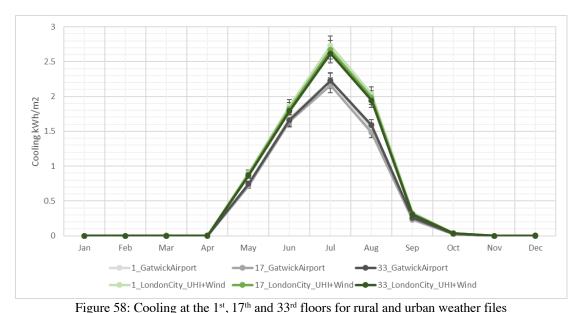


Figure 57: Thermal loads at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors for rural and urban weather files Compared with the rural weather file, the urban weather file increases cooling load predictions more than 10% in July and August (Figure 58). The cooling loads using urban weather data decrease very slightly with height.



The urban weather file decreases heating load predictions more than 10% in Winter, because the temperature in urban areas is higher than in rural areas (Figure 59). The reduction of heating load predictions due to urban weather file increases with height. The heating loads increase with height in both rural and urban areas.

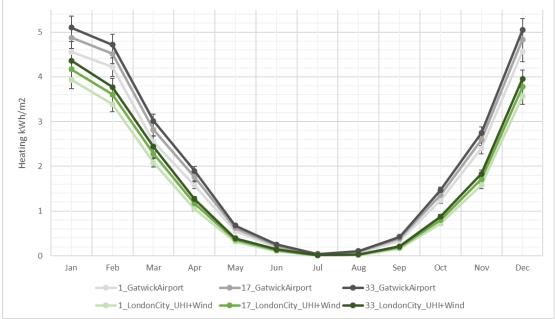


Figure 59: Heating at the 1st, 17th and 33rd floors for rural and urban weather files

As shown in Figure 57-Figure 59, the higher floor has the higher thermal load for most of the year. Cooling loads at the higher floor are slightly lower than those at the lower floor in urban areas. In contrast, heating loads at the higher floor are higher than those at the lower floor. That is because of vertical change in wind and temperature. The urban microclimate considering the UHI and urban wind has a big influence on the cooling in summer and the heating in winter. The influence of height is also significant. The research discussed the combined effects of the UHI and urban winds. What are the respective effects of the UHI and urban winds? At different heights, will the significance of the UHI and urban winds be different? The thermal loads caused by the UHI (LondonCity\_UHI) and the thermal Loads caused by urban winds (LondonCity\_Wind) were compared with those using rural weather data (GatwickAirport). The thermal load percentage changes are shown in Figure 60. The cooling load percentage changes from May to October are shown in Figure 61 and the heating load percentage changes from November to April are shown in Figure 62.

At the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors, the thermal load percentage change caused by urban winds is much smaller than that caused by the UHI (Figure 60). That means the influence of the UHI is much bigger than urban winds at different height. For engineers, they can model detailed urban microclimates according to their requirements for accuracy. When they don't have enough time to model a very detailed model, the UHI takes precedence over urban winds.

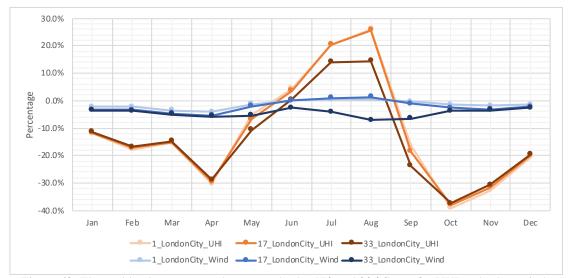


Figure 60: Thermal load percentage changes at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors for UHI and urban winds From May to October, the cooling load predictions change a lot when taking UHI into account (Figure 61). The cooling loads also change when taking urban winds into account. However, the changes caused by urban winds are smaller than those caused by the UHI. At the 1<sup>st</sup> and 17<sup>th</sup> floors, considering urban winds increases cooling loads, while, at the 33<sup>rd</sup> floor, considering urban winds reduces cooling loads.

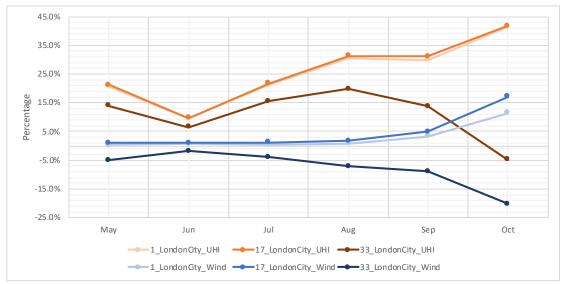


Figure 61: Cooling percentage changes at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors for UHI and urban winds (from May to October)

Taking the UHI into account reduces heating load predictions a lot (between 10% and 33%), while taking urban winds into account reduces heating load predictions by less than 5% (Figure 62). The influence of the UHI slightly decreases with height and the influence of urban winds slightly increases with height.

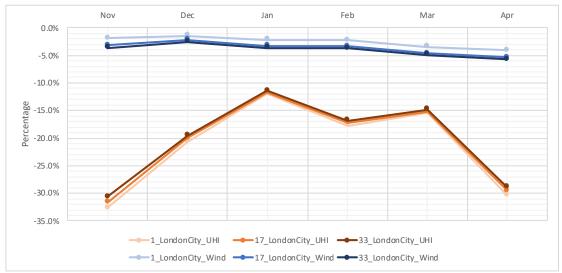


Figure 62: Heating percentage changes at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors for UHI and urban winds (from November to April)

As shown in Figure 60-Figure 62, at different heights, urban winds slightly influence thermal load predictions, cooling in summer and heating in winter. The effects of urban winds increase with height, while, the effects of the UHI decrease with height slightly. However, the effects of the UHI are much bigger than those of urban winds. The influence of height on the heating load is bigger than that on the cooling load. Hence, when engineers design the capacity of building equipment at different floors, it is necessary to consider the influence of height to simulate building performance and optimize the design.

## 5.5.3 Natural ventilation

Compared with HVAC systems, natural ventilation systems (NV) have a closer relationship with the outdoor environment. In this part of research, NV is short for natural ventilation. Whether or not urban microclimates have a bigger influence on natural ventilation systems (GatwickAirport\_NV, LondonCity\_NV, LondonCity\_UHI\_NV and LondonCity\_UHI+Wind\_NV) than on HVAC systems (GatwickAirport, LondonCity, LondonCity\_UHI and LondonCity\_UHI+Wind) were analysed. The effects of different weather data on different ventilation systems at different floors were also discussed.

The effects of different weather data on an HVAC system and a natural ventilation system are similar (Figure 63). The influence of the UHI is bigger than that of urban winds, using both HVAC systems and natural ventilation systems. Natural ventilation reduces thermal load predictions, especially in summer.

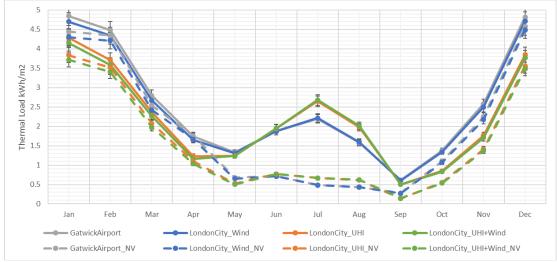


Figure 63: Thermal loads for 4 weather data with an HVAC system and with a natural ventilation system (NV)

In Figure 64, for an urban weather file considering both the UHI and urban winds, thermal loads of a natural ventilation system (LondonCity\_UHI+Wind\_NV) are compared with those of an HVAC system (LondonCity\_UHI+Wind) at different floors. The impacts of height on natural ventilation are similar to those on HVAC systems. Thermal loads increase with height for most of the year while in summer they decrease slightly with height, when using an HVAC system and a natural ventilation system.

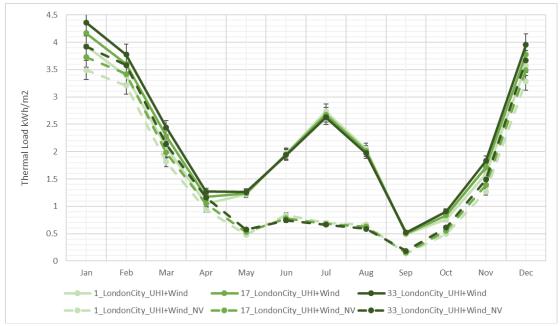


Figure 64: Thermal loads of urban weather data at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors with an HVAC system and with a natural ventilation system (NV)

The cooling change between an HVAC system and a natural ventilation system is cooling loads of an HVAC system minus cooling loads of a natural ventilation system (HVAC-NV). HVAC-NV is short for the change between those two systems. Figure 65 shows the reduction of cooling load predictions due to natural ventilation. The cooling changes at different heights are similar. natural ventilation reduces more cooling load predictions when considering the combined effect of the UHI and urban winds.

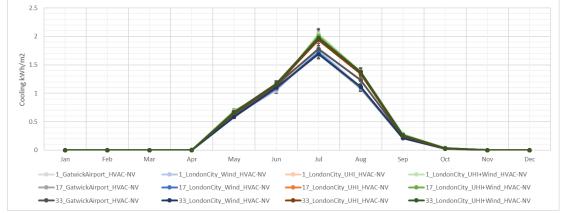


Figure 65: Cooling changes for 4 weather data at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors between an HVAC system and a natural ventilation system (HVAC-NV)

What are the respective effects of the UHI and urban winds on natural ventilation systems? Compared to an HVAC system (Figure 60), does the influence increase or not? The thermal loads considering the UHI (LondonCity\_UHI\_NV) and the thermal loads considering urban winds (LondonCity\_Wind\_NV) are compared to the thermal loads using rural weather data (GatwickAirport\_NV). For a natural ventilation system,

the thermal load percentage changes due to the UHI and urban winds are shown in Figure 66.

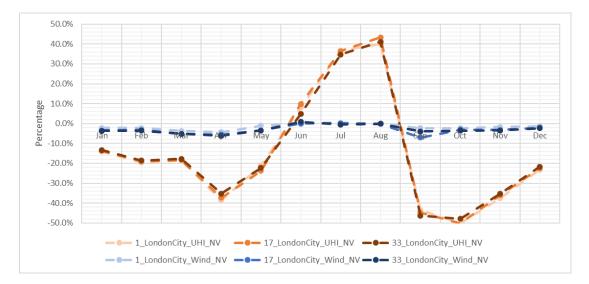


Figure 66: Thermal load percentage changes at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors of the UHI and urban winds with a natural ventilation system (NV) over an HVAC system

For natural ventilation systems, the effects of UHI are much bigger than urban winds (Figure 66). Compared with the effects on an HVAC system, Figure 60 and Figure 66 show that the effects of the UHI on natural ventilation systems are bigger than those on HVAC systems, and the effects of urban winds are similar. Therefore, when designing a natural ventilation system for a project, it is necessary to consider the influence of the UHI in the project site to optimize the design.

## 5.5.4 Vertical variation

When exploring the effect of height in Section 5.5.2, the research found cooling loads decrease with height, and heating loads increase with height. That is because of the vertical variations of urban microclimates. The wind speed increases with height, and temperature decreases with height. How these changes impact the simulation results was explored by adding the following additional variables into an EnergyPlus model. The terrain was set as a city. The additional variables were changed from the corresponding value of a city to zero, except that the boundary layer thickness which could not be zero. The Wind Speed Profile Exponent is a parameter to describe the wind profile using Power-law. The exponent corresponding to a city is 0.33. The Wind Speed Profile Boundary Layer Thickness is the height reached the free stream velocity. The thickness corresponding to a city is 460 m. The Air Temperature Gradient Coefficient is related to the Lapse Rate and the default value is 0.0065 K/m. Then the

Wind Speed Profile Exponent and the Air Temperature Gradient Coefficient were changed to 0 (Table 24). The Wind Speed Profile Boundary Layer Thickness was changed to 200 m, because it had to be more than 0 and the one corresponding to the ocean is 210 m and 200 m was taken as an approximate value. The Wind Speed Profile Boundary Layer Thickness was set to be slightly smaller than that of the ocean. HV is short for HeightVariation.

Table 24: With and without height variations						
HeightVariation (HV)	City	Without height variations				
Wind Speed Profile Exponent	0.33	0				
Wind Speed Profile Boundary Layer Thickness $\{m\}$	460	200				
Air Temperature Gradient Coefficient {K/m}	0.0065	0				

For an HVAC system, the thermal loads with height variations (HV) (GatwickAirport\_HV, LondonCity\_HV, LondonCity\_UHI\_HV and LondonCity\_UHI+Wind\_HV) were compared to the thermal loads without height variations (Figure 67).

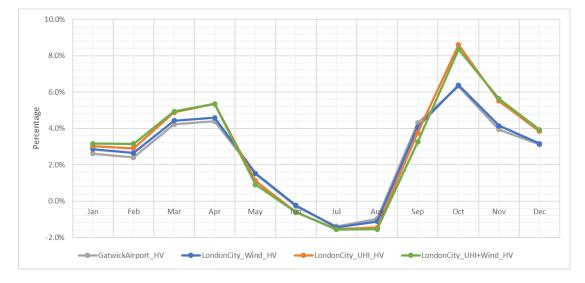


Figure 67: Thermal load percentage changes for 4 different weather data with height variations (HV) over without height variations for an HVAC system

Figure 67 shows the thermal load percentage changes between with and without height variations of microclimates. The effects of height variations are less than 9% for all 4 kinds of weather data. Height variations increase the heating load in winter and reduce the cooling load in summer because the percentage change in summer is negative and in winter is positive.

For a natural ventilation system (NV), the thermal loads with height variations (HV) (GatwickAirport\_NV\_HV, LondonCity\_NV\_HV, LondonCity\_UHI\_NV\_HV and LondonCity\_UHI+Wind\_NV\_HV) were compared without the thermal loads with height variations (Figure 68).

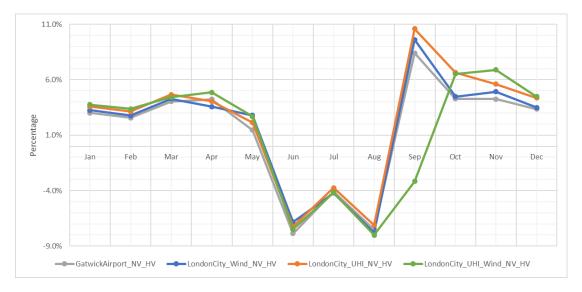


Figure 68: Thermal load percentage changes of 4 weather data with height variations (HV) over without height variations for a natural ventilation system (NV)

For a natural ventilation system, the effects of height variations are less than 11%. Figure 68 shows the effects of height variations on a natural ventilation system are a little bigger than those on an HVAC system. The thermal load reduction due to height variations in summer on a natural ventilation system are much bigger than that on an HVAC system. The effects of height variations on using different weather data are similar, but on using different ventilation systems they are different.

Thermal load changes between with and without height variations at different floors are shown in Figure 69. It can be seen that the effects of height variations increase with height.

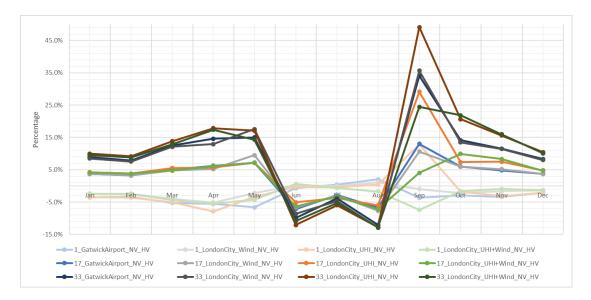


Figure 69: Thermal load changes of 4 weather data with height variations (HV) over without height variations for a natural ventilation system (NV) at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors

This section demonstrates the necessity of exploring the influence of vertical variations of urban microclimates, because the influence of vertical variations is significant and it increases with height (Figure 67-Figure 69). Therefore, the next step is to investigate the influence of the precision of vertical variations. In designing high-rise buildings, engineers should consider the impact of vertical variations and the feasibility of using natural ventilation systems.

#### 5.6 Chapter Summary

- Accounting for UHI effects results in a more than 10% variation in the performance simulation results.
- Accounting for the variation in winds between open field and urban environments results in a less than 5% variation in the performance simulation results, and its influence increases with height.
- Natural ventilation efficiency is affected by UHI effects and height.
- Vertical variation of microclimate has an around 10% impact on the performance simulation results and its influence increases with height a lot.

This chapter has confirmed that the urban microclimate effects on building performance can be modelled. This generic modelling has also confirmed that the effects are potentially of sufficient size that more detailed modelling is required.

At present, the wind model is a generic model, recognizing that the natural rate of increase of wind speed with height is much slower in cities than in rural areas, where weather files for building simulation are typically measured. These generic models are well-validated. This wind model needs to consider the specific aerodynamics of the real geometry of a city. The next stage of this research is to evaluate how the vertical variations of urban winds influence the building performance.

Similarly, the generic horizontal plane definition of the Urban Heat Island needs to be tested to determine how to make it specific to a particular urban geometry. Whether or not the vertical variation of temperature changes the building performance at different heights a lot will be explored in the next stage.

## CHAPTER 6. VERTICAL VARIATION EFFECTS ON BUILDING PERFORMANCE AND NATURAL VENTILATION

## 6.1 Chapter Intent

Chapter 5 confirmed that rough urban weather data and rough vertical variations of urban microclimates influence the simulation results of a prototypical high-rise building's performance. In this chapter, the urban microclimate profile is addressed, such as the wind profile and the temperature profile. The effects of vertical variations of urban microclimates on energy performance predictions were explored. How these vertical variations affect the natural ventilation at different heights was analysed as well.

#### 6.2 Urban Microclimate Profile

Urban microclimate profile is different from rural microclimate profile due to the difference of topography between urban and rural areas. Compared with rural areas, dense buildings in urban areas change wind and temperature profiles. According to the research of Lee, the mean wind speed in city centre of London is always lower than the mean wind speed in the surrounding rural areas, with 20-30% due to the roughness of urban surface (Lee, 1979). The wind profile and wind profile depth are influenced by the high surface roughness caused by high-rise buildings in central London. Likewise, the UHI monthly averaged Dry Bulb Temperature was approximately 10% higher than the temperature of surrounding rural areas (Section 4.4.3). Also, the lapse rate is much higher in urban areas than in the non-urban areas, especially in summer (Bokwa, 2015). The urban wind profile and the temperature profile of central London were reproduced based on the observed data in this chapter.

Urban microclimate data was not widely available, but weather data from airports is always accessible. A substitute for urban microclimate data converted from rural weather data and accounting for the UHI and the reduction of urban wind speed was used. The results of based on revised urban weather data were then compared with those from the original and common urban weather data to determine how the revised data influences the results.

#### 6.2.1 Urban wind profile

The Atmospheric Boundary Layer (ABL) is the air layer near the earth's surface which is influenced by the earth's surface. The ABL is characterized by the turbulence of airflow due to the roughness of earth's surface. The height of the boundary layer is based on the turbulence generated by the surface (Oke, 1978). The Urban Boundary Layer (UBL) is the boundary layer over a city, which is characterized by the turbulence caused by the roughness of urban areas. The roughness length of a city is bigger than that in rural areas, and the lower portion of UBL extends up to 10% of the depth of the boundary layer (Ricciardelli & Polimeno, 2006). The urban wind profile described in this paper is the vertical distribution of wind speed within the lowest part of UBL. Generally, there are two mathematical models to represent the mean wind speed at different heights, namely the logarithmic law and the power-law (Manwell et al., 2010).

#### 6.2.1.1 Power-law

The power-law model is more widely used because it is easy to use (Davenport, 1960). The power-law formula of wind profile is an empirical formula, not a theoretical formula. The exponent,  $\alpha$ , produces the best match of wind speed predictions and observations between two heights (Drew et al., 2013).

$$\frac{U_{(z)}}{U_{(z_{ref})}} = \left(\frac{Z}{Z_{ref}}\right)^{\alpha}$$

The  $U_{(z_{ref})}$  is the mean wind speed at the reference height  $Z_{ref}$ ; and  $\alpha$  is the exponent which represents the ground roughness (Q. S. Li et al., 2010). The Wind Speed Profile Exponent in Section 5.5.4 was based on this power-law formula.

#### 6.2.1.2 Log law

The log law wind profile formula uses a logarithm to extrapolate the vertical distribution of mean wind speeds and is also empirical. The formula is given by (Davenport, 1960).

$$U_{(z)} = \left(\frac{U_0^*}{\kappa}\right) ln\left(\frac{z}{z_0}\right)$$

Where  $Z_0$  is the surface roughness length;  $U_0^*$  is the surface friction velocity;  $\kappa$  is von Karman's constant;  $U_{(z)}$  is the mean wind speed at height z (Q. S. Li et al., 2010). The roughness length in open areas is generally smaller than that in areas with lots of

obstacles such as buildings, which means that the magnitude  $Z_0$  of rural areas is smaller than that of urban areas. The roughness length in Section 3.3.1.1.2 and Section 4.2 was based on this log law formula.

#### 6.2.1.3 <u>Reliability of logarithmic and power-law wind profile</u>

In Johnson's research, an examination of the vertical wind profile in the lowest layer of the atmosphere was taken. The relative accuracy of the logarithmic and power-law wind profile was analysed, and compared with the logarithmic law, the power-law represented the data better (Johnson, 1959). In Drew et al.'s research, the log wind profile was addressed as valid in the inertial sublayer (Drew et al., 2013). Nicholas John Cook considered a log wind profile representation better than a power-law representation between 10 m and 20 m height (Nicholas John Cook, 1985). From 20 m to 100 m, both can predict the realistic mean wind speed. However, over a height of 100 m, the power-law estimated the more reliable mean wind speed within ABL (Nicholas John Cook, 1985). While Tieleman and Nicholas J. Cook considered the logarithmic law wind profile, it does not produce as reliable predictions of wind speed as power-law above around 200 m height (Nicholas J. Cook, 1997; Tieleman, 2008).

#### 6.2.2 Urban atmospheric boundary layer height/thickness

Urban boundary layer (UBL) height or thickness is the atmospheric boundary layer (ABL) height over urban areas. Above the ABL is the free atmosphere. Under the free atmosphere, there is a mixed layer in the upper part of ABL, and the well mixed height is the ABL height. The UBL is often diagnosed from vertical profiles of temperature, humidity and wind (Liu & Liang, 2010). These profiles can be retrieved from measured data from lidar, sodar, radiosonde, satellite and other remote-sensing instruments. Lidar uses light from a laser, sodar is an acoustic radar, and radiosonde uses radio to transmit measurements. Because the mixed layer is typically moister and contains more aerosols than the free atmosphere, lidar can easily detect the boundary between the two layers (Boers et al., 1984; Flamant & Pelon, 1996; Kunkel et al., 1977; Stull & Eloranta, 1984). The resolution of lidars is a few tens of meters, and their repetition rate is a few tens of hertz. These make lidar one of the most appropriate systems for analysing the ABL structure and height (Menut et al., 1999). The ABL structure are more complex over urban areas than over rural areas due to the dense buildings and thermal radiation.

The UBL height varies from time to time. On 14<sup>th</sup> March, the UBL in Paris slowly deepened from around 350 m at 0975 GMT to 600 m at 1625 GMT. On 21<sup>st</sup> May, 2008, the UBL of Xi'an city was 424 m in the morning, increased to 827 m in the noon, and decreases to 204 m at night (Du et al., 2013).

The UBL height also varies when different measurement or calculation methods are used. The difference between the radiosonde and the lidar ABL heights was within 100 m to 300 m, or 10% (Cooper & Eichinger, 1994). The ABL heights calculated by Nozaki method are generally higher than those calculated by Liu method (Du et al., 2013). The difference of the ABL heights between radiosonde and sodar data is around  $\pm$  167 m (Liu & Liang, 2010).

The UBL height changes with time and measured or calculation methods. How will the UBL height errors produced by these factors influence the urban microclimate modelling, and will the urban microclimate modelling impact the building performance simulation?

#### 6.2.3 Urban temperature profile

The typical temperature profile for the vegetation canopy is not the same as that for the urban canopy, because urban surface geometries are more complex than the vegetation (Kanda et al., 2005). The lapse rate is the temperature falling rate with altitude (Jacobson, 2005). If the temperature increases with height, the phenomenon is called an inversion. N. J. Tapper found the dominance of nocturnal inversion conditions at both urban and rural sites of Christchurch (Tapper, 1990). Low building density and high vegetation coverage are partially responsible for the high rate of urban nocturnal inversions. According to the research of M. Kanda, R. Moriwaki and Y. Kimoto, the height of the maximum temperature with in and above the urban canopy of Tokyo varied with the season (Kanda et al., 2005). The maximum temperature was at the roof level in winter but near the ground in summer. Hence, there are inversion conditions in winter under the building height. In New York City, the inversion of urban surface temperature is not as strong as that of non-urban regions, and the inversion layer height of 310m was observed over suburban areas (Bornstein, 1968). In Columbus, Ohio, there is a lapse rate when the wind is from the northwest and an inversion when the wind is from the southwest or southeast (Angell et al., 1971).

Due to the UHI effects, the urban temperature is generally higher than the rural temperature. The urban temperature excess decreases with height (Bornstein, 1968). The urban temperature excess decreased to zero at 300 m in both the Christchurch and New York, and at 700 m in Montreal. In Christchurch, the diurnal mean rural lapse rate of 0.0043 K/m was slightly larger than the urban lapse rate of 0.00375 K/m (Tapper, 1990). In New York City, the urban lapse rate was around 0.0043 K/m in the morning of 16<sup>th</sup> July 1964 York (Bornstein, 1968). In Montreal, the urban lapse rate was around 0.0035 K/m, the suburban lapse rate 0.0041 K/m and the rural lapse rate 0.0043 K/m on February 22, 1968 at 0700 EST (Oke & East, 1971).

The urban temperature profile varies with time, the season and the wind direction. How will the temperature gradient influence the building performance simulation? Is the precision of the urban temperature gradient important for urban microclimate modelling?

### 6.3 Urban Microclimate Profile of London

#### 6.3.1 Urban wind profile of London

To improve the accuracy of simulation, measured values were collected to replace assumed or stipulated values because they could reduce biases (Guideline, 2002). The vertical distribution of urban wind in London was replicated according to the observed data (Drew et al., 2013). In Drew et al.'s research, a Dopplar lidar was used to obtain weather data for 8 months in London city centre. The wind data was used to develop the mean wind speed profile of central London (Figure 70). According to the sorted data, the exponent of the mean wind speed profile of central London for around 2500 m height was 0.4237.

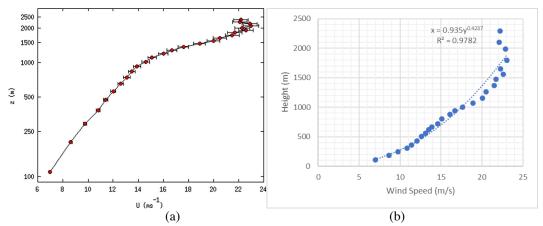


Figure 70: The mean wind speed profile averaged within 4578 h (Drew et al., 2013) and data sorted out

In Figure 71, it can be seen that the end of the trendline does not match the observed data from 1000 m to 2500 m. The trendlines for 1500 m, 1000 m and 500 m heights are then reproduced, and the value of the power-law exponents are 0.4383, 0.3962, 0.3996. The exponents 0.3962 for 1000 m and 0.3996 for 500 m heights are incredibly similar, and trendlines match well with data. The exponents are very close to 0.4. Hence, 0.4 was taken as the exponent of the London urban wind profile in this research.

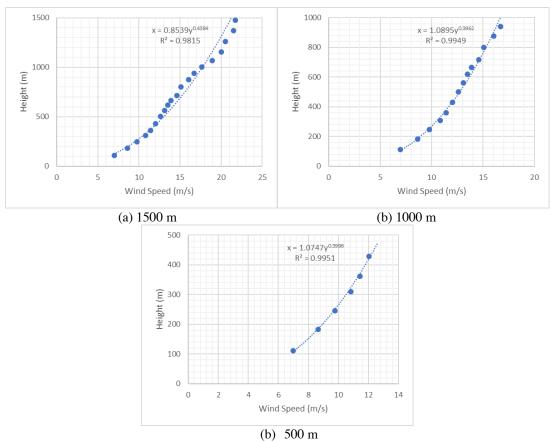


Figure 71: Modified mean wind speed profile for the height of 1500 m, 1000 m and 500 m Table 25 shows the relative exponent values and boundary layer thickness of different terrain. The experimentally determined value 0.4 is quite different from the value of 0.33 recommended in the ASHRAE Fundamentals (Handbook-Fundamentals, 2009). It recommends caution in accepting such general guidance in design.

Terrain	Terrain Description	Exponent	Boundary Layer Thickness(m)	
Country	Flat, open country	0.14	270	
Suburbs	Rough, wooded country	0.22	370	
City Towns and cities		0.33	460	
Ocean	Ocean	0.10	210	
	Urban, industrial, forest	0.22	370	

Table 25: Wind Speed Profile Coefficients (Handbook-Fundamentals, 2009)

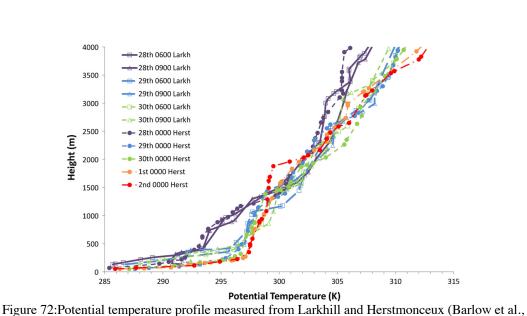
#### 6.3.2 Urban atmospheric boundary layer thickness of London

Due to the increased turbulence caused by higher terrain roughness, the boundary layer thickness in urban areas increases significantly compared with that in rural areas (Rigby & Toumi, 2008). In 1988 it was found that the mixing height of central London was 50-100 m higher than that of rural areas 50 km away (Spanton & Williams, 1988). According to Rigby and Toumi's study, compared to rural areas, the boundary layer depth of central London increased by around 100 m (Rigby & Toumi, 2008). In the 20 years since then, hundreds of high-rise buildings have been built in London. As a result, the urban boundary layer thickness is expected to be even greater in parts of London. Bohnenstengel et al. determined the UBL of London be approximately 400 m to 500 m deep and relatively invariable (Bohnenstengel et al., 2014). The ASHRAE handbook of Fundamentals suggests a similar value: 460 m (Handbook-Fundamentals, 2009).

## 6.3.3 Urban temperature profile of London

Similar to the wind data, the original temperature data of London was also based on the weather data collected from weather stations in rural areas. To convert the temperature data from rural areas to urban areas, the first step was to consider the 10% increase in the monthly average due to the UHI (Chapter 5). The second step was to replicate the vertical distribution of the urban temperature. After two steps of conversion, the urban temperature profile of central London was reproduced based on data from a rural weather station.

Barlow et al. used radiosondes to measure potential temperature from 28 September to 2 October in rural areas (Barlow et al., 2015). To get the absolute temperature profile, it is necessary to convert the potential temperature to the absolute temperature.



2015)

Figure 72 shows the potential temperature values at different heights. At first, collect the data to get average potential temperature values. Then convert the height to air pressure according to the barometric formula.

$$p = p_0 \cdot \left(1 - \frac{L \cdot h}{T_0}\right)^{\frac{g \cdot M}{R_0 \cdot L}}$$

Finally, use the known potential temperature  $\theta$  and relative air pressure P to get absolute temperature T according to the following formula, and usually the reference pressure  $P_0 = 1000$  hPa and  $R/c_p = 0.286$ .

$$\theta = T \left(\frac{P_0}{P}\right)^{R/c_p}$$

After the previous calculation, absolute temperature values and relative heights are shown in Figure 73. Figure 73 also shows the relationship between temperature and height. The air temperature increase with height and then decreases with height. Overall, air temperature decreases by approximately 4.2 K every 1000 m.

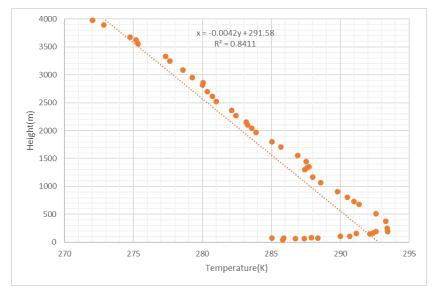


Figure 73: Absolute temperature profile converted from potential temperature profile

The trendline in Figure 73 is divided into two parts at the height of 200 m. Figure 74 shows under 200 m the temperature has an upward trend. Near the ground, air temperature increases with height. At a certain height, the air temperature starts to decrease by around 5.5 K every 1000 m.

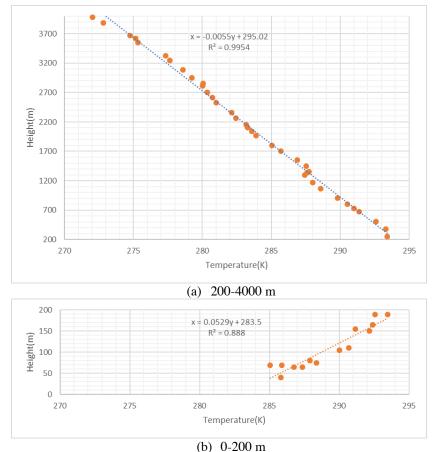


Figure 74: Upward trend part and downward trend part of the absolute temperature profile

In Kukkonen's research, they used a MM5 model, which is a mesoscale weather forecast model, to predict the temperature profiles of Herstmonceux, a suburban area of London, and the London Weather Centre(LWC), an urban area of London, (Kukkonen et al., 2005). Compared with the observational data from Herstmonceux, they predicted the structure of inversions fairly well, but they slightly under-predicted absolute values. In addition, the measured temperature profiles showed a slight ground-based temperature inversion under 200 m. The predicted model for the LWC site in the centre of London shows the temperature profile from 17<sup>th</sup> to 22<sup>nd</sup> February 2003 (Kukkonen et al., 2005).

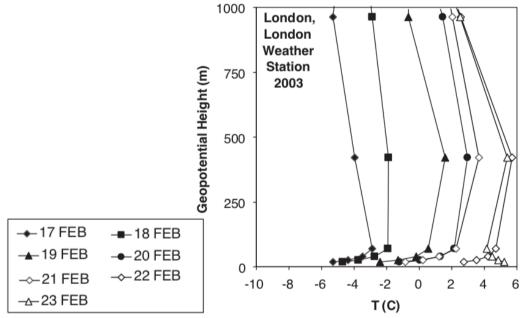


Figure 75: Predicted temperature profiles of LWC (Kukkonen et al., 2005)

Figure 75 shows the temperature gradient of LWC based on the data of Kukkonen's research and. The average temperature change rate with height is around 0.0002, which means the air temperature increases by 0.2°C every 1000 m. The temperature gradient indicates that the temperature decreases with height. Hence, it can be seen from Figure 76 that the temperature gradient of LWC is -0.0002 K/m.

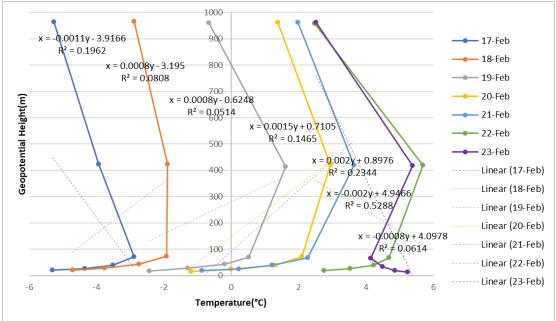


Figure 76: Temperature changes with the height of LWC

However, the minimum value of the temperature gradient set for EnergyPlus is 0 K/m (Figure 77), and cannot be negative. If it is set -0.01, the simulation will not run correctly because of this severe error:

Overall, the predicted temperature gradient of LWC from Kukkonen's research is very close to 0 K/m.

Field	Units	Obj1
Wind Speed Profile Exponent		
Wind Speed Profile Boundary Layer Thickness	m	
Air Temperature Gradient Coefficient	K/m	<u> </u>
		0 current
		0.0065 default
		0 minimum

Figure 77: Air temperature gradient coefficient minimum value of EnergyPlus

## 6.3.4 Vertical variation Settings of London microclimate

A set of parameters was analysed to explore their impacts on performance (Table 26). Two groups used rural weather data (R1-R2) and 11 groups used urban weather data (U1-U11). R was short for rural and U was short for urban. The settings of R1 and U1 were close to without vertical variations. Vertical variations of R2 was for terrain of open country. The parameters of U2-U4 were based on the Wind Speed Profile Exponent and the Wind Speed Profile Boundary Layer Thickness of different terrain (Table 25). U2-U4 could be used to explore the impact of the wind speed profile. U5-U7 kept the Wind Speed Profile Exponent and the Air Temperature Gradient the same to explore the influence of the Wind Speed Profile Boundary Layer Thickness. U8-U11

kept the Wind Speed Profile Exponent and the Wind Speed Profile Boundary Layer Thickness the same to explore the impact of the Air Temperature Gradient. Two ventilation systems were applied to calculations which are an HVAC system and a natural ventilation (NV) system.

Weather Data Location	Wind Speed Profile Exponent	Wind Speed Profile Boundary Layer Thickness (m)	Air Temperature Gradient Coefficient (K/m)	Serial Number
Rural-	0	200	0	R1
Gatwick Airport	0.14	270	0.0065	R2
	0	200	0	U1
	0.14	270	0	U2
	0.22	370	0	U3
	0.33	460	0	U4
Urban-	0.4	400	0	U5
London	0.4	460	0	U6
City Centre	0.4	500	0	U7
	0.4	500	0.002	U8
	0.4	500	0.004	U9
	0.4	500	0.0065	U10
	0.4	500	0.01	U11

Table 26:Vertical Variation Settings

## 6.4 Urban Microclimate Vertical Variation Effects

#### 6.4.1 Vertical variations effects on thermal loads in rural and urban areas

To explore the effects of vertical variations in rural and urban areas, thermal load predictions with vertical variations (R2, U8) were compared to those without vertical variations (R1, U1). The thermal load percentage changes at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors using an HVAC system are shown in Figure 78, and using a natural ventilation system are shown in Figure 79. The effects of vertical variations in rural and urban areas were compared, and the effects on different ventilation systems were also compared.

When using an HVAC system, the effects increase with height in rural areas (Figure 78). Compared with without vertical variations (R1), vertical variations (R2) in rural areas show a less than 20% thermal loads change at the 33<sup>rd</sup> floor. However, in urban areas, the effects do not increase with height. Vertical variations have the greatest impact on the 1<sup>st</sup> floor. At the 1<sup>st</sup> floor, the effects of vertical variations are bigger in

urban areas than those in rural areas. While, at the 17<sup>th</sup> and 33<sup>rd</sup> floors, the effects are smaller in urban areas than those in rural areas. The vertical variations of urban microclimates have an impact not only on high-rise buildings but also on low-rise buildings, when using an HVAC system.

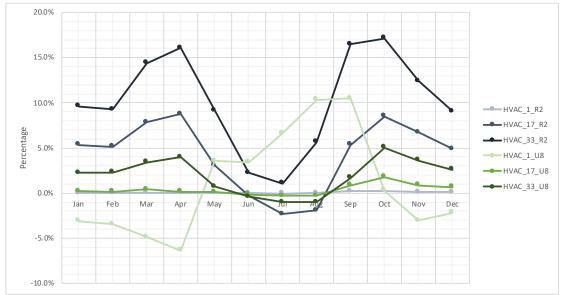


Figure 78: For an HVAC system thermal load percentage changes with vertical variations over without at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors in rural and urban areas of London.

When using a natural ventilation system, the effects of vertical variations increase with height in rural areas (Figure 79). In urban areas, vertical variations decrease thermal load predictions at the 1<sup>st</sup> floor and increase thermal load predictions at the 33<sup>rd</sup> floor for most of the year. The effects of vertical variations on a natural ventilation system are bigger than on an HVAC system.

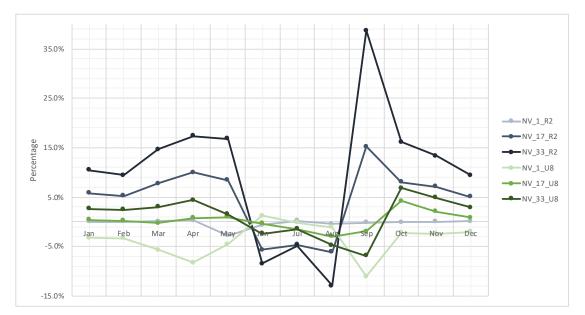


Figure 79: For the natural ventilation (NV) system thermal load changes with vertical variations over without at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors in rural and urban areas of London.

It can be seen from Figure 78 and Figure 79 that the vertical variations in rural areas have a greater impact than those in urban areas, whether using an HVAC system or a natural ventilation system. In urban areas, the impact of vertical variations on the near ground level is noteworthy. Engineers should consider the impact of vertical variations which is up to 10%.

#### 6.4.2 Effects of wind speed profile parameters on thermal loads in urban areas

The combined effects of vertical variations were discussed. This section explores the effects of wind speed profile parameters. The urban weather data in London without the Wind Speed Profile Exponent and the Wind Speed Profile Boundary Layer (U1) was taken as a compared group. The thermal load percentage changes between with (U2-U4, U7) and without (U1) the wind speed profile exponent and relative boundary layer thickness are shown in Figure 80 and Figure 81. Figure 80 shows the effects of wind vertical variations using an HVAC system, and Figure 81 using a natural ventilation system.

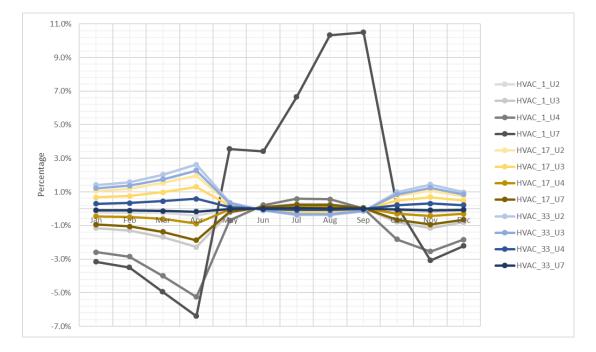


Figure 80: For an HVAC system effects of the wind speed profile exponent and relative boundary layer thickness on thermal load changes at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors.

When using an HVAC system, the differences of the thermal loads increase with the wind speed profile exponent and relative boundary layer thickness slightly (Figure 80). At the 1<sup>st</sup> floor, the thermal load percentage changes increase with the exponent and

relative boundary layer thickness. The vertical variations of the wind reduce thermal load predictions for most of the year. At the 17<sup>th</sup> floor and 33<sup>rd</sup> floor, the thermal load percentage changes between different variations are small, less than 3%. At the 17<sup>th</sup> floor, smaller vertical variations increase thermal load predictions, while larger vertical variations decrease thermal load predictions. At the 33<sup>rd</sup> floor, the influence of wind vertical variations decreases with the exponent and relative boundary layer thickness. Vertical variations of the wind speed profile increase thermal loads for most of the year. When using an HVAC system, the influence of wind profiles at the 1<sup>st</sup> floor is bigger than that at the 33<sup>rd</sup> floor and the 17<sup>th</sup> floor. Hence, at a lower floor, the influence of vertical variations of wind should be taken into consideration, using an HVAC system.

Then the effects of wind profiles on a natural ventilation system were also discussed. In Figure 81, the effects of the wind speed profile exponent and relative boundary layer thickness using a natural ventilation system are slightly bigger than using an HVAC system. The effects don't increase with height and don't increase with the exponent and the boundary layer thickness. The vertical variations of the wind speed profile only have a less than 5% impact for most of the year when using an HVAC system (Figure 80). When using a natural ventilation system, the impacts are less than 10% (Figure 81). When using a natural ventilation system, engineers also should consider the impacts of the vertical variations of the wind on lower floors.

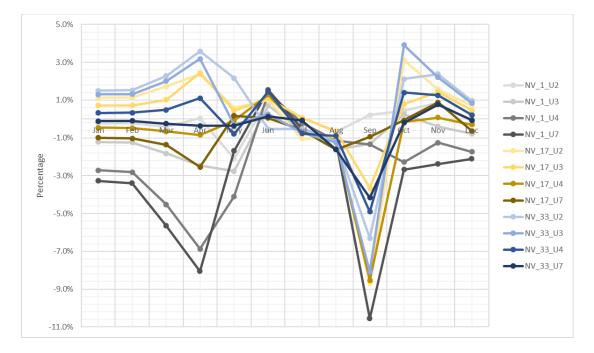


Figure 81: For the natural ventilation (NV) system effects of the wind speed exponent and relative boundary layer thicknesses on thermal load changes at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors.

The combined effects of the wind speed profile exponent and relative boundary layer thickness were investigated. The individual effects of boundary layer thickness were then explored. The wind speed profile exponent of each group was 0.4 and the air temperature gradient was ignored. The impacts of the Wind Speed Profile Boundary Layer Thickness of 460 m (U6) and 500 m (U7) were compared to those of 400 m (U5). The effects on an HVAC system are shown in Figure 82 and on a natural ventilation system are shown in Figure 83.

When using an HVAC system, the effects of the wind speed profile boundary thickness on thermal loads are minimal, with a difference of less than 1% (Figure 82). Even if the difference is not big, the difference of thermal loads increases with boundary thickness, and it also increases with height. The effects of the precision of the boundary layer thickness are too small to be considered.

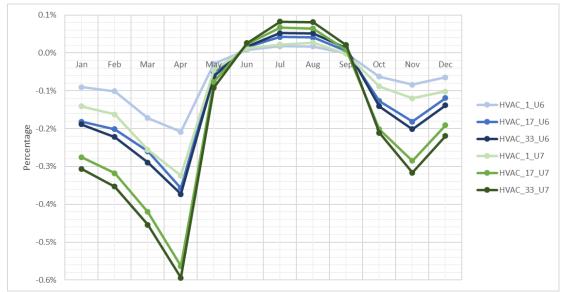


Figure 82: For an HVAC system effects of the boundary layer thickness on thermal load changes at the  $1^{st}$ ,  $17^{th}$  and  $33^{rd}$  floors.

The effects of the boundary layer thickness on a natural ventilation system are bigger than those on an HVAC system. However, the effects on a natural ventilation system are also very small, with a difference of less than 2% for most of the year (Figure 83). Engineers do not need to consider the precision of the Wind Speed Profile Boundary Layer Thickness.

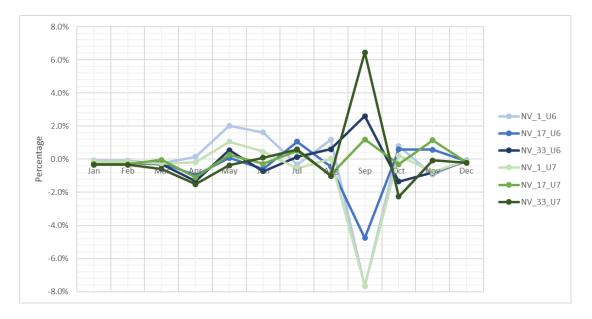


Figure 83: For the natural ventilation (NV) system effects of boundary layer thickness on thermal load changes at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors.

#### 6.4.3 Effects of temperature gradient coefficient on thermal loads in urban areas

The effects of the Air Temperature Gradient Coefficient are explored in this section. The thermal load percentage changes between with (U8-U11) and without (U7) the temperature gradient coefficient are shown Figure 84 and Figure 85. The results using an HVAC system are shown in Figure 84, and using a natural ventilation system are shown in Figure 85.

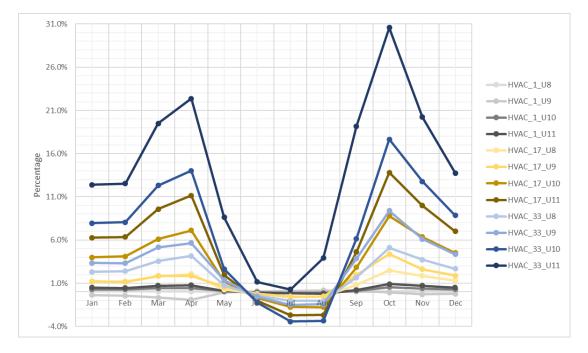


Figure 84: For an HVAC system effects of the temperature gradient coefficient on thermal load changes at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors.

In Figure 84, no matter what the temperature gradient coefficient is, the differences increase with height. No matter at which floor, the differences increase with the temperature gradient coefficient. At the 1<sup>st</sup> floor, the effects of the temperature gradient coefficient are less than 1%. At the 33<sup>rd</sup> floor, the temperature gradient coefficient can increase thermal loads by up to 30%. In contrast to the wind speed exponent which has less influence at upper floors, the temperature gradient coefficient has more impacts at upper floors. The influence of temperature gradient and height can make a great difference to thermal loads, when using an HVAC system.

The effects of the temperature gradient coefficient on a natural ventilation system are bigger than those on an HVAC system (Figure 85). For a natural ventilation system, at the 1<sup>st</sup> floor, thermal load changes are less than 5%, and at the 33<sup>rd</sup> floor, the thermal load changes produced by temperature gradient coefficient 0.01 K/m (NV\_33\_U11) can reach great values (up to 45%). The precision of the Air Temperature Gradient Coefficient is very important for engineers to model.

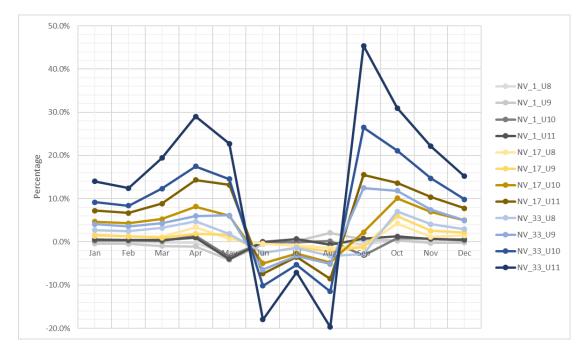


Figure 85: For the natural ventilation (NV) system effects of the temperature gradient coefficient on thermal load changes at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors.

#### 6.4.4 Effects of vertical variation on different ventilation systems in urban areas

In this section, the effects of vertical variation on an HVAC system and a natural ventilation (NV) system are compared with each other. U1 did not take vertical variations of urban microclimates into account, while U8 did. U1 was used to be compared with. The effects of vertical variations with the Wind Speed Profile Exponent

of 0.4, the Wind Speed Profile Boundary Layer Thickness of 500 m and the Air Temperature Gradient of 0.002 K/m (U8) are shown in Figure 86.

The effects of vertical variations on a natural ventilation system are bigger than those on an HVAC system (Figure 86). At the 1st floor, the effects on both an HVAC system and a natural ventilation system are big (up to 11%). At the 17th floor and the 33rd floor, the effects on a natural ventilation system are slightly bigger than those on an HVAC system (up to 7%). For HVAC systems and natural ventilation systems, the effects of vertical variations of urban microclimates are relatively larger at upper floors than at lower floors, and they are larger in spring and autumn than in summer and winter.

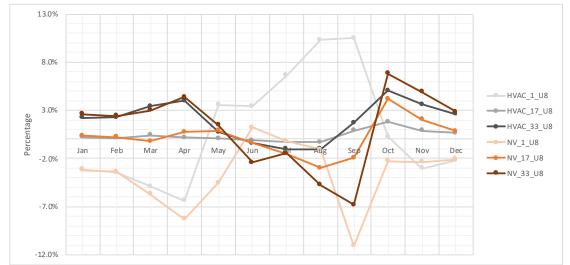


Figure 86: Effects of vertical variations on thermal load changes with an HVAC system and a natural ventilation system.

## 6.5 Conclusions

- Microclimate vertical variations have more effects on building performance in rural areas than in urban areas.
- The influence of wind speed exponent and relative boundary layer thickness on building performance simulation is significant at a lower floor. However, the accuracy of the exponent of wind speed profile does not give a significant influence on the results.
- The accuracy of boundary layer thickness also does not have a significant influence on building performance simulation.
- The temperature gradient coefficient has a big influence on the simulation results, especially at higher floors. The influence increases with height.

• The effects of vertical variations on a natural ventilation system are bigger than that on an HVAC system.

It is necessary to model the urban microclimate vertical variation, as the effect of vertical variations is significant, and it increases with height. At a lower floor, the vertical variations of wind speed have a greater influence than those of temperature gradient. While at a higher floor, the air temperature gradient dominates. For high-rise buildings, it is necessary to model the vertical variation of air temperature. At a higher floor, the importance of the temperature gradient coefficient is greater than that of the wind speed profile exponent and boundary thickness. In the design of both low-rise and high-rise buildings, engineers are advised to consider the influence of wind vertical variations on lower floors. In the design of high-rise buildings, engineers should consider the impacts of the air temperature gradient. The accuracy of the boundary layer thickness has little impact, so engineers do not have to consider it when modelling. When using natural ventilation systems, engineers should consider more vertical variations of urban microclimates, because vertical variations have more impacts on a natural ventilation system than that an HVAC system.

## CHAPTER 7. SIMULATION SYSTEM

#### 7.1 Chapter Intent

From Chapter 5 to Chapter 6, urban microclimates were gradually modelled in detail. In Chapter 5, the rural weather data was converted into the urban weather data. In Chapter 6, the 2-dimensional urban microclimate model was transformed into a threedimensional model.

This chapter explores a multilayer modelling method. In Chapter 6, the research found that the urban microclimate vertical variations influence building performance, especially at greater building heights. However, vertical variations in urban areas are not as constant as those in rural areas. In this chapter, the vertical variations in urban areas were divided into two layers. The lower portion is the Urban Canopy Layer (UCL) and the upper portion is the Urban Boundary Layer (UBL). The Urban boundary layer (UBL) is above roughness elements. Compared with the UCL, the UBL is open. This chapter develops a multilayer modelling method to explore the impact of the two-layer urban microclimate profile. Then, by modelling the horizontal (Chapter 5) and vertical parameters of urban microclimate modelling (Chapter 6) and using the multilayer modelling method (Chapter 7), an urban microclimate simulation system was established. The simulation system can model the urban microclimate in detail.

#### 7.2 Methodology

The Urban Canopy Layer (UCL) lies between the two layers' (the UCL and the UBL) interface and the ground, which is the result of sophisticated energy and airflow exchanges (Mills, 1997). The Urban Canopy Layer (UCL) in this research focused on the layer covering the vast majority of urban buildings. In the UCL, the performance of high-rise buildings is affected by neighbouring buildings. The average height of the neighbouring buildings represents the height of UCL. The height is the dividing line with or without the influence surrounding urban buildings. The middle and upper floors in UBL are located in the open area with less complicated urban microclimate profiles. The lower floors beneath the average height of the UCL are influenced by the complex

urban microclimate caused by neighbouring buildings. Hence, the model of urban microclimate in the UCL is different from that in the UBL. The corresponding building performance simulation in the UCL and UBL had to be modelled separately, because the influence due to inconsistent microclimate in the two layers is likely to be different. Figure 87 shows the wind and temperature profile and the two portions of the prototypical building in the UBL and the UCL.

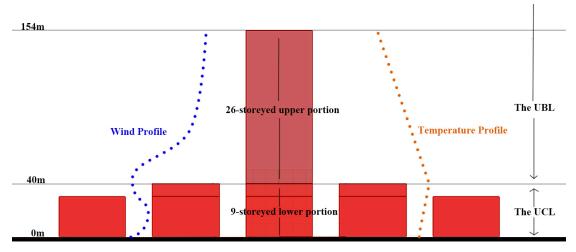


Figure 87: The urban microclimate and the building in the UCL and in the UBL

#### 7.2.1 Modelling two layers of urban microclimate and prototypical building

Chapter 6 concluded that vertical variations of urban microclimates are worth investigating and modelling. Using EnergyPlus to model the vertical variations needs the additional data which is HeightVariation: Wind Speed Profile Exponent, Wind Speed Profile Boundary Layer Thickness (m) and Air Temperature Gradient Coefficient (K/m), mentioned in Section 5.5.4 and Section 6.3. The problem is that the actual Wind Speed Profile Exponent and Air Temperature Gradient Coefficient are not constant in urban areas. Hence, the urban microclimate and the prototypical building had to be divided into layers to get the trend for each layer.

#### 7.2.1.1 Urban microclimate in the UCL and UBL modelling

The lower layer model of urban microclimate was composed of the wind profile in the UCL and the temperature profile in the UCL. Due to the large number of buildings in the UCL, the airflow model had to consider the impacts of surrounding buildings. Hence, the prototypical high-rise building and 24 surrounding buildings were modelled. Similar to the lower layer model, the upper layer model of urban microclimate also contained the wind and temperature profile model in the UBL. This part of urban

microclimate is above the majority of the urban buildings, which is open. Hence, the upper layer urban microclimate is more like the rural microclimate profile.

The wind environment around urban buildings was modelled by OpenFOAM to get the wind speed profile in urban areas. In this section, firstly buildings of the City of London were imported into the CFD program, OpenFOAM. A list of test points was then generated in the middle of the windward city street, ranging in height from 1 m to 500 m at 1 m interval. Finally, the wind speed data and the wind speed profile in the UCL and UBL were collected. The temperature profile is determined based on information in literature (Barlow et al., 2015; Kukkonen et al., 2005).

#### 7.2.1.2 Prototypical building in the UCL and UBL modelling

As the urban microclimate modelling system consisted of two layers, the prototypical building was divided into two parts to model the building performance respectively. One part of the building was in the UCL and the other part was in the UBL.

The prototypical high-rise office building for this study was 72m x 50m x 154m, with 35 floors (Section 5.3.3). According to GIS data of the City of London, more than 90% of buildings in the City of London were under 40 m in 2016. The average height of buildings was around 17 m. In this section, the urban canopy was assumed to be the height covering the vast majority (40 m), not the average height, because the high-rise buildings were surrounded by higher buildings (Figure 88). In Figure 88, the buildings higher than 40 m were mostly surrounded by the buildings between 30 m and 40 m. The buildings might not be exactly representative of the whole of the city of London, but this was to set up a system to test Urban Canopy. It would be fair to say if the Canopy is smaller, then the effects would be different but the overall importance of modelling all aspects of the UCL and UBL does not change. If people want to work with buildings, then reducing the height might be affected by these parameters to a lesser degree. If you are doing a tall building, it has been demonstrated that people definitely need to model using all of the effectors.

The prototypical building was then divided into 9 lower floors and 26 upper floors by the height of 40 m. The multilayer modelling method was to model the lower layer of 9 floors with vertical variations of urban microclimates in the UCL and the upper layer of 26 floors with vertical variations in the UBL. This multilayer modelling method was to simulate the inconstant exponent of urban wind speed profile and inconstant urban temperature gradient.

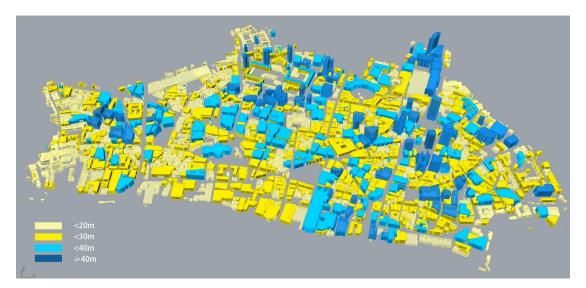


Figure 88: Distribution of building height in the City of London

## 7.2.2 Urban wind speed and wind pressure coefficients

## 7.2.2.1 Urban wind speed coefficient

In Section 5.4.2, the urban wind was adjusted by a Ladybug script, WindSpeedCalculator (Roudsari, n.d.). The type of terrain is city and the input and output of wind speed heights are both 10 m. The wind profile is based on the power-law function. The ratio of the wind Speed of London City to the Wind Speed of Gatwick Airport was recommended to be 0.45 because of the terrain of the city. The ratio in Chapter 5 was a rough coefficient for cities, the adjusted ratio in this chapter is specific for London. In this section, the urban-rural wind speed ratio is modelled and calculated by OpenFOAM.

## 7.2.2.2 Urban wind pressure coefficients

In terms of wind, the weather data generally includes wind speed and wind direction. When calculating energy performance using EnergyPlus, the information about wind is always imported through weather data without reference to wind pressure coefficients. However, wind pressure coefficients are essential to model a detailed natural ventilation system. Wind pressure coefficients also can be calculated by OpenFOAM.

Another reason for calculating wind pressure coefficients is because they can be used to input a specified urban microclimate just around a high-rise building. The adjusted weather data and microclimate height variations in Chapter 5 and Chapter 6 represented a mesoscale urban microclimate, which could not accurately address the urban wind environment around a high-rise building.

A high-rise building is surrounded by middle-rise or low-rise buildings, and the urban wind around the high-rise buildings is turbulent. CFD programs can model complex microclimates and air movements. OpenFOAM was used to get the wind pressure coefficients on windows at each floor of the high-rise building. The wind pressure coefficients were then imported into the airflow network model of EnergyPlus, which further realize the vertical variation model and the detailed natural ventilation system (LBNL, 2018).

## 7.2.3 Ventilation system

In this research, there were two types of ventilation systems; they were mechanical ventilation systems and mixed-mode natural ventilation systems. The mechanical ventilation system used in the research was a basic HVAC system. There were two types of mixed-mode natural ventilation simulation used in this research: simplified natural ventilation (without wind pressure coefficient modelling) and detailed natural ventilation (with wind pressure coefficient modelling). The reason for exploring detailed natural ventilation model is that it can be used to study the influence of vertical variation of wind pressure on building performance.

The simplified natural ventilation model used the temperature of the heating and cooling setpoints in the simulation to switch between natural ventilation and mechanical ventilation. This model combined the effect of wind speed driven air flow and the thermal stack effect. The ventilation rate was related to the open area of the model and the rate can be controlled by a multiplier fraction schedule and the specification of minimum, maximum and the difference between indoor and outdoor temperatures. It is intended for simplified ventilation calculations where wind driven ventilation is just a function of wind speed and opening size as opposed to the more detailed ventilation investigations that can be performed with the AirflowNetwork model (LBNL, 2018).

Compared with simplified ventilation calculations, more detailed ventilation was performed with the AirflowNetwork model and was based on the influence of wind pressure coefficients that vary across each building surface making wind driven ventilation a function of wind speed, opening size, window orientation and window position in the facade. It specified the properties of air flow through windows and doors when they are closed or open. When the window or door is open, additional fields (opening type, opening dimensions, degree of opening, and opening schedule) are required to describe the air flow characteristics (LBNL, 2018). The additional field in this model was wind pressure data. The difference between these two natural ventilation systems was that one took wind pressure coefficients that could drive the rate of ventilation into consideration and the other did not. This detailed modelling of wind pressures enabled study of the effect of vertical variations of wind on natural ventilation.

The ventilation systems analysed in Chapter 5 and Chapter 6 were a simplified natural ventilation system and a basic HVAC system. The basic HVAC system was the IdealLoadsAirSystem which was modelled as an ideal variable air volume (VAV) terminal unit.

In this chapter, wind pressure coefficients of each floor are calculated, and that makes it possible to introduce a detailed natural ventilation system. Hence, in this chapter, there are three ventilation systems: HVAC system, simplified natural ventilation system, and detailed natural ventilation system. These three systems are shown in different colours in figures: the HVAC system is grey, the simplified natural ventilation system is orange, and the detailed natural ventilation system is blue. The simplified and detailed natural ventilation system used in this research were mixed-mode ventilation systems. They did not just rely on natural ventilation. The systems automatically chose natural ventilation or mechanical ventilation based indoor and outdoor conditions. They were compared with each other to explore the efficiency of natural ventilation.

## 7.3 Urban Microclimate Modelling

#### 7.3.1 Urban-rural wind speed ratio of London

The City of London is the financial district of London, and there are many high-rise office buildings in that area (Figure 89). All the buildings in the City of London were imported to the OpenFOAM program which encompasses more than 6000 blocks. The simulation area was around 2.9 km<sup>2</sup>, and the test points area was the central 0.04 km<sup>2</sup>. Because the computational domain was quite large, it took around 8 hours to obtain the wind speed of around 500 test points through more than 6000 blocks (Figure 90). The

wind speed from the inflow side was 6 m/s, and the average wind speed in the central region was 1.85 m/s at the height of 10 m. According to the calculation, the ratio of urban wind speed to rural wind speed is approximately 0.31, which is less than the rough value 0.45 used in Chapter 5 and Chapter 6.

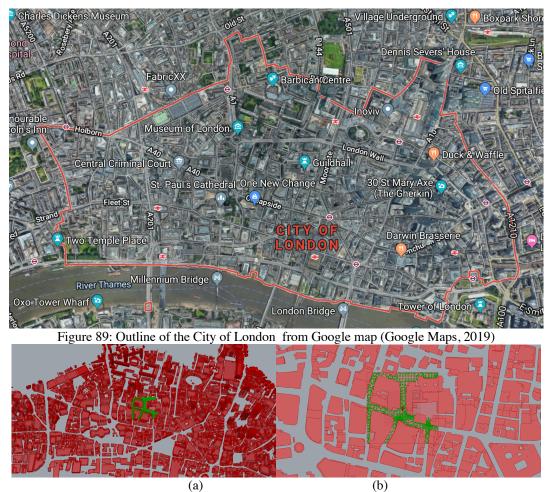


Figure 90: Building blocks and test points in City of London (a) blocks (b) test points

## 7.3.2 Wind pressure coefficients at different height

The central high-rise office building was the prototypical building in Chapter 5, which was  $72m \ge 50m \ge 154m$  with 35 floors. The 8 blocks of the first surrounding circle were  $50m \ge 50m \ge 40m$ , because the vast majority of buildings in the City of London were under 40 m, which was also the height of UCL. The 16 blocks of the second surrounding circle were  $50m \ge 50m \ge 30m$ , because the second most common height was 30 m (Figure 91). This group of buildings was imported into OpenFOAM, and the wind pressure coefficients on the surfaces of the high-rise building were calculated with 12 wind direction at  $30^\circ$  intervals (Figure 92).

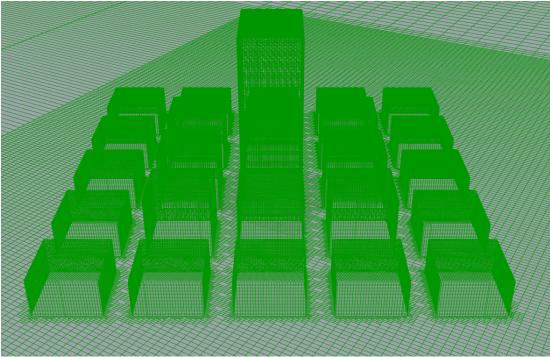


Figure 91: Prototypical building with surroundings

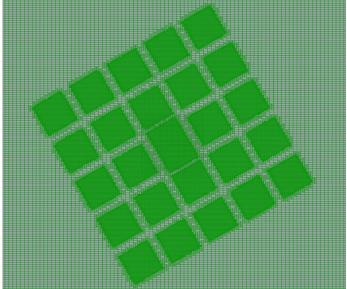


Figure 92: Rotated blocks according to wind directions

Test points of the 35-storeyed prototypical high-rise office building were set at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floor. There were 26 test points on each floor, and the average wind pressure coefficients of north, east, south, and west windows on each floor from 12 wind directions were achieved through wind tunnel simulations (Figure 93).

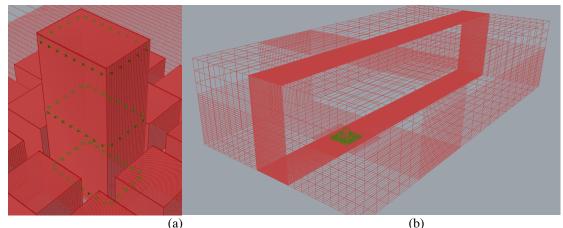


Figure 93: Test points around the prototypical building and its wind tunnel meshing

## 7.3.3 Vertical variations of two layers respectively

## 7.3.3.1 <u>Vertical variations of wind speed in the UCL and UBL</u>

In Section 6.3.1, according to the study of Drew et al., the exponent of the urban wind speed profile of London was approximately 0.4. However, the wind speed experimental data was taken from around 100 m to 2000 m (Drew et al., 2013). It did not show the wind speed profile under 100 m.

To get the wind speed profile in the UCL, the wind speeds at intervals of 1 m height were calculated through OpenFOAM. Inlet wind speed was 6 m/s, and the results of 500 test points are shown in Figure 94.

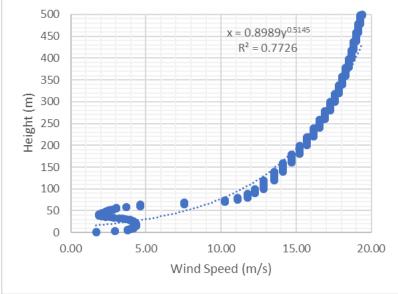


Figure 94: Urban wind speed profile of London (simulated by OpenFOAM)

Figure 94 shows that the urban wind speed increases at first with height then decreases with height, and finally increases with height again. In this section, the profile is split

into two layers by the UCL, the lower layer under 40 m in the UCL (Figure 96) and the upper layer above 40 m in the UBL (Figure 95).

## 7.3.3.1.1 Wind speed profile in the UBL

In Figure 95, the wind speed profile in the UBL is shown as power-law formula, and the exponent of the wind profile is approximately 0.41. The experimental data of the exponent of London wind profile is 0.4 (Drew et al., 2013). They are quite close to each other, and the exponent value of the wind profile is predicted relatively well.

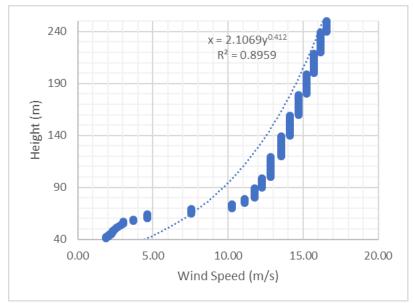


Figure 95: Urban wind speed profile of London in the UBL (simulated by OpenFOAM) 7.3.3.1.2 Wind speed profile in the UCL

In Figure 96, the urban wind speed trend in the UCL layer is not consistent. It increases at first and then decreases. The standard wind speed profile formulas, power-law (Section 6.3.1.1) and log law (Section 6.3.1.2), cannot show the wind speed profile trends. The exponent of power-law is 0.02, very close to 0.

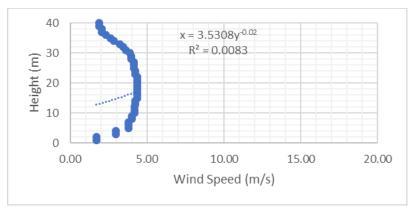


Figure 96: Urban wind speed profile of London in the UCL layer (simulated by OpenFOAM)

#### 7.3.3.2 Vertical variations of temperature in the UCL and UBL

In Section 6.3.3, Kukkonen et al. used MM5 model to predict the temperature profile of London Weather Centre(LWC) in the urban area of London (Kukkonen et al., 2005). The predicted model for the LWC site in the centre of London showed a temperature profile between 0 m to 1000 m from  $17^{\text{th}}$  to  $22^{\text{nd}}$  February. Overall, the average predicted temperature gradient was -0.0002 K/m, close to 0 K/m (Section 6.3.3). The two parts of the trendlines cancel each other out, as the first parts of the trendlines mostly increase with height and the second part decreases with height (Figure 97).

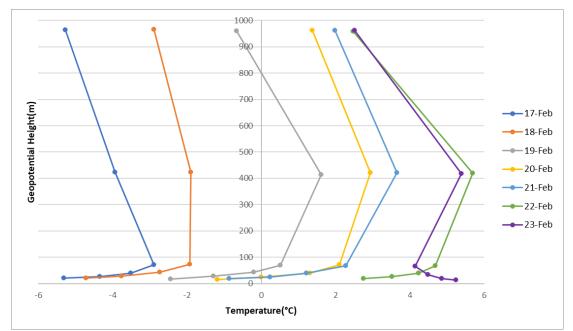


Figure 97: Trendlines of the predicted temperature of London Weather Centre (LWC) (Kukkonen et al., 2005)

## 7.3.3.2.1 Temperature profile in the UBL

Figure 98 shows the temperature profile between 40 m to 1000 m and the average temperature gradient coefficient is approximately 0.002 K/m. In this section, the air temperature gradient coefficient in the UBL layer is set as 0.002 K/m.

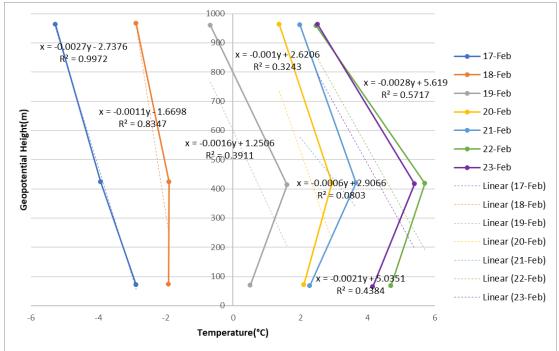


Figure 98: Predicted temperature profile in the UBL (Kukkonen et al., 2005)

#### 7.3.3.2.2 Temperature profile in the UCL

Figure 99 shows the temperature profile between 0 m to 40 m, and the average temperature gradient is -0.0726 K/m. If the temperature increases with height, the temperature gradient coefficient is negative. The minimum air temperature gradient coefficient value of EnergyPlus is 0 K/m (Section 6.3.3 and Figure 77). In this section, the air temperature gradient coefficient in the UCL is set as 0 K/m.

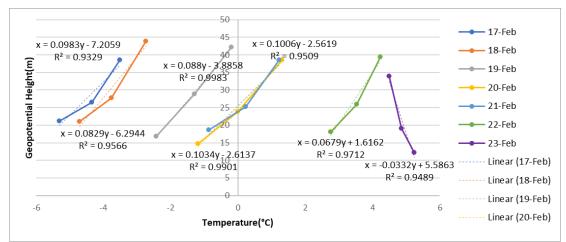


Figure 99: Predicted temperature profile in the UCL (Kukkonen et al., 2005)

## 7.4 Necessity of Modelling Wind Pressure Coefficients

The basic natural ventilation system was simplified natural ventilation. Wind pressure coefficients were also introduced to model the detailed natural ventilation. The detailed

natural ventilation system was compared with the simplified natural ventilation system to explore the effects of different urban microclimate features on different natural ventilation systems. Does the temperature gradient have a greater impact on simplified natural ventilation than on detailed natural ventilation? Does the wind speed profile exponent have a greater impact on detailed natural ventilation than on simplified natural ventilation? Is the detailed natural ventilation system more susceptible to altitude than simplified natural ventilation? The necessity of getting wind pressure coefficients and modelling detailed natural ventilation was explored.

## 7.4.1 Wind pressure coefficients at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors

The wind pressure coefficients on the east (E), north (N), west (W) and south (S) windows of the low (1), middle (17) and high (33) floors of the prototypical 35-storeyed high-rise office building were generated by OpenFOAM and they are shown in Figure 100. Each of the wind pressure coefficients calculations could only give a set of results in one direction, and it takes about 4-5 hours. This simulation had 12 directions (0°-330° at an interval of 30°) and took around 4 days to complete the entire calculation. In Figure 100, red represents positive pressure, and green represents suction. Both dark red and dark green indicate higher absolute value of the wind pressure coefficient increases with height.

	1E	1N	1W	1S	17E	17N	17W	17S	33E	33N	33W	33S
0.00	-0.09	-0.12	-0.09	0.17	-0.26	-0.12	-0.26	0.38	-0.31	-0.13	-0.31	0.77
30.00	-0.15	-0.16	-0.01	0.14	-0.28	-0.18	0.04	0.31	-0.24	-0.16	0.18	0.63
60.00	-0.14	-0.16	0.04	0.05	-0.20	-0.29	0.31	0.10	-0.15	-0.23	0.66	0.22
90.00	-0.07	-0.06	0.11	-0.06	-0.11	-0.20	0.42	-0.20	-0.14	-0.23	0.84	-0.24
120.00	-0.14	0.05	0.04	-0.16	-0.21	0.09	0.31	-0.29	-0.15	0.22	0.66	-0.23
150.00	-0.15	0.14	-0.01	-0.16	-0.28	0.31	0.04	-0.18	-0.24	0.63	0.18	-0.16
180.00	-0.09	0.17	-0.09	-0.12	-0.26	0.38	-0.26	-0.12	-0.31	0.77	-0.31	-0.13
210.00	-0.01	0.14	-0.15	-0.16	0.04	0.31	-0.28	-0.18	0.18	0.63	-0.24	-0.16
240.00	0.04	0.05	-0.14	-0.16	0.31	0.10	-0.20	-0.29	0.66	0.22	-0.15	-0.23
270.00	0.11	-0.06	-0.07	-0.06	0.42	-0.20	-0.11	-0.20	0.84	-0.24	-0.14	-0.23
300.00	0.04	-0.16	-0.14	0.05	0.31	-0.29	-0.21	0.09	0.66	-0.23	-0.15	0.22
330.00	-0.01	-0.16	-0.15	0.14	0.04	-0.18	-0.28	0.31	0.18	-0.16	-0.24	0.63

Figure 100: Wind pressure coefficients from 12 directions at the 1st, 17th and 33rd floors

#### 7.4.2 Wind pressure coefficients effects on natural ventilation

In this research, several programs such as OpenFOAM, Urban Weather Generator and EnergyPlus were strung together by grasshopper plugins such as Butterfly, Dragonfly, Ladybug and Honeybee. However, Ladybug and Honeybee could not import wind pressure coefficients data directly. Hence, unlike previous building performance simulations, the wind pressure coefficients had to be imported into the EnergyPlus. The EnergyPlus Honeybee interface did not have a way of running the airflow network model, so there was some manual editing and analysis required.

There were two groups of comparison, one using original height variations (Handbook-Fundamentals, 2009), and the other one using adjusted height variations with an abbreviation of HV (Section 6.3). The original height variations were rough for cities while the adjusted height variations were specific for London. The original and adjusted height variations (HV) of London are shown in Table 27.

Height Variation	Original	Adjusted (HV)
Wind Speed Profile Exponent	0.33	0.4
Wind Speed Profile Boundary Layer Thickness $\{m\}$	460	500
Air Temperature Gradient Coefficient {K/m}	0.0065	0.002

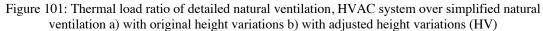
Table 27: Original and adjusted height variations for the City of London

The thermal loads of detailed natural ventilation and HVAC system are compared with the simplified natural ventilation. The thermal load ratio in Figure 101 is the ratio of the thermal load of three systems to the thermal load of the simplified natural ventilation. It can be seen that an HVAC system has higher thermal loads than the two natural ventilation systems, especially in summer. Detailed natural ventilation has lower thermal loads in spring and autumn and higher thermal loads in summer than simplified natural ventilation. In summer, detailed natural ventilation has a higher cooling load than simplified natural ventilation. The influence of adjusted height variations (HV) on an HVAC system is greater than that on the detailed natural ventilation system. Figure 102 shows that adjusted height variations have fewer thermal loads than original height variations for most of the year. The thermal load reduction due to adjusted height variations increases with the height, which means the influence of height variations increases with the height of the building.

	1Simplified NV	1_HVAC	1 Detailed NV	17Simplified NV	17_HVAC		33Simplified NV	33HVAC	33Detailed NV
Jan	1.00	1.13	1.03	1.00	1.12	1.02	1.00	1.11	1.02
Feb	1.00	1.06	0.97	1.00	1.05	0.97	1.00	1.05	0.97
Mar	1.00	1.15	0.95	1.00	1.15	0.97	1.00	1.15	0.98
Apr	1.00	1.13	0.86	1.00	1.12	0.89	1.00	1.09	0.89
May	1.00	2.48	1.07	1.00	2.30	1.01	1.00	2.17	0.97
Jun	1.00	2.32	1.44	1.00	2.43	1.49	1.00	2.64	1.61
Jul	1.00	3.93	2.03	1.00	3.95	2.03	1.00	3.98	2.03
Aug	1.00	3.08	1.81	1.00	3.20	1.87	1.00	3.39	1.96
Sep	1.00	3.69	1.35	1.00	3.32	1.18	1.00	2.95	1.03
Oct	1.00	1.51	0.82	1.00	1.52	0.88	1.00	1.51	0.92
Nov	1.00	1.24	0.94	1.00	1.24	0.96	1.00	1.23	0.97
Dec	1.00	1.09	1.00	1.00	1.08	1.00	1.00	1.08	1.00
	(a)								

-					()				
	1 Simplified NV_HV	1HVAC_HV	1Detailed	17 Simplified NV_HV	17_HVAC_HV	17_Detailed	33 Simplified NV_HV	33HVAC_HV	33Detailed NV_HV
Jan	1.00	1.13	1.03	1.00	1.12	1.02	1.00	1.12	1.02
Feb	1.00	1.06	0.97	1.00	1.05	0.97	1.00	1.05	0.97
Mar	1.00	1.15	0.95	1.00	1.15	0.96	1.00	1.15	0.97
Apr	1.00	1.14	0.86	1.00	1.12	0.87	1.00	1.12	0.88
May	1.00	2.71	1.12	1.00	2.49	1.09	1.00	2.44	1.07
Jun	1.00	2.43	1.46	1.00	2.35	1.45	1.00	2.39	1.47
Jul	1.00	4.17	2.03	1.00	3.95	2.04	1.00	3.93	2.03
Aug	1.00	3.39	1.82	1.00	3.11	1.82	1.00	3.13	1.83
Sep	1.00	4.07	1.35	1.00	3.60	1.30	1.00	3.41	1.22
Oct	1.00	1.59	0.84	1.00	1.51	0.84	1.00	1.51	0.86
Nov	1.00	1.24	0.94	1.00	1.25	0.95	1.00	1.24	0.96
Dec	1.00	1.09	1.00	1.00	1.09	1.00	1.00	1.08	1.00

(b)



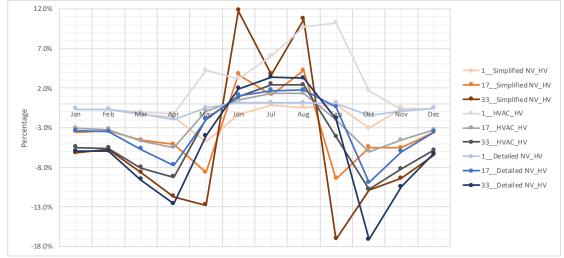


Figure 102 Thermal load percentage change with adjusted height variations (HV) over original height variations for simplified natural ventilation, HVAC system and detailed natural ventilation

The thermal load of detailed natural ventilation is quite different from that of simplified natural ventilation. The comparison of thermal load between different ventilation systems shows that it is necessary to model detailed natural ventilation and wind pressure coefficients, because urban microclimate parameters have different influence on detailed natural ventilation, especially in spring and autumn.

# 7.4.3 Effects of urban microclimate parameters on detailed natural ventilation system

Chapter 5 and Chapter 6 discussed the effects of urban microclimate parameters on simplified natural ventilation and an HVAC system. The UHI has a greater effect on the building performance than the urban wind, and the temperature gradient coefficient has a greater effect than wind speed exponent. Temperature-related parameters strongly influence the temperature-related ventilation system. When taking wind pressure coefficients into consideration, whether or not wind-related parameters have a stronger impact is discussed in this chapter. The effects of horizontal and vertical wind-related and temperature-related parameters on the detailed natural ventilation system were compared.

Four kinds of weather data were imported into the building performance simulation using the detailed natural ventilation system. The four kinds of weather data contained rural weather data, urban weather data considering urban wind, urban weather data considering the UHI, and urban weather data considering both urban wind and the UHI. In Figure 103, thermal loads considering urban winds are slightly lower than those using rural weather data, and thermal loads considering the UHI is much lower than those using rural weather data for most of the year. Hence, for detailed natural ventilation system the UHI still has a greater impact than urban winds.

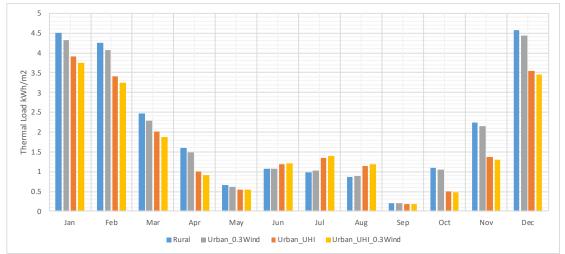
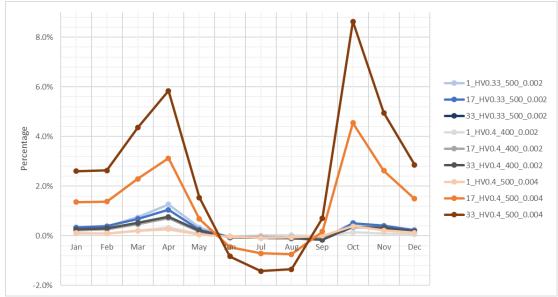


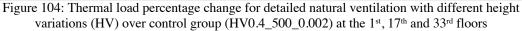
Figure 103: Thermal loads for detailed natural ventilation with four kinds of weather data The effects of vertical variations on the detailed natural ventilation system were compared through changing variables (Table 28) to explore the importance of vertical variation precision. Wind speed profile exponent of 0.33 was compared with 0.4, wind speed profile boundary layer thickness of 400 m was compared with 500 m, and air temperature gradient coefficient of 0.004 K/m was compared with 0.002 K/m.

	Wind Speed Profile Exponent	Wind Speed Profile Boundary Layer Thickness (m)	Air Temperature Gradient Coefficient (K/m)
Control Group	0.4	500	0.002
	0.33	500	0.002
Experimental Group	0.4	400	0.002
Group	0.4	500	0.004

Table 28: Vertical Variation Settings of detailed natural ventilation system

In Figure 104, the air temperature gradient coefficient has a greater impact on detailed natural ventilation than the wind speed profile exponent, and the wind speed profile exponent has a greater impact than the wind speed profile boundary layer thickness. The influence of air temperature gradient increases with height, however, the influence of wind speed profile exponent decreases with height. The influence of the wind speed profile boundary layer thickness is quite small (less than 1%). Two wind-related parameters, such as the wind speed profile exponent and the wind speed profile boundary layer thickness, have little influence on the building performance using a detailed natural ventilation system.





Overall, for the three systems, HVAC, the simplified natural ventilation and the detailed natural ventilation, temperature-related parameters play a more important role than wind-related parameters. Wind-related parameters do not strongly influence the ventilation system taking wind pressure coefficients into consideration. Therefore, engineers should pay more attention to temperature-related parameters.

# 7.5 Urban Microclimate Multilayer Modelling Method

The urban microclimate was divided into a lower layer in the UCL and an upper layer in the UBL. They were simulated respectively to explore the necessity of modelling with a multilayer modelling method. When using a single-layer modelling method, vertical variations of urban microclimate have a great impact on the building performance simulation. However, vertical variations in urban areas are not constant and they had an upper layer and a lower layer, respectively. Hence, if using a multilayer modelling method, will it make a big difference? The 35-storeyed prototypical building was divided into a 9-storeyed portion and another 26-storeyed portion.

## 7.5.1 Wind pressure coefficients in the UCL and UBL

In this section, the wind pressure coefficients of the 9-storeyed lower section of the building in the UCL and the 26-storeyed upper section of the building in the UBL are generated. The process was similar to Section 7.3.2. The test points for the wind pressure coefficients of the 9-storeyed portion were set at the 1<sup>st</sup>, 4<sup>th</sup> and 7<sup>th</sup> floors and those of the 26-storeyed portion were set at the 10<sup>th</sup>, 22<sup>nd</sup> and 33<sup>rd</sup> floors.

Figure 105 and Figure 106 show the wind pressure coefficients on the east (E), north (N), west (W) and south (S) windows of three floors from 12 directions (0°-330° at an interval of 30°) in the UCL and in the UBL. The wind pressure coefficients in the UCL are lower than those in the UBL. In the UCL, they increase with height slightly, because the movement of the air flow is disturbed by surrounding buildings. The wind pressure coefficients are then imported into IDF files.

	1E	1N	1W	1S	4E	4N	4W	4S	7E	7N	7W	7S
0.00	-0.09	-0.12	-0.09	0.17	-0.12	-0.12	-0.12	0.13	-0.16	-0.12	-0.16	0.11
30.00	-0.15	-0.16	-0.01	0.14	-0.18	-0.17	-0.02	0.12	-0.24	-0.18	-0.03	0.11
60.00	-0.14	-0.16	0.04	0.05	-0.15	-0.18	0.04	0.05	-0.17	-0.23	0.02	0.04
90.00	-0.07	-0.06	0.11	-0.06	-0.08	-0.07	0.08	-0.07	-0.09	-0.08	0.03	-0.08
120.00	-0.14	0.05	0.04	-0.16	-0.15	0.05	0.04	-0.18	-0.18	0.04	0.02	-0.23
150.00	-0.15	0.14	-0.01	-0.16	-0.18	0.12	-0.02	-0.17	-0.24	0.11	-0.03	-0.18
180.00	-0.09	0.17	-0.09	-0.12	-0.12	0.13	-0.12	-0.12	-0.16	0.11	-0.16	-0.12
210.00	-0.01	0.14	-0.15	-0.16	-0.02	0.12	-0.18	-0.17	-0.03	0.11	-0.24	-0.18
240.00	0.04	0.05	-0.14	-0.16	0.04	0.05	-0.15	-0.18	0.02	0.04	-0.17	-0.23
270.00	0.11	-0.06	-0.07	-0.06	0.08	-0.07	-0.08	-0.07	0.03	-0.08	-0.09	-0.08
300.00	0.04	-0.16	-0.14	0.05	0.04	-0.18	-0.15	0.05	0.02	-0.23	-0.18	0.04
330.00	-0.01	-0.16	-0.15	0.14	-0.02	-0.17	-0.18	0.12	-0.03	-0.18	-0.24	0.11
	Figure	105: Wi	-			from 12		-		<sup>h</sup> and 7 <sup>th</sup>	floors	
	10E	10N	10W	10S	22E	22N	22W	225	33E	33N	33W	335
0.00	-0.21	-0.12	-0.21	0.14	-0.30	-0.12	-0.30	0.53	-0.31	-0.13	-0.31	0.77
30.00	-0.29	-0.19	-0.05	0.12	-0.23	-0.16	0.08	0.43	-0.24	-0.16	0.18	0.63
60.00	-0.21	-0.27	0.00	0.04	-0.18	-0.26	0.44	0.14	-0.15	-0.23	0.66	0.22
90.00	-0.11	-0.13	0.01	-0.13	-0.11	-0.23	0.58	-0.23	-0.14	-0.23	0.84	-0.24
120.00	-0.21	0.04	0.00	-0.27	-0.18	0.14	0.44	-0.26	-0.15	0.22	0.66	-0.23
150.00	-0.30	0.12	-0.05	-0.19	-0.23	0.43	0.08	-0.16	-0.24	0.63	0.18	-0.16
180.00	-0.21	0.14	-0.21	-0.12	-0.30	0.53	-0.30	-0.12	-0.31	0.77	-0.31	-0.13
210.00	-0.05	0.12	-0.29	-0.19	0.08	0.43	-0.23	-0.16	0.18	0.63	-0.24	-0.16
240.00	0.00	0.04	-0.21	-0.27	0.44	0.14	-0.18	-0.26	0.66	0.22	-0.15	-0.23
270.00	0.01	-0.13	-0.11	-0.13	0.58	-0.23	-0.11	-0.23	0.84	-0.24	-0.14	-0.23
300.00	0.00	-0.27	-0.21	0.04	0.44	-0.26	-0.18	0.14	0.66	-0.23	-0.15	0.22
330.00	-0.05	-0.19	-0.30	0.12	0.08	-0.16	-0.23	0.43	0.18	-0.16	-0.24	0.63

Figure 106: Wind pressure coefficients from 12 directions at the 10th, 22nd and 33rd floors

#### 7.5.2 Urban microclimate setting in the UCL and UBL

The urban wind speed profile and the temperature profile were divided into two parts because of the inconstant wind speed exponent and air temperature gradient in urban areas. The lower layer in the UCL used urban weather data considering urban winds and the UHI. The wind environment of the upper layer in the UBL was close to rural wind. The temperature gradient in UCL was 0 K/m (Section 7.3.3.2.2), so the temperature at the lowest floor in the UBL was close to the temperature in the UCL. Hence, the upper layer in the UBL introduced rural wind data and the UHI data into its model. Vertical variations of the multilayer model were explained in Section 7.3.3 and shown in Table 29. The original height variations in the UCL and the UBL were different, and they were based on the split wind profile in Section 7.3.3.1 and the split temperature profile in Section 7.3.3.2.

		In the UC	L	In the UBL		
Prototypical building		0 to 8 <sup>th</sup> floors		9 <sup>th</sup> to34 <sup>th</sup> floors		
Weather file	e	Urban win	d + UHI	Rural wind +UHI		
		Original	Adjusted	Original	Adjusted	
	Wind speed profile exponent	0.33	0.02	0.33	0.4	
Height variation	Wind speed profile boundary layer thickness (m)	460	100	460	500	
	Air temperature gradient coefficient (K/m)	0.0065	0	0.0065	0.002	

Table 29: Height variations of multilayer model

The effects of the multilayer modelling method on detailed natural ventilation, and simplified natural ventilation, as well as an HVAC system were compared. The effects of height variations on multilayer urban microclimate model were also discussed in this section.

## 7.5.3 Analysis and results

Firstly, the effects of the multilayer modelling method and the effects of vertical variations on three different ventilation systems were analysed: a simplified natural ventilation system (orange), an HVAC system (grey) and a detailed natural ventilation system (blue). Secondly, the importance of detailed natural ventilation was discussed by comparing natural ventilation systems with an HVAC system. Lastly, the multilayer modelling method was compared with the original one-layer modelling method, which assumes the vertical variations are constant within the prototypical building height.

## 7.5.3.1 Simplified natural ventilation system

This section explores the effects of the multilayer modelling method on a simplified natural ventilation system. Figure 107 shows that thermal loads in the UCL using original height variations (Figure 107a) are smaller than those using adjusted height variations (Figure 107b) for most of the year. In contrast, thermal loads in the UBL using original height variations (Figure 107a) are bigger than those using adjusted height variations (Figure 107b). The adjusted height variations in the UCL increase thermal load predictions, while the adjusted height variations in the UBL decrease thermal load predictions for most of the year. In the UCL and the UBL, cooling loads in summer decrease with height.

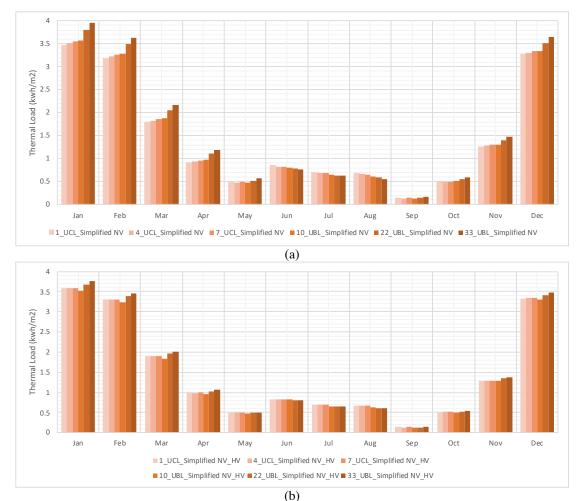


Figure 107: Thermal loads of six floors in the UCL and UBL for simplified natural ventilation a) with original height variations b) with adjusted height variations (HV)

In Figure 108, in the UCL, the increase of thermal load predictions due to adjusted height variations is less than 9%. The thermal loads of the lower floor increase more than those of the higher floor. In the UCL, the increase due to adjusted height variations decreases with height. However, thermal loads in the UBL decrease for most of the year and the decrease can reach 21% at the 33<sup>rd</sup> floor. The reduction due to adjusted height variations in the UBL increases with height. The multilayer modelling method has a big impact at a lower or a higher floor on building performance predictions using a simplified natural ventilation system.

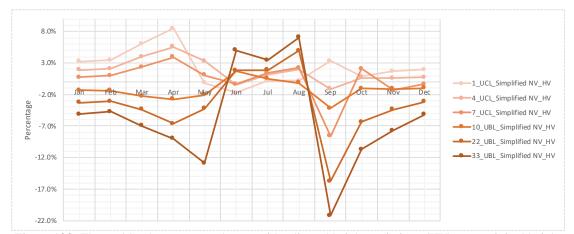


Figure 108: Thermal load percentage change with adjusted height variations (HV) over original height variations for simplified natural ventilation of six floors in the UCL and UBL

For the simplified natural ventilation system, the effects of adjusted height variation on the thermal load change increase with the distance between the floor and the height of the UCL. The multilayer modelling method reduces the differences of thermal loads at different floors, because it increases the lower thermal load at a lower floor and it decreases the higher thermal load at a higher floor.

#### 7.5.3.2 HVAC system

The scale of effects of the multilayer modelling method on an HVAC system in the UCL and the UBL are similar, but the effects are opposite. When using an HVAC system, thermal loads of summer grows dramatically (up to 2.8 kWh/m<sup>2</sup>), and the thermal loads at 10<sup>th</sup> floor are higher than at other floors in summer (Figure 109). When using original height variations, thermal loads increase with height in winter, spring and autumn. When using adjusted height variations, thermal loads are similar in the UCL. In the UBL, thermal loads decrease with height in summer and increase with height for the rest of the year. For both original and adjusted height variations cooling loads at the 10<sup>th</sup> floor are the highest in summer. When using adjusted height variations, heating loads at the 10<sup>th</sup> floor are lower than at other floors.

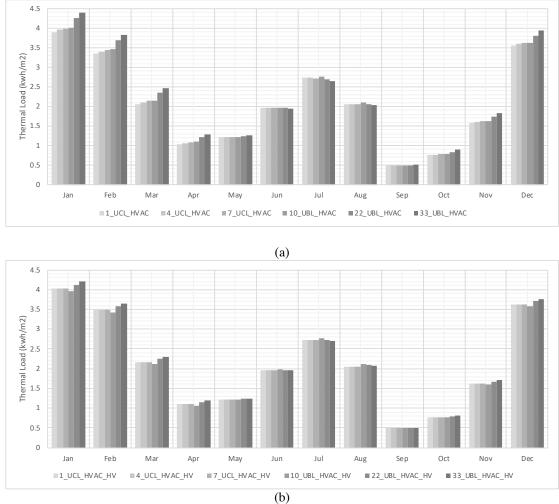


Figure 109: Thermal loads of six floors in the UCL and UBL for an HVAC system a) with original height variations b) with adjusted height variations (HV)

Figure 110 shows for an HVAC system the effects of adjusted height variations during spring and autumn are more than that in summer. In the UCL, adjusted height variations increase thermal load predictions (less than 7%) for most of the year. In the UBL, adjusted height variations increase thermal load predictions in summer and decrease thermal loads (less than 9%) for the rest of the year.

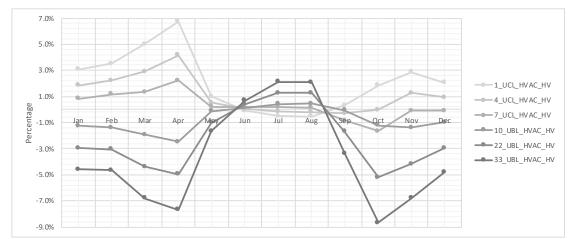
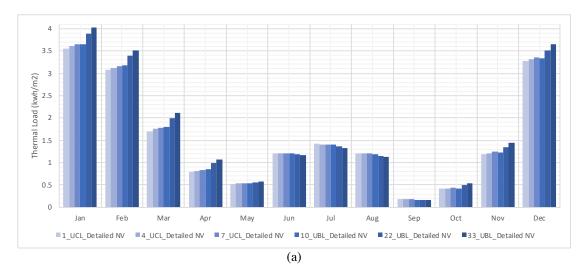


Figure 110: Thermal load percentage change with adjusted height variations (HV) over original height variations for an HVAC system of six floors in the UCL and UBL

The effects of adjusted height variation on an HVAC system increase with the distance to the UCL height. The effects of adjusted height variation on an HVAC system (less than 10%) are smaller than those on the simplified natural ventilation (less than 22%). The multilayer modelling method has a certain impact on the heating load of an HVAC system (less than 9%) but has a small impact on the cooling load (less than 3%).

### 7.5.3.3 Detailed natural ventilation system

The effects of adjusted height variations on a detailed natural ventilation system are similar to the simplified natural ventilation system. In Figure 111, thermal loads decrease with height slightly in summer, while they increase with height in the UCL and the UBL separately for most of the year. When using adjusted height variations, thermal loads at 10<sup>th</sup> floor are the lowest for most of the year.



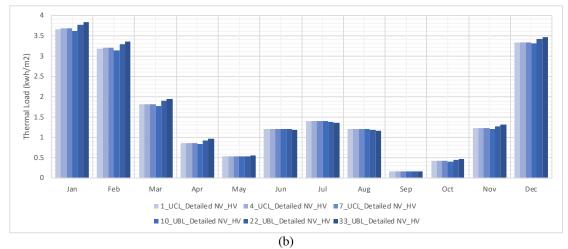


Figure 111: Thermal loads of six floors in the UCL and UBL for detailed natural ventilation a) with original height variations b) with adjusted height variations (HV)

The effects of adjusted height variations on detailed natural ventilation during spring and autumn are more than that in summer and winter (Figure 112). In the UCL adjusted height variations increase thermal loads for most of the year less than 10%. In the UBL adjusted height variations decrease thermal loads for most of the year less than 15%.

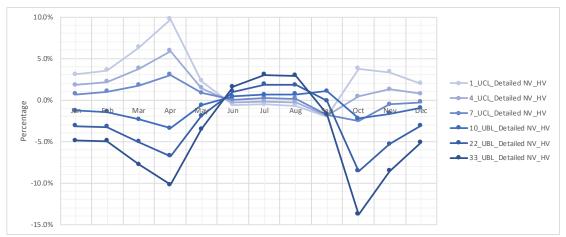


Figure 112: Thermal load percentage change with adjusted height variations (HV) over original height variations for detailed natural ventilation of six floors in the UCL and UBL

The effects of adjusted height variation for detailed natural ventilation increase with the distance to the UCL height. In the UBL, the effects of adjusted height variation on detailed natural ventilation (less than 14%) are smaller than those on the simplified natural ventilation (less than 22%). In the UCL, the effects on detailed natural ventilation (less than 10%) are bigger than those on the simplified natural ventilation (less than 10%) are bigger than those on the simplified natural ventilation (less than 3%). The multilayer modelling method has a big impact on the heating load of the detailed natural ventilation system (less than 14%) but has a small impact on the cooling load (less than 3%).

The comparison of adjusted vertical variation effects on different ventilation systems at different floors from January to December is shown in Figure 113. In Figure 113, the orange bar means a positive percentage change and the green bar means a negative percentage change. Positive percentage changes mean that thermal load predictions caused by adjusted height variations (HV) are bigger than those caused by original height variations. Negative percentage changes mean that thermal load predictions caused by adjusted height variations (HV) are smaller than those caused by original height variations.

		Ventilation System		Percenta	ge Change
Floor	Simplified NV	HVAC	Detailed NV	Maximum	Minimum
1F_UCL	•••••• •••••			9.7%	
4F_UCL		••••	••••	5.9%	-2.0%
7F_UCL				3.9%	-8.6%
10F_UBL				1.8%	-4.2%
22F_UBL			••••••••••	5.0%	-15.8%
33F_UBL	i <sub>n-i</sub> la			7.1%	-21.2%

Figure 113: Effects of adjusted height variations (HV) on simplified natural ventilation, HVAC system, and detailed natural ventilation of six floors in the UCL and UBL

Figure 113 shows the thermal load percentage change due to adjusted height variation using simplified natural ventilation is bigger than that using detailed natural ventilation, and using detailed natural ventilation is bigger than using an HVAC system. In the UCL, the multilayer modelling method increases thermal load predictions, while in the UBL, it decreases thermal load predictions for most of the year. The multilayer modelling method reduces the differences of thermal load predictions at different floors. It has a big impact on the heating load but a small impact on the cooling load. The influence of the multilayer modelling method on natural ventilation systems is bigger than on HVAC systems.

7.5.3.4 Natural ventilation efficiency

Section 7.4.2 compared simplified natural ventilation with detailed natural ventilation when using a single-layer modelling method. In this section, they are compared to explore the natural ventilation efficiency using a multilayer modelling method. Figure 114 shows the comparison between detailed and simplified natural ventilation over an HVAC system.

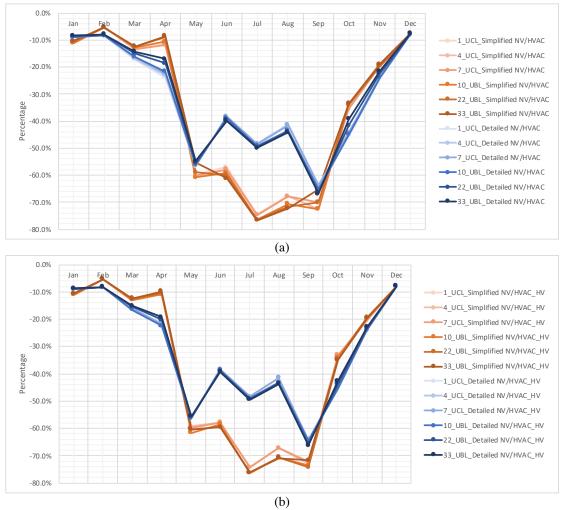


Figure 114: Thermal load percentage change of simplified natural ventilation and detailed natural ventilation over an HVAC system of six floors a) with original height variations b) with adjusted height variations (HV)

Compared with an HVAC system, natural ventilation systems have fewer thermal loads (Figure 114). Simplified natural ventilation can save up to 78% thermal loads in summer and detailed natural ventilation can save 45% thermal loads in autumn. In summer simplified natural ventilation saves more energy than detailed natural ventilation. For the rest of the year detailed natural ventilation has fewer thermal loads. At different heights, thermal load percentage changes of natural ventilation systems over an HVAC system are similar. They are not influenced by height and height variations of urban microclimates a lot.

#### 7.5.3.5 Comparison of single-layer and multilayer urban microclimate modelling

The difference in the energy efficiency between simplified natural ventilation and detailed natural ventilation was explained. In this section, the multilayer modelling method is compared with the original single-layer urban microclimate modelling method. The original single-layer modelling method was used in Chapter 5 and Chapter 6, assuming that the vertical variation of urban microclimates is constant. Thermal loads at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors using the single-layer method were compared with those using the multilayer method. The 1<sup>st</sup> floor is in the UCL and the 17<sup>th</sup> and 33<sup>rd</sup> floors were in the UBL. The set-up of single-layer model and multilayer model are shown in Table 30. The original height variations were constant and for normal cities (Table 25) and the adjusted height variations were based on the inconstant wind and temperature profile (Section 7.3.3). In the UCL, the adjusted height variations of the multilayer model are different from those of the single-layer model. In the UBL, the weather file of the multilayer model is different from that of the single-layer model.

Modelli	ng Method	Single-la	yer Model	Multilayer Model				
Prototypical building		1 <sup>st</sup> 17 <sup>th</sup> 33 <sup>rd</sup> floors		UCL_1 <sup>st</sup> floor		UBL_17 <sup>th</sup> floor UBL_33 <sup>rd</sup> floor		
Weather file		Urban wind + UHI		Urban wind + UHI		Rural wind +UHI		
		Original	Adjusted (HV)	Original	Adjusted (HV)	Original	Adjusted (HV)	
	Wind speed profile exponent	0.33	0.4	0.33	0.02	0.33	0.4	
Height variation	Wind speed profile boundary layer thickness (m)	460	500	460	100	460	500	
	Air temperature gradient coefficient (K/m)	0.0065	0.002	0.0065	0	0.0065	0.002	

Table 30: Weather data and height variations of single-layer and multilayer model

In Figure 115, the influence of the multilayer modelling method on a natural ventilation system is bigger than that on an HVAC system. The adjusted height variations amplify the influence of the multilayer modelling method, because the influence of the multilayer modelling method on the original height variations is smaller (between -5% and 3%) than the adjusted height variations (between -5% and 8%).

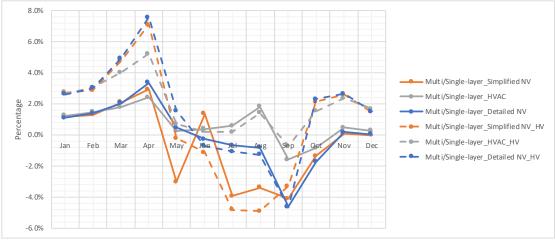
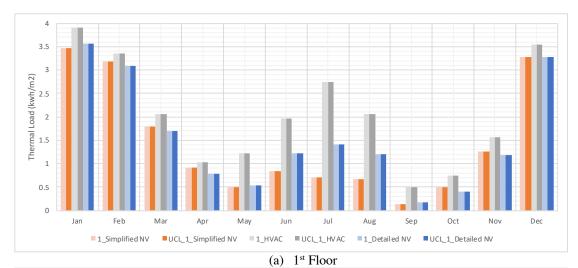
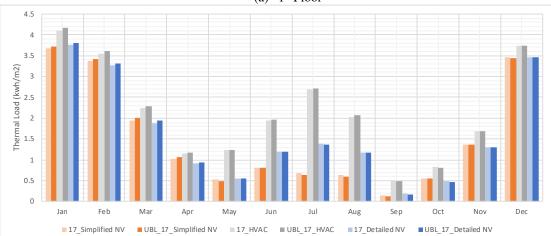
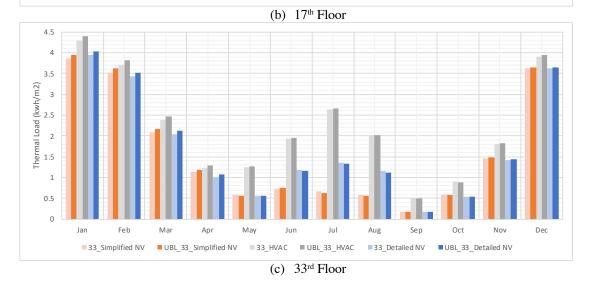


Figure 115: Thermal load percentage change of multilayer model over single-layer model for simplified natural ventilation, an HVAC system and detailed natural ventilation with original height variations and adjusted height variations (HV)

At different heights, the influence of the multilayer modelling method was compared with the original single-layer urban microclimate modelling method. This part is about original height variations which are constant at different floors. In Figure 116a, for original height variations, predicted thermal loads using the multilayer modelling method are the same as using the single-layer modelling method at the 1<sup>st</sup> floor. Figure 116b and Figure 116c show thermal loads of the multilayer model are more than that of the single-layer model at 17<sup>th</sup> and 33<sup>rd</sup> floors from January to April. Figure 116d shows the multilayer modelling method has a bigger effect on the simplified natural ventilation than detailed natural ventilation in summer. If modelling fully airconditioned buildings, the differences between the single-layer and multilayer model are small. If modelling natural ventilation, modelling with the multilayer method seems very important. The effects of the multilayer model on detailed natural ventilation increase with height. The effects on simplified natural ventilation at the 17th floor are higher than at the 1<sup>st</sup> and 33<sup>rd</sup> floors. In summer thermal loads of the multilayer model are less than that of single-layer model when using natural ventilation systems. For an HVAC system the multilayer model has more thermal loads than the single-layer model at 17<sup>th</sup> and 33<sup>rd</sup> floors except in September and October. The multilayer model increases thermal load predictions for most of the year when using an HVAC system. These differences are caused by rural wind in the UBL.







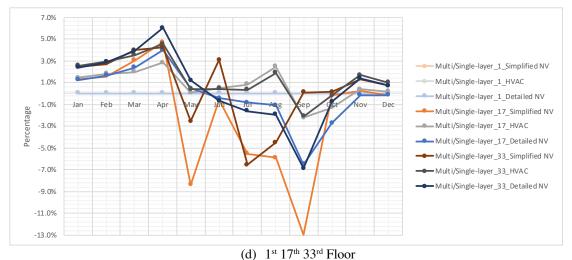
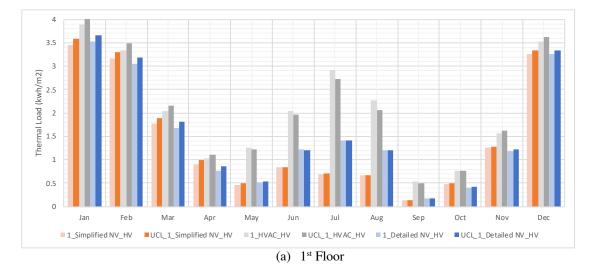
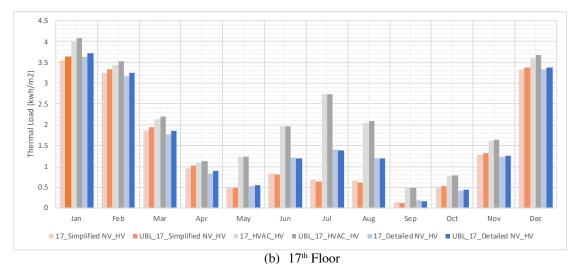


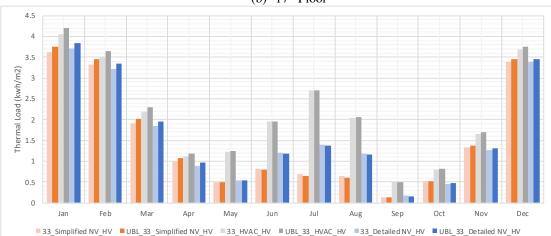
Figure 116: Thermal loads of multilayer model and single-layer model for simplified natural ventilation, an HVAC system and detailed natural ventilation at a) 1<sup>st</sup> floor b)17<sup>th</sup> floor c) 33<sup>rd</sup> floor, and percentage change of multilayer model over single-layer model at d) 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors with

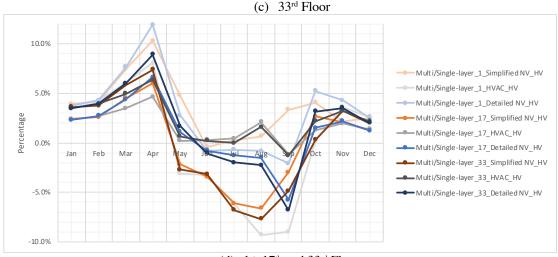
original height variations

This part is about adjusted height variations which are different in the UCL and in the UBL. In Figure 117, the adjusted height variations extend the influence of the multilayer model. For most of the year, the multilayer model has more thermal load predictions than the single-layer model. In summer, the multilayer model has fewer thermal load predictions than the single-layer model except for an HVAC system at 17<sup>th</sup> and 33<sup>rd</sup> floors and the simplified natural ventilation at the 1<sup>st</sup> floor. In summer the effects of multilayer model on natural ventilation increase with height.









(d) 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> Floors

Figure 117: Thermal loads of multilayer model and single-layer model for simplified natural ventilation, an HVAC system and detailed natural ventilation at a) 1<sup>st</sup> floor b)17<sup>th</sup> floor c) 33<sup>rd</sup> floor, and percentage change of multilayer model over single-layer model at d) 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors with adjusted height variations (HV)

Compared with the single-layer modelling method, the multilayer modelling method increases thermal loads in winter and decreases that in summer. In Figure 117d the thermal load percentage change due to multilayer model is up to 12% in April. However,

the multilayer model shows an increase in thermal load predictions in spring and autumn and decrease thermal loads in summer. That makes a less change in the annual thermal loads. In Figure 118 the annual thermal load change due to the multilayer model is less than 4%. The effects of multilayer model on natural ventilation systems at a lower floor are more than at a higher floor. For an HVAC system, the annual thermal load change due to the multilayer model load change due to the multilayer model load change due to the multilayer model hermal load change due to the multilayer model due to the multilayer due to the mult

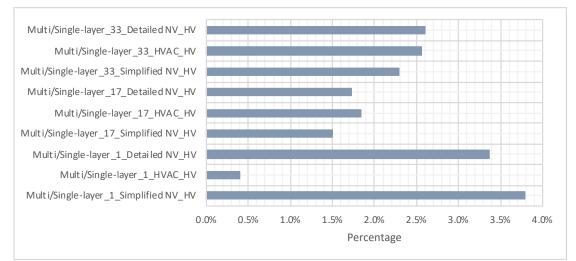


Figure 118: Annual total thermal load percentage change of multilayer model over single-layer model for simplified natural ventilation, an HVAC system and detailed natural ventilation model at the 1<sup>st</sup>, 17<sup>th</sup> and 33<sup>rd</sup> floors with adjusted height variations (HV)

Therefore, if engineers only need the annual data on thermal loads, a difference of up to 4% might not be worth the effort. However, if engineers need the monthly data on thermal loads and optimize building performance according to the data, it is worthwhile to build a multilayer model and explore its impact on the cooling and heating load.

# 7.6 Conclusion

The primary conclusion of this research is that it is feasible to generate a multilayer urban microclimate simulation system.

- It is necessary to model wind pressure coefficients for detailed natural ventilation because taking wind pressure coefficients into consideration has a bigger impact on the heating load and a smaller impact on the cooling load than simplified natural ventilation.
- Taking wind pressure coefficients into consideration or not, temperature-related parameters play a more important role than wind-related parameters.

- In the UCL, the multilayer modelling method increases thermal loads, while in the UBL, it reduces thermal loads. Adjusted vertical variations amplify the influence of the multilayer modelling method.
- The multilayer modelling method reduces the differences of thermal loads at different floors.
- The effects of the multilayer modelling method increase with the distance to the height of the UCL. It has a certain impact on the heating load but has a small impact on the cooling load.
- The multilayer modelling method has a significant impact on the monthly thermal loads, while a small impact on the annual thermal loads.

Overall, the multilayer urban microclimate modelling method has a bigger effect on the simulation of natural ventilation systems than that of an HVAC system. The adjusted height variations are important for the multilayer modelling method. The distance between the floor and the UCL height influence the simulation results of the multilayer model. The annual thermal load change due to the multilayer modelling method is quite small, because the increase in thermal load in the UCL and the decrease in the UBL due to the multilayer modelling method cancel each other out. Therefore, if there is not enough time to building a multilayer model, a difference of up to 4% might not be worth the effort. If there is enough time, engineers can build a multilayer urban microclimate model to help them predict and improve building performance for different floors, especially when using natural ventilation systems.

# CHAPTER 8. EFFECTS OF DIFFERENT CLIMATES

### 8.1 Chapter Intent

In the last three chapters, an urban microclimate simulation system was gradually developed to model urban microclimates in detail. The modelling of horizontal parameters, vertical variations and the multilayer method have effects on the prediction of building performance. The feasibility and the necessity of developing a simulation system were demonstrated. Previous simulations were based on the moderate climate of London. Is it still necessary to build a simulation system in other different climates? Is the primary parameter affecting building performance predictions in other climates the same as in a moderate climate? In this chapter, the same prototypical building and the same urban configuration are modelled in three other climate regions. Then the effects of different climates are compared with each other. This chapter discusses the parameters that should be given priority when modelling urban microclimate under different climates. It is also discussed whether the simulation system is worth developing under different climatic conditions.

## 8.2 Methodology

In this chapter, the influence of urban microclimate detailed modelling on different climates is explored. London has a moderate climate. What if the climate is moderate and windy, will it change the importance of developing a simulation system? How about an extremely hot and cold climate or a hot and wet climate? Hence, the research also studied the effects on windy, continental, and tropical climates. Wellington is moderate and windy, Beijing has a continental climate, and the climate of Singapore is tropical (Figure 119). London, Wellington, Beijing and Singapore are case studies in this chapter.

In Figure 119, according to Köppen classification, the climate type of London is "Cfb", Welllington is "Csb", Beijing is "Dwa", and Singapore is "Af" (Kottek et al., 2006). According to the weather data in STAT format, London is marine west coastal (warm summer, mild winter, rain all year), Wellington is a Mediterranean climate (dry warm

summer, mild winter), Beijing is humid continental (hot summer, cold dry winter), and Singapore is tropical wet (no dry season, rainforest, hot all year) (Weather Data | EnergyPlus, n.d.).

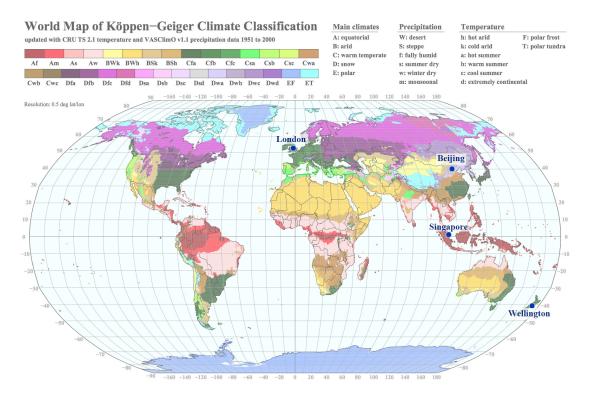


Figure 119: London, Beijing Singapore and Wellington four different climates (Kottek et al., 2006) Figure 120 shows the Heating Degree Days (HDD) and the Cooling Degree Hours (CDH) of the four cities. The HDD and CDH can reflect their climatic features. The HDD and CDH information is from weather data in STAT format (Weather Data | EnergyPlus, n.d.). The base temperature of the HDD is 18°C and the HDD is 18°C minus the daily average temperature. The CDH base 27°C is the hourly average temperature minus 27°C. That is because the heating setpoint of a natural ventilation system is 18°C and the cooling setpoint 27°C. In Figure 120, the HDD in London is less than 450 and that in Wellington is less than 300. The CDH in London is quite small and 0 in Wellington. Beijing is very different from London and Wellington. London and Wellington are both near the sea, so the climates there are classified as maritime and temperate, with the heat sink effect of the sea moderating temperature extremes. Their climates are neither very hot nor very cold. Beijing is far away from the sea and its climate is continental and more extreme. In Beijing, it is cold in winter, and hot in summer. In Figure 120, the HDD in Beijing is less than 700 and the CDH in Beijing is less than 700. The heating and cooling loads in Beijing are relatively large. However,

London and Wellington have large heating loads and small cooling loads. Unlike the extreme hot and cold in Beijing, Singapore is another kind of extreme. Singapore is close to the equator and has a tropical climate. Singapore is hot all year round, so there is no need for heating. In Figure 120, the HDD of Singapore is 0 and the CDH is between 400 and 1240. All four cities represent one type of climate, such as moderate, windy, continental and tropical.

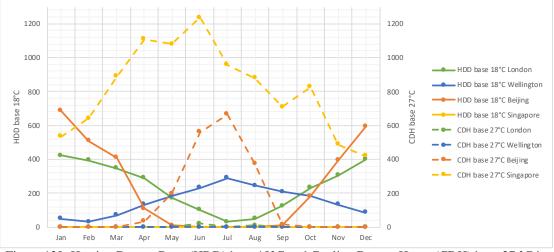


Figure 120: Heating Degree Days (HDD) base 18°C and Cooling Degree Hours (CDH) base 27 °C in different cities

There are four climate regions and three ventilation systems in this chapter. The simulation system of urban microclimate detailed modelling was applied in the four climate regions. The effects of different urban microclimate parameters on various ventilation systems and various climate regions were explored. Also, the likely scale of the effects on the building performance predictions was evaluated. Table 31 shows the process of comparison. The comparison includes three parts:

1) urban microclimate modelling precision, such as considering urban wind, UHI, adjusted urban height variations (HV) and using multilayer modelling method;

2) height variation precision for a city, such as the effects of tiny difference of urban microclimate vertical parameters;

3) height variations of terrain, such as the effects of suburban microclimate vertical parameters.

The reason for studying the influence of height variation precision of a city is the inaccessibility of accurate height variations of urban microclimates. Hence, the importance of height variation precision of a city was explored. The reason for studying the influence of suburban height variations is the uneven urban density. The density

rates within a city are not uniform (Aquino & Gainza, 2014). If a high-rise building is located near a large park in a city, its vertical variation parameters are close to those of suburbs. Therefore, the influence of height variations of suburban terrain was discussed. The comparison includes a control group and several experiment groups (Table 31). In terms of urban microclimate modelling precision, the control group used the original weather file from rural areas, and the experiment groups used detailed urban microclimate models with a gradual process. In terms of height variation precision for a city and height variation of terrain, the control group was the prototypical building performance with an urban weather file considering the UHI, urban wind and adjusted height variations. To explore the effects of precision, the urban height variations were replaced with suburban vertical parameters. Vertical variations include wind speed profile exponent, wind speed profile boundary layer thickness and air temperature gradient coefficient.

No.	Comparision	Urban microclimate	Ventilation system	City					
INU.	Comparision	parameter	ventriation system	London	Wellinton	Beijing	Singapore		
			HVAC	Thermal loads	Thermal loads	Thermal loads	Thermal loads		
(1)	Control	Surburban	Simplified NV	(kWh/m2.mo	(kWh/m2.mo	(kWh/m2.mo	(kWh/m2.mo		
(1) Urban	group		Detailed NV	nthly)	nthly)	nthly)	nthly)		
microclim ate	Experiment	Vind Compare urban wind with rural weather file							
modelling		UHI	(	Compare UHI wi	th rural weathe	r file			
precision	group	UHI+Wind	Compare UHI+Wind with rural weather file						
precision	Proch	UHI+Wind+HV	Wind+HV Compare UHI+Wind+HV with rural weather file						
		UHI+Wind+HV+Multilayer	Compare U	HI+Wind+HV+M	ultilayer with ru	ral weather file	_		
		UHI+Wind+HV (0.4 500m	HVAC	Thermal loads	Thermal loads	Thermal loads	Thermal loads		
	Control	0.002K/m)	Simplified NV	(kWh/m2.mo	. ,	(kWh/m2.mo nthly)	(kWh/m2.mo nthly)		
	group		Detailed NV	nthly)					
(2) Height variation	Experiment group	Wind speed profile exponent (0.33)	Compare wind speed profile exponent 0.33 with 0.4 (UHI+Wind+HV)						
precision for a city		Wind speed profile boundary layer thickness (400m)	Compare wind speed profile boundary layer thickness 400m with 500m (UHI+Wind+HV ) $$						
		Air temperature gradient coefficient (0.004K/m)	$Compare \ air \ temperature \ gradient \ coefficient \ 0.004 K/m \ with \ 0.002 K/m (UHI+Wind+HV)$						
	Control group	UHI+Wind+HV (0.4 500m	HVAC	Thermal loads	Thermal loads	Thermal loads	Thermal loads		
		0.002K/m)	Simplified NV	(kWh/m2.mo	(kWh/m2.mo nthly)	(kWh/m2.mo nthly)	(kWh/m2.mo nthly)		
		0.002K/III)	Detailed NV	nthly)					
(3) Height	Experiment group	Wind speed profile exponent (0.22)	Compare wind speed profile exponent 0.22 with 0.4 (UHI+Wind+HV)						
variation ofterrain		Wind speed profile boundary layer thickness (370m)	s Compare wind speed profile boundary layer thickness 370m with 500m (UHI+Wind+						
		Air temperature gradient coefficient (0.0065K/m)	Compare air temperature gradient coefficient 0.0065K/m with 0.002K/m(UHI+Wind+HV) $$						
		UHI+Wind+SurburbanHV	Compare suburban height variations (0.33 370m 0.0065K/m) with urban height variations						
		(0.22 370m 0.0065K/m)	n) (0.4 500m 0.002K/m)						

Table 31: 4 climate regions, 3 ventilation systems and 15 urban microclimate parameters

This part of the research explores the effects of climates, not including the configuration of cities. The prototypical high-rise office building and the urban configuration in this

chapter are the same as the model in London. The weather data of UHI and urban wind of Wellington's climate, Beijing's climate and Singapore's climate were simulated using the urban configuration of the City of London not their own urban configuration.

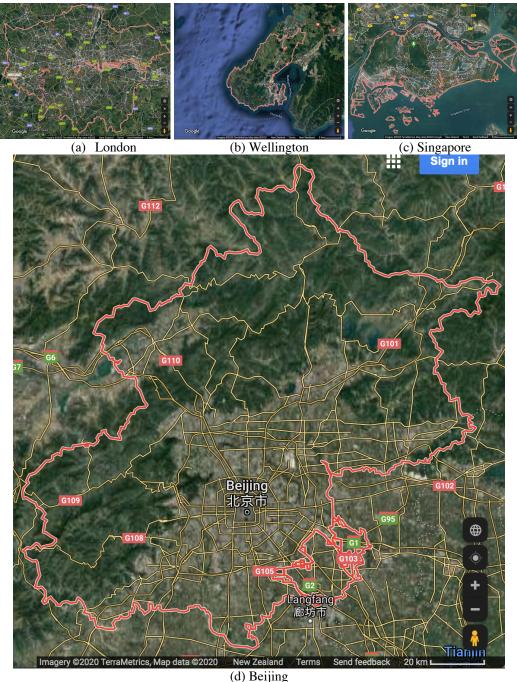


Figure 121: Size and outlines of cities (Google Maps, 2019)

This part research is to explore the effects of climate regions, hence, other factors are kept the same. The climate effects are not the effects of real cities. For example, the UHI of Wellington is based on the urban configuration of the City of London. In reality, there is almost no UHI in Wellington because it is too windy and the UHI is reduced in windy climates (Gedzelman et al., 2003). Beijing has the largest area, several times the

size of London (Figure 121). London is denser than Singapore. The actual UHI of Beijing and Singapore is different from the calculated UHI because the calculation is based on the urban configuration of the City of London. This part of the research focuses on climates, not actual cities.

# 8.3 Urban Microclimate Parameters

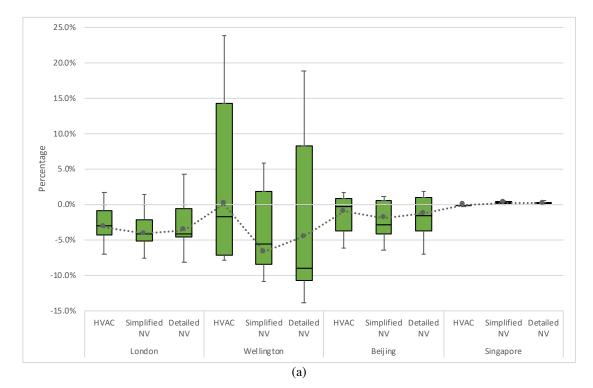
In order to evaluate the likely scale of the influence of urban microclimate parameters on building performance predictions, figures about thermal load percentage changes and thermal load absolute changes are shown in this chapter. For thermal load percentage change figures, boxes and whiskers show the minimum, 1 quarter, median, 3 quarters and maximum value of the monthly percentage change for 12 months. The dark dot of the dot-line is the percentage change of the whole year. The percentage change is equal to the thermal loads of experiment groups minus the thermal loads of the control group, and then the difference is divided by the thermal load of the control group. For thermal load change figures, the thermal load change equals the thermal loads of experiment groups minus the thermal loads of the control group. Boxes and whiskers (primary axis) show the minimum, 1 quarter, median, 3 quarters and maximum value of monthly change of 12 months, and the dark dot of the dot-line (secondary axis) is the change of the whole year. If the value is positive, it means the value of the experiment group is bigger than that of the control group. It also means the corresponding parameter increases the thermal load prediction. If the value is negative, it means the value of the experiment group is smaller than that of the control group. Therefore, the corresponding parameter decreases the thermal load prediction.

### 8.3.1 Urban microclimate modelling precision

### 8.3.1.1 <u>Urban wind</u>

The impacts of urban wind on building performance predictions are shown in Figure 122. In Figure 122a, the thermal load percentage changes of urban wind in Wellington's climate are bigger than those in other cities. The maximum month is more than 20% with an HVAC system. However, the annual percentage change with an HVAC system in Wellington's climate is close to 0%. The annual percentage change with simplified natural ventilation is less than 10%. The percentage changes of urban wind in London's climate and Beijing's climate with different ventilation systems are similar. Taking

urban wind into account in London's climate and Beijing's climate reduces a less than 5% thermal load. The effects of urban wind in Singapore's climate are very small. In Figure 122b, the thermal load monthly changes due to urban wind in Beijing's climate are bigger than in other cities. The percentage changes in Wellington's climate are the biggest, but the absolute changes in Beijing's climate are the biggest. The annual changes in Beijing's climate and London's climate are bigger than in Wellington's climate and Singapore's climate. The urban wind slightly increases thermal loads in Singapore's climate. The effects of urban wind on natural ventilation systems are bigger than on an HVAC system.



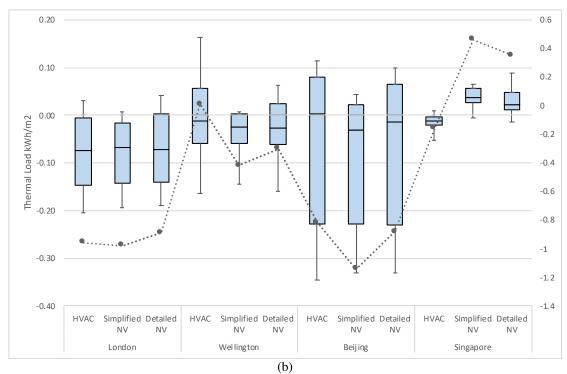
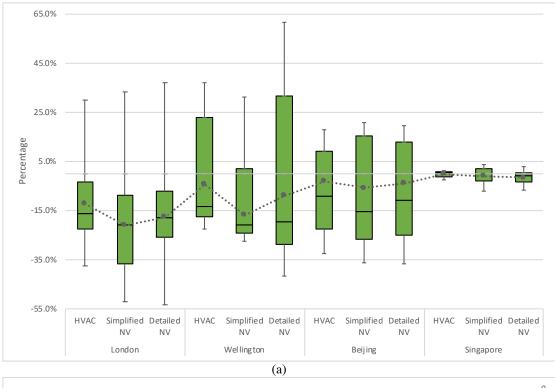


Figure 122: a) thermal load percentage change b) thermal load change of urban wind over rural weather file with different ventilation systems in the climate of different cities

Modelling urban wind is recommended in a windy climate, and not recommended in a tropical climate. As for in a moderate climate and a continental climate, it depends on the engineer's requirements, because the urban wind has a small impact on the percentage changes, but the absolute changes are greater than those in a windy climate.

#### 8.3.1.2 <u>UHI</u>

The effects of the UHI are bigger than those of urban wind on building performance predictions, because the percentage changes and absolute changes in Figure 123 are around 3 times as large as in Figure 122. In Figure 123a, the monthly percentage changes are between -55% and 65%. The annual percentage change in London's climate using natural ventilation systems is more than 15%. The thermal load percentage changes due to the UHI in London's climate are greater than those in Wellington's climate and Beijing's climate. The percentage changes in Singapore's climate are the smallest, less than 5%. However, the absolute changes of thermal loads in Singapore are not the smallest (Figure 123b). The thermal load absolute changes due to the UHI in Wellington's climate are the smallest, and the annual percentage changes in Wellington's climate are less than around 15%.



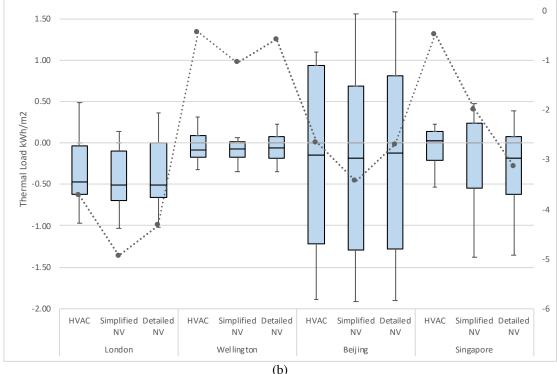


Figure 123: a) thermal load percentage change b) thermal load change of UHI over rural weather file with different ventilation systems in the climate of different cities

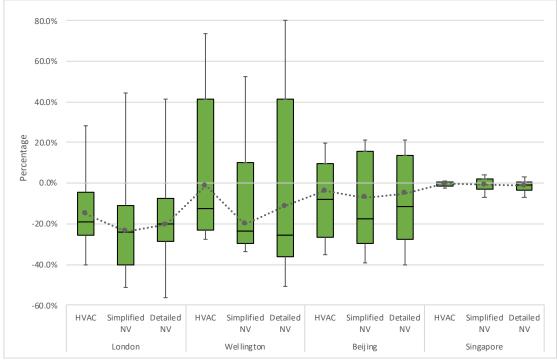
Hence, modelling the UHI is recommended in moderate climates. It is also recommended in a continental climate, because the UHI has a less 5% impact on the annual percentage changes, but the impact on the monthly percentage changes and the absolute changes are great. As for in a tropical climate, it depends on the ventilation systems. For an HVAC system, modelling the UHI is not recommended, but for natural

ventilation systems, it is recommended. Although the UHI has a less 5% impact on the percentage changes, the absolute changes are greater than those in Wellington's climate.

# 8.3.1.3 UHI and urban wind

The individual effects of the UHI and urban wind were explored. On this basis, the combined effects of the UHI and urban wind are analysed in this section. The combined effects are bigger than the individual effects.

The percentage changes and absolute changes in Figure 124 are bigger than those in Figure 123. In Figure 124a, the percentage changes due to combined effects of the UHI and urban wind in London's climate, Wellington's climate and Beijing's climate are very big. The monthly percentage changes are between -60% and 80%, and the annual percentage change in London's climate with simplified natural ventilation is more than 20%. The percentage changes in Singapore's climate are the smallest (Figure 124a), while the absolute changes in Wellington's climate are the smallest (Figure 124b). In Wellington's climate, the annual percentage changes due to the combined effects are big, especially with simplified natural ventilation which can reach 20%. However, the absolute changes in Wellington's climate are the smaller than those in the other three cities. The monthly changes in Beijing's climate are the biggest and the annual changes in London's climate are the biggest.





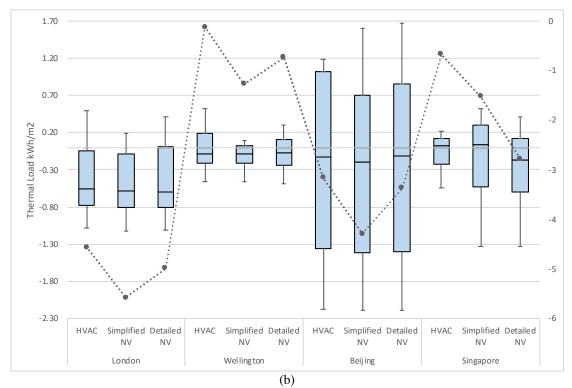


Figure 124: a) thermal load percentage change b) thermal load change of UHI and urban wind over rural weather file with different ventilation systems in the climate of different cities

Modelling the combined effects of the UHI and urban wind is recommended in moderate climates and a continental climate, because the combined effects enlarge the scale of influence. As for in a tropical climate, the combined effects are small. Modelling the combined effects is not recommended when using an HVAC system, but it is recommended when using a natural ventilation system.

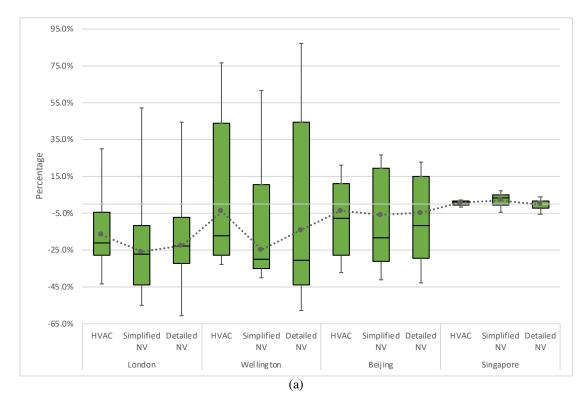
#### 8.3.1.4 UHI, urban wind and adjusted height variations

The information of original height variations and adjusted height variations is from Section 7.4.2 and Table 27. The original height variations are rough data and the adjusted height variations are relatively accurate data. This section explores the combined effects of the UHI, urban wind and adjusted height variations.

The adjusted height variations increase the effects of urban microclimate modelling on the building performance prediction because the percentage changes and absolute changes in Figure 125 are bigger than those in Figure 124. In Figure 125a, the annual percentage change due to the UHI, urban wind and adjusted height variations in London's climate and Wellington's climate with simplified natural ventilation reaches 25%. The annual percentage change due to the UHI and urban wind is less than around 20% (Figure 124a), due to the UHI is less than around 15% (Figure 123a) and due to the urban wind is less than around 7% (Figure 123a). Detailed urban microclimate modelling enlarges the difference in building performance predictions.

The monthly percentage changes are between -65% and 90%. The monthly percentage changes in London's climate and Wellington's climate are very big and those in Wellington's climate are greater than those in London's climate. However, the absolute changes of thermal loads in Wellington's climate are the smallest (Figure 125b). In Beijing's climate, the monthly percentage changes are between -45% and 30%, and the monthly absolute changes are the biggest (between -2.5 kWh/m<sup>2</sup> and 2 kWh/m<sup>2</sup>).

The adjusted height variations increase the prediction of thermal loads a lot in Singapore's climate. The annual absolute changes in the climate of Singapore are negative in Figure 124b, but they are positive in Figure 125b. In Singapore's climate, the percentage changes are the smallest among the four cities. However, the thermal load changes in Singapore's climate are bigger than in Wellington's climate.



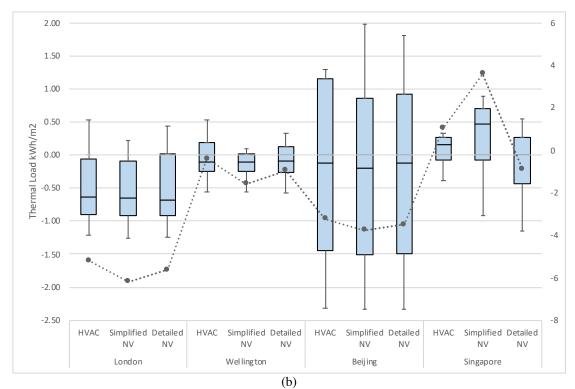


Figure 125: a) thermal load percentage change b) thermal load change of UHI, urban wind and adjusted height variations over rural weather file with different ventilation systems in the climate of different cities

Compared with the combined effects of the UHI and urban wind, the combined effects of the UHI, urban wind and adjusted height variations enlarge the difference in building performance predictions. The combined effects of the UHI and urban wind reduce the thermal load predictions. However, in a tropical climate, the adjusted height variations increase thermal load predictions, but in other climate regions they decrease thermal load predictions.

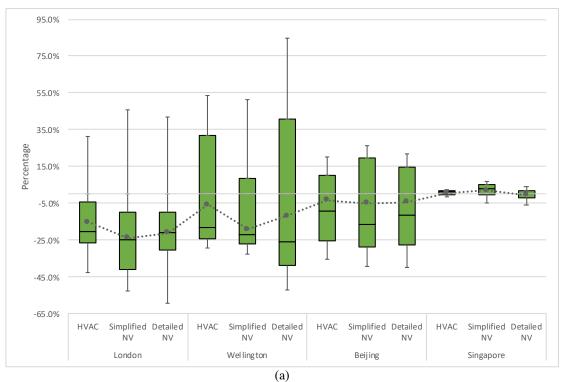
## 8.3.1.5 UHI, urban wind, adjusted height variations and multilayer modelling method

The multilayer modelling method is based on Section 7.5. In this section, the combined effects of the UHI, urban wind, adjusted height variations and multilayer modelling method are explored.

The multilayer modelling method slightly decreases the difference in building performance predictions. The UHI, urban wind and adjusted height variations mostly decrease thermal load predictions, but because the multilayer modelling method has an opposite effect which increases thermal load predictions slightly. The percentage changes and absolute changes in Figure 126 are smaller than those in Figure 125.

In Figure 126a, the percentage changes in Wellington's climate and London's climate are bigger than in Beijing's climate and Singapore's climate. In Singapore's climate,

the percentage changes are the smallest which are less than 5%. However, the absolute changes in Singapore's climate are bigger than those in Wellington's climate (Figure 126b). The annual changes in London's climate are bigger those than in Beijing's climate, but the monthly changes in London's climate are smaller than those in Beijing's climate. The percentage changes in Wellington's climate are the biggest, but the absolute changes in Wellington's climate are the smallest.



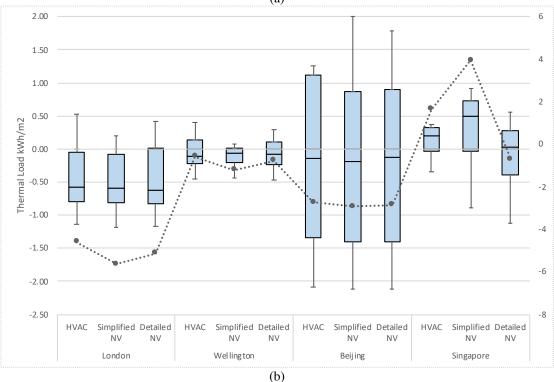


Figure 126: a) thermal load percentage change b) thermal load change of UHI, urban wind, adjusted height variations and multilayer modelling method over rural weather file with different ventilation systems in the climate of different cities

The combined effects of the UHI, urban wind, adjusted height variations and the multilayer modelling method are smaller than the combined effects of the UHI and urban wind and adjusted height variations, because the multilayer modelling method reduces the difference in building performance predictions. Modelling the combined effects of the UHI, urban wind, adjusted height variations and multilayer modelling method is recommended in a moderate climate and a continental climate. As for in a tropical climate, it depends on the ventilation systems and the engineer's requirements.

In summary, developing a simulation system of urban microclimate is recommended in a moderate climate. It is also recommended in a windy climate because it influences the percentage changes in thermal load prediction a lot. In a continental climate, it is recommended, as it has a big impact on the monthly percentage changes and the absolute changes in thermal load prediction. It has a greater impact on the monthly percentage changes and a smaller impact on the annual percentage changes, because modelling horizontal parameters increases the thermal load prediction in some months and decreases the prediction in other months. Based on these increases and decreases, engineers can optimize design to improve building performance. In a tropical climate, developing a simulation system has a very small impact on the percentage changes in thermal load prediction. However, when using different ventilation systems, the absolute changes in a tropical climate are sometimes greater than those in a windy climate. In a tropical climate, the level of detailed modelling depends on the engineer's requirements and the ventilation systems.

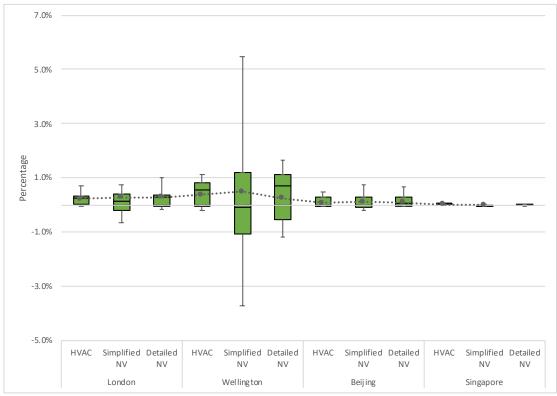
## 8.3.2 Height variation precision for cities

In this section, the importance of the precision of height variations of urban microclimate is studied. The vertical variations of the control group are the adjusted height variations for the terrain of a city. In the control group, the wind speed profile exponent is 0.4, the wind speed profile boundary layer thickness is 500 m, and the air temperature gradient coefficient is 0.002 K/m (Table 31). Then, the wind speed profile exponent 0.33 is compared with 0.4, The wind speed profile boundary layer thickness 400 m is compared with 500 m, and the air temperature gradient coefficient 0.004 K/m

is compared with 0.002 K/m. How these slight changes of height variations for cities influence the prediction of building performance is discussed.

# 8.3.2.1 Wind speed profile exponent (0.33)

The slight change of the wind speed profile exponent for cities has a very small influence on the building performance. The thermal load percentage changes are less than 1% except in Wellington's climate with simplified natural ventilation (Figure 127a). In Singapore's climate, the percentage changes are close to 0% and the monthly absolute changes are less than 0.006 kWh/m<sup>2</sup> (Figure 127b). The influence of the wind speed profile exponent precision is negligible.





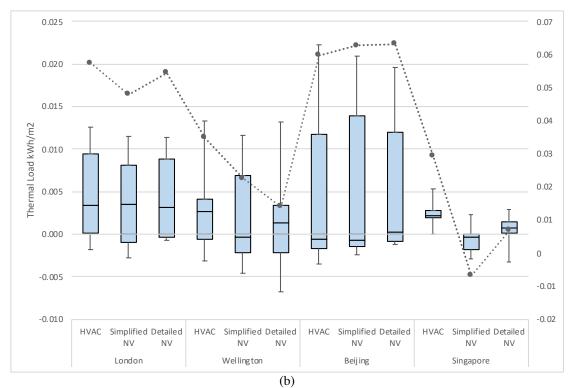
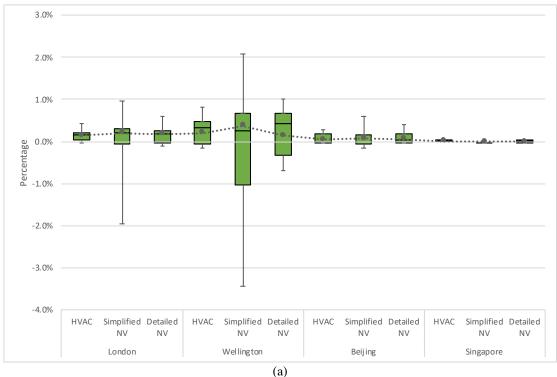


Figure 127: a) thermal load percentage change b) thermal load change of wind speed profile exponent 0.33 over 0.4 with different ventilation systems in the climate of different cities

### 8.3.2.2 Wind speed profile boundary layer thickness (400 m)

The slight change of the wind speed profile boundary layer thickness for cities has little influence on the building performance which is smaller than that of the wind speed profile exponent. In Figure 128a, the percentage changes are less than 1% except in London's climate and Wellington's climate with simplified natural ventilation. The percentage changes of the wind speed profile boundary layer thickness in Singapore's climate is close to 0% (Figure 128a) and monthly absolute changes are less than 0.004 kWh/m<sup>2</sup> (Figure 128b). The influence of the wind speed profile boundary layer thickness precision is also negligible.



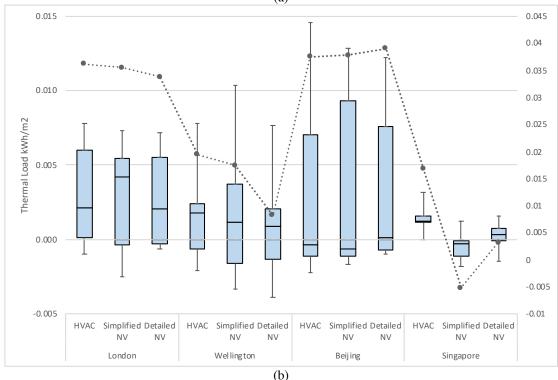
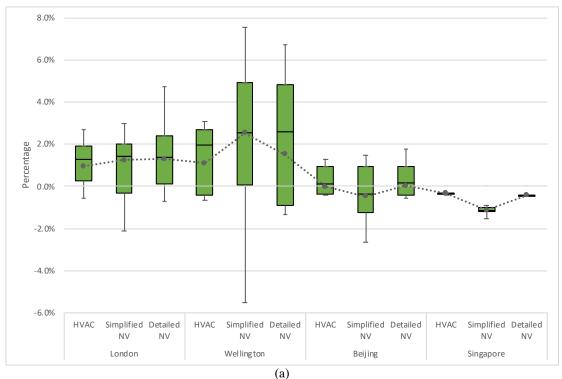


Figure 128: a) thermal load percentage change b) thermal load change of wind speed profile boundary layer thickness 400 m over 500 m with different ventilation systems in the climate of different cities The effects of the precision of the wind speed profile exponent and the wind speed profile boundary layer thickness are very small. The influence of the precision of the wind speed profile boundary layer thickness. In the four climate regions, it is not worth the effort to model a more accurate urban wind profile.

### 8.3.2.3 Air temperature gradient coefficient (0.004 K/m)

The slight change of the air temperature gradient coefficient for cities has bigger effects than the wind speed profile exponent and the wind speed profile boundary layer thickness. In Figure 129a, the monthly percentage changes in Wellington's climate are between -6% and 8%, in London's climate between -2% and 5%, in Beijing's climate between -3% and 2%, and in Singapore's climate between -2% and 0%. The annual percentage changes in the climate of the four cities are small (less than 3%). The percentage changes in Singapore's climate are the smallest, however, the absolute changes in Singapore's climate are the biggest due to vary large cooling loads yearround in Singapore (Figure 129b). The precision of the air temperature gradient coefficient is more important than that of the wind speed profile exponent and the wind speed profile boundary layer thickness. However, the precision of the urban vertical variations is not important, as it usually gives a less than 2% change.

An accurate urban air temperature gradient coefficient in a windy climate is more important than that in a moderate climate and a continental climate. In a tropical climate, although it has very small influence on the percentage changes, the absolute changes are the biggest. The absolute changes in the building performance prediction may change the capacity design of building equipment. Whether or not modelling a more accurate urban air temperature gradient coefficient in a tropical climate depends on the requirements of the engineer.



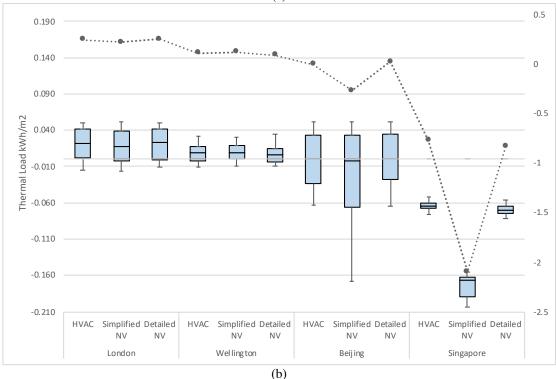


Figure 129: a) thermal load percentage change b) thermal load change of air temperature gradient coefficient 0.004 K/m over 0.002 K/m with different ventilation systems in the climate of different cities

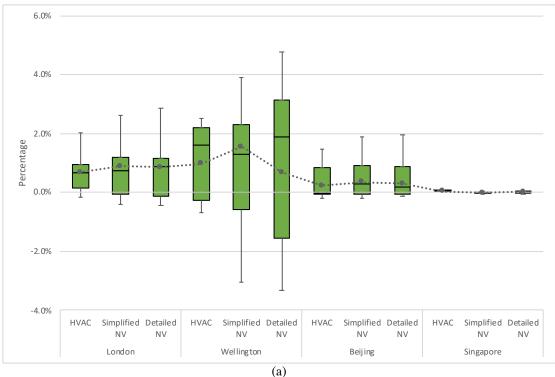
# 8.3.3 Height variations of terrain

The previous section studied whether the accuracy of height variations for a city influences thermal load prediction. The slight change of urban height variations has little influence on building performance predictions. What if a building is in urban areas,

but its surrounding terrain is more like suburbs? The difference between urban and suburban height variations is bigger than the slight change. This section discusses how the height variations of suburban terrain influence building performance simulation. The control group in this section is the same as that in previous section (Table 31). Then, the wind speed profile exponent 0.22 is compared with 0.4, The wind speed profile boundary layer thickness 370 m is compared with 500 m, and the air temperature gradient coefficient 0.0065 K/m is compared with 0.002 K/m. The influence of suburban height variations (0.22 370 m 0.0065 K/m) is compared with that of the urban height variations (0.4 500 m 0.002 K/m).

#### 8.3.3.1 Wind speed profile exponent (0.22)

The influence of suburban wind speed profile exponent is bigger than the slight change of urban wind speed profile exponent. The annual percentage changes due to suburban terrain (less than 2% in Figure 130a) are bigger than those due to the precision of urban wind speed profile exponent (less than 1% Figure 127a). In Wellington's climate the monthly percentage changes are between -3% and 5%. The percentage changes in Wellington's climate are bigger than those in London's climate, Beijing's climate and Singapore's climate. However, the absolute changes in Beijing's climate are the biggest, and in Singapore's climate are the smallest. The suburban wind speed profile exponent has a very low impact on the prediction of building performance. Considering the influence of suburban wind speed profile exponent is recommended in a windy climate, while not recommended in a tropical climate.



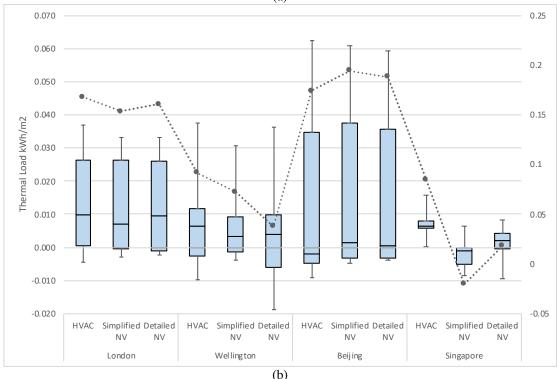


Figure 130: a) thermal load percentage change b) thermal load change of wind speed profile exponent 0.22 over 0.4 with different ventilation systems in the climate of different cities

#### 8.3.3.2 Wind speed profile boundary layer thickness (370 m)

The influence of suburban wind speed profile boundary layer thickness is very small (Figure 131). The effects of suburban thickness are a little bigger than those of the slight change of urban thickness (Figure 128). The percentage changes in Wellington's climate are the biggest (Figure 131a). The absolute changes in Beijing's climate are the

biggest (Figure 131b). Both the percentage changes and the absolute changes in Singapore's climate are the smallest. In the four climate regions, the influence of the wind speed profile boundary layer thickness due to precision and terrain is not important.

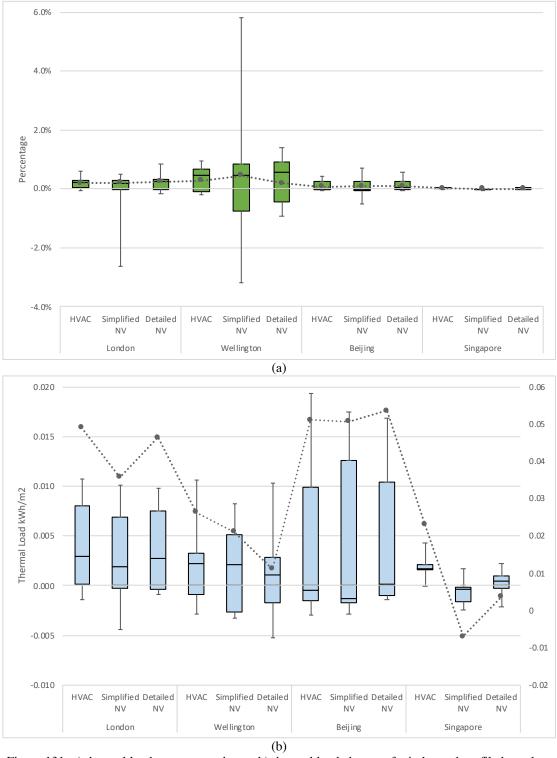
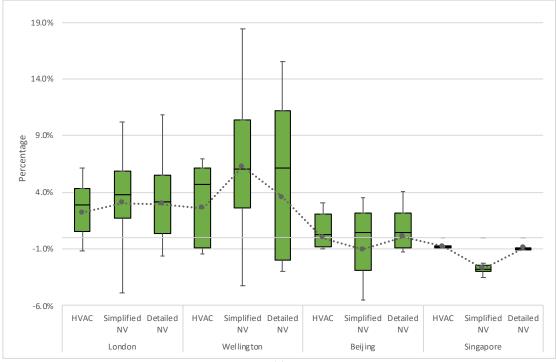


Figure 131: a) thermal load percentage change b) thermal load change of wind speed profile boundary layer thickness 370 m over 500 m with different ventilation systems in the climate of different cities

8.3.3.3 <u>Air temperature gradient coefficient (0.0065 K/m)</u>

The influence of suburban air temperature gradient coefficient is much bigger than that of suburban wind speed profile exponent and suburban wind speed profile boundary layer thickness, and it is also bigger than that of the precision of urban air temperature gradient coefficient. In Figure 132a, the percentage changes in Wellington's climate are between -5% and 19% which are bigger than those in London's climate, Beijing's climate and Singapore's climate. However, in Figure 132b, the absolute changes in Singapore's climate are the biggest, followed by in Beijing's climate. Wellington's climate is the smallest.

Considering suburban air temperature gradient coefficient is recommended in moderate climates, and not recommended in a continental climate. In a tropical climate, it is recommended. Although it has a less 4% impact on the percentage changes, the absolute changes are the biggest.





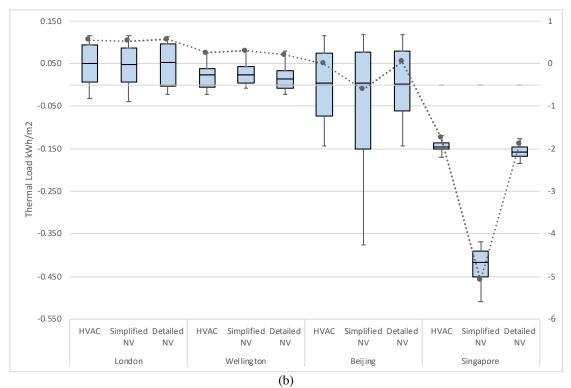


Figure 132: a) thermal load percentage change b) thermal load change of air temperature gradient coefficient 0.0065 K/m over 0.002 K/m with different ventilation systems in the climate of different cities

# 8.3.3.4 Suburban height variations (0.22 370 m 0.0065 K/m)

The combined effects of suburban height variations are significant. The percentage changes in Wellington's climate are between -7% and 22%, followed by London's climate (Figure 133a). The annual percentage changes in Wellington's climate and London's climate are positive, but in Singapore's climate those are negative. In Singapore's climate, the percentage changes are the smallest, however, the absolute changes are the biggest (Figure 133b).

Considering the terrain of suburbs is recommended in moderate climates. In a continental climate, it is also recommended when engineers need the information of monthly thermal load changes to optimize building performance. As for in a tropical climate, it is recommended as well. Although it has a less 3% impact on the percentage changes, the absolute changes are the biggest. Hence, the influence of height variations of suburban terrain is important to the climate of these four cities.

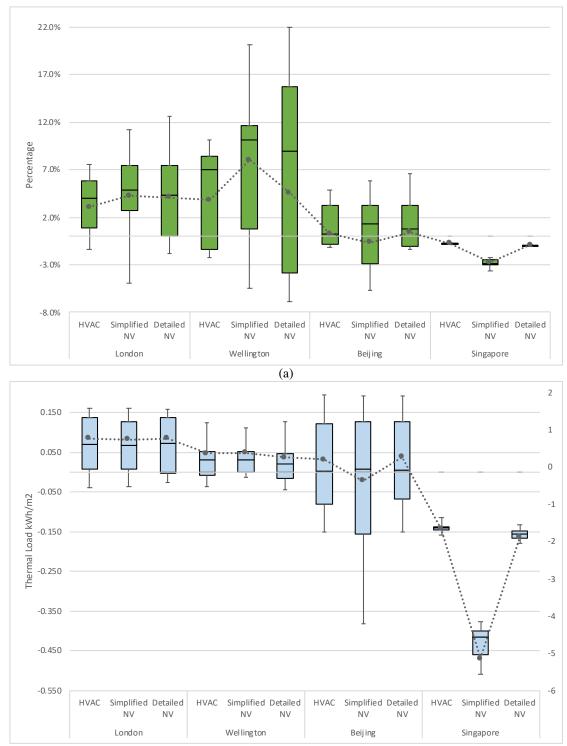




Figure 133: a) thermal load percentage change b) thermal load change of suburban height variations (0.22 370 m 0.0065 K/m) over urban height variations (0.4 500 m 0.004 K/m) with different ventilation systems in the climate of different cities

# 8.4 Effects of Urban Microclimate Detailed Modelling on Cities with Different Climate

The last section explained the effects of each parameter separately in detail. This section compares these effects together. Monthly percentage changes in thermal loads due to urban microclimate modelling precision in four cities with three ventilation systems are shown in the same figure. It shows the thermal load percentage changes over 12 months. In that figure, the orange bar means an increase in thermal load and the green bar means a decrease in thermal load. From left to right is January to December in London, Beijing and Singapore. Wellington is in the southern hemisphere. In Wellington, from left to right is July to June to keep the order of the seasons. Annual absolute changes in thermal loads due to each urban microclimate parameter in four cities with three ventilation systems are also shown in one figure. A negative value means a decrease in thermal load prediction.

# 8.4.1 Effects of Urban microclimate modelling precision

The combined effects of urban microclimate parameters increase with the urban microclimate modelling precision. In Figure 134, the combined effects increase cooling loads in summer and decrease heating loads in winter in London's climate, Wellington's climate and Beijing's climate. The effects in Singapore's climate are different from those in the climate of other three cities, because there are only cooling loads in Singapore's climate. The parameters increase cooling load prediction in winter and decrease cooling load prediction in summer. The percentage changes in Wellington's climate (between -58% and 87%), London's climate (between -61% and 52%) and Beijing's climate (between -43% and 26%) are bigger than in Singapore's climate (between -7% and 7%). The percentage changes with simplified natural ventilation and detailed natural ventilation are bigger than those with an HVAC system. The effects of urban wind are very small in London's climate, Beijing's climate and Singapore's climate, except in Wellington's climate.

According to the monthly percentage changes, developing a simulation system of urban microclimate is recommended in moderate, windy and continental climates.

		Urban microclimate modelling precision													
City	Ventilaiton System	Urban Wind	UHI	Urban Wind+UHI	Urban Wind+UHI+adjuste d Height 0.4 500m 0.002K/m	Urban Wind+UHI+adjuste d Height Variations+Multilay er	MAX	MIN							
	HVAC			•==1 <b>•</b> ====	•••1• <b>•</b> •81•	·····	30%	-44%							
London	Simplified NV		····	····	····	····	52%	-55%							
	Detailed NV			····	·····	····	44%	-61%							
	HVAC			<sup>_</sup>	<sup></sup>		77%	-33%							
Wellington	Simplified NV			····			62%	-40%							
	Detailed NV	=	····				87%	-58%							
	HVAC						21%	-37%							
Beijing	Simplified NV						26%	-41%							
	Detailed NV				•••		23%	-43%							
	HVAC						2%	-3%							
Singapore	Simplified NV						7%	-3%							
	Detailed NV						4%	-7%							

Figure 134: Monthly percentage changes due to urban microclimate modelling precision in four cities with three ventilation systems

Figure 134 shows the gradual change of combined effects and Figure 135 shows each individual effect of urban microclimate parameters. UHI has big effects in the climate of the four cities. In London's climate, Wellington's climate and Beijing's climate the UHI has the biggest influence, but in Singapore's climate adjusted height variations have the biggest influence. In London's climate urban wind has the second biggest influence. The effects of adjusted height variation and multilayer modelling method are similar, but one is negative the other is positive. In Beijing's climate the effects of urban wind (negative) and multilayer modelling method (positive) are similar. Adjusted height variations have the smallest influence. In Wellington's climate the parameters except the UHI have a similar influence. The thermal load changes due to the multilayer modelling method are similar in London's climate, Beijing's climate and Singapore's climate.

In Figure 135, urban wind decreases thermal load prediction except in Wellington's climate with an HVAC system and in Singapore's climate with natural ventilation

systems. The UHI decreases annual thermal load prediction in the climates of four cities with three ventilation systems. The adjusted height variations of urban microclimates increase thermal load prediction in Singapore dramatically. They decrease thermal load prediction in the climates of other cities, except in Beijing's climate with simplified natural ventilation. The multilayer modelling method increases thermal load prediction, except in Wellington's climate with an HVAC system.

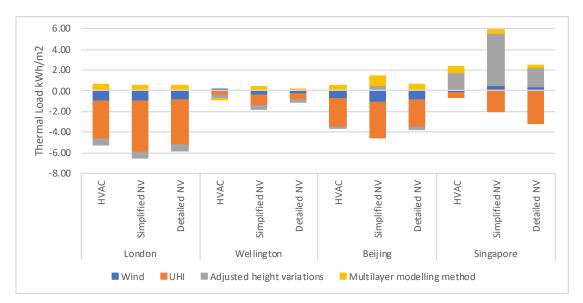


Figure 135: Annual absolute changes of thermal load due to urban microclimate parameters in four cities with three ventilation systems

The percentage changes in Singapore's climate are very small, but the absolute changes are significant. The parameters except the UHI increase thermal load prediction in Singapore's climate. In the climates of other cities most of the parameters decrease thermal load prediction.

According to the annual absolute changes, developing a simulation system of urban microclimate is recommended in moderate, continental and tropical climates. In the climate of Wellington, although the absolute changes are small, the percentage changes are big. In the climate of Singapore, although the percentage changes are small, the absolute changes are big. Hence, according to the percentage and absolute changes, developing a simulation system of urban microclimate is recommended in the four climate regions.

#### 8.4.2 Height variation precision and terrain

The influence of height variations of different terrain is bigger than that of height variation precision. In Figure 136, the monthly percentage changes of thermal loads

due to height variation precision are less than 8%. The monthly percentage changes due to suburban height variations can reach 22%. The suburban terrain decreases cooling load prediction in summer and increases heating load prediction in winter in London's climate, Wellington's climate and Beijing's climate. In Singapore's climate, the wind speed profile exponent and the wind speed profile boundary layer thickness increase and the air temperature gradient coefficient decreases cooling load prediction for most of the year.

In Figure 137, the influence of the air temperature gradient coefficient is bigger than that of the wind speed profile exponent and the wind speed profile boundary layer thickness. The thermal load changes due to the wind speed profile exponent and the wind speed profile boundary layer thickness are very small.

The influence of height variations in the climate of Singapore is different from that in the climate of other cities. In Figure 136 the percentage changes in Singapore's climate are the smallest, however, the absolute changes in Singapore's climate are the biggest (Figure 137). The suburban air temperature gradient coefficient increases thermal loads in London and Wellington. In Beijing's climate and Singapore's climate, it decreases thermal loads except with detailed natural ventilation in Beijing's climate.

The influence of terrain is bigger than that of height variation precision. Considering the influence of terrain type is recommended in moderate climates. In a tropical climate, modelling a more accurate urban air temperature gradient coefficient and considering the influence of suburban air temperature gradient coefficient are recommended. The air temperature gradient coefficient has a great impact on thermal load prediction in a tropical climate. Height variation precision and suburban height variations have a small impact on thermal load prediction in a continental climate.

			Height Variation Pr	ecision for City	•		Height Variation of Terrain									
City	Ventilaiton System	Wind Speed Profile Exponent	Wind Speed Profile Boundary Layer Thickness	Air Temperature Gradient Coefficient	MAX	MIN	Suburban height variations	Wind Speed Profile Exponent	Wind Speed Profile Boundary Layer Thickness	Air Temperature Gradient Coefficient	MAX	MIN				
		0.33	400m	0.004K/m			0.22 370m 0.0065K/m	0.22	370m	0.0065K/m						
	HVAC				2.7%	-0.5%					7.6%	-1.4%				
London	Simplified NV				3.0%	-2.1%				·	11.2%	-5.0%				
	Detailed NV				4.7%	-0.7%	l				12.7%	-1.8%				
	HVAC				3.1%	-0.7%					10.1%	-2.2%				
Wellington	Simplified NV	=			7.5%	-5.5%	աե.,,սե		=		20.2%	-5.5%				
	Detailed NV				6.7%	-1.3%	dh <sub>ara</sub> dh				22.0%	-6.9%				
	HVAC				1.3%	-0.4%					4.9%	-1.1%				
Beijing	Simplified NV				1.5%	-2.7%					5.8%	-5.7%				
	Detailed NV				1.8%	-0.5%					6.6%	-1.4%				
	HVAC				0.0%	-0.4%					0.1%	-0.9%				
Singapore	Simplified NV				0.0%	-1.5%					0.0%	-3.6%				
	Detailed NV				0.0%	-0.5%					0.0%	-1.0%				

Figure 136: Monthly percentage changes due to height variation precision and terrain in four cities with three ventilation systems

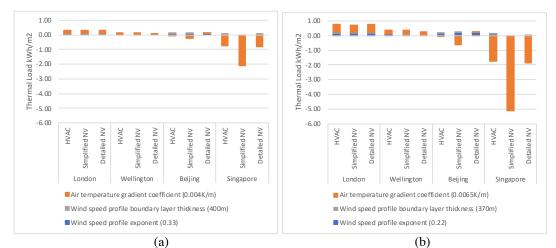


Figure 137: Annual absolute changes due to (a) height variation precision and (b) height variation of terrain in four cities with three ventilation systems

# 8.4.3 Effects of Height variation precision and terrain at different height

Height variations of urban microclimates have different impacts at different floors. At the 1<sup>st</sup> floor, the effects of the wind speed profile exponent are bigger than the wind speed profile boundary layer thickness and the air temperature gradient coefficient. In London's climate and Wellington's climate, the monthly changes due to the wind speed profile exponent can reach 9%. In Beijing's climate the changes are less than 3.4% and in Singapore's climate are less than 0.3% (Figure 138). At the 17th and 33rd floors, the air temperature gradient coefficient plays a more important role than the wind speed profile exponent and the wind speed profile boundary layer thickness. The influence of the height variations increases with height. At the 17th floor, the monthly changes due to the terrain in London's climate are less than 12.2% (Figure 139). At the 33rd floor, they are less than 25.4% (Figure 140). In Wellington's climate, the monthly changes are less than 23.4% at the 17th floor and 33.2% at the 33rd floor.

At a lower floor, modelling a more accurate urban wind speed profile exponent and considering the impact of suburban wind speed profile exponent are recommended in moderate climates. At an upper floor, modelling a more accurate urban air temperature gradient coefficient and considering the impact of suburban air temperature gradient coefficient are recommended in moderate climates.

At the 1st floor, in London's climate, the effects of height variations on an HVAC system are bigger than natural ventilation systems. In the other three cities the effects on natural ventilation systems are bigger than an HVAC system.

			Height Variation Pro	ecision for City				Height	Variation of Terrair	1		
City	Ventilaiton System	Wind Speed Profile Exponent	Wind Speed Profile Boundary Layer Thickness	Air Temperature Gradient Coefficient	MAX	MIN	Suburban height variations	Wind Speed Profile Exponent	Wind Speed Profile Boundary Layer Thickness	Air Temperature Gradient Coefficient	MAX	MIN
		0.33	400m	0.004K/m			0.22 370m 0.0065K/m	0.22	370m	0.0065K/m		
	HVAC				0.8%						3.4%	-9.4%
London	Simplified NV	=			2.8%	-3.0%					4.3%	-4.1%
	Detailed NV				1.3%	-0.1%					5.2%	-0.4%
	HVAC				1.6%	-0.2%					4.6%	-0.9%
Wellington	Simplified NV			·····	7.5%	-9.0%	a.,	·I			8.3%	-5.6%
	Detailed NV	-==			2.2%	-1.4%	18. <sub></sub> .11	1881- <sub>8***</sub> -18			9.1%	-5.2%
	HVAC				0.6%	-0.1%					2.5%	-0.3%
Beijing	Simplified NV				0.9%	-0.8%		=		====	3.4%	-0.9%
	Detailed NV				0.8%	0.0%		=			3.3%	-0.2%
	HVAC				0.0%	0.0%					0.1%	-0.1%
Singapore	Simplified NV				0.0%	-0.1%					0.1%	-0.3%
	Detailed NV				0.0%	0.0%					0.1%	-0.2%

Figure 138: Monthly percentage changes at the 1<sup>st</sup> Floor due to height variation precision and terrain in four cities with three ventilation systems

			Height Variation Pro	ecision for City				Height	Variation of Terrain	1		
City	Ventilaiton System	Wind Speed Profile Exponent Wind Speed Profile Boundary Layer Thickness		Air Temperature Gradient Coefficient	MAX	MIN	Suburban height variations	Wind Speed Profile Exponent	Wind Speed Profile Boundary Layer Thickness	Air Temperature Gradient Coefficient	MAX	MIN
		0.33	400m	0.004K/m			0.22 370m 0.0065K/m	0.22	370m	0.0065K/m		
	HVAC				2.6%	-0.5%					7.5%	-1.5%
London	Simplified NV				4.7%	-2.6%				B <sub>2</sub> Bas-	12.2%	-4.3%
	Detailed NV				4.5%	-0.7%					12.2%	-2.0%
	HVAC				2.8%	-0.7%					9.3%	-2.6%
Wellington	Simplified NV				18.2%	-5.1%	ad.,		=		23.4%	-6.6%
	Detailed NV				6.3%	-1.4%	dh <sub>ara</sub> dh				21.0%	-6.7%
	HVAC				1.3%	-0.4%					4.8%	-1.1%
Beijing	Simplified NV				2.0%	-2.7%					5.4%	-6.1%
	Detailed NV				1.8%	-0.6%					6.6%	-1.4%
	HVAC				0.0%	-0.4%					0.1%	-0.9%
Singapore	Simplified NV				0.0%	-1.5%					0.0%	-3.5%
					0.0%	-1.570					0.0%	-5.570
	Detailed NV					-0.4%						-1.0%

Figure 139: Monthly percentage changes at the 17th Floor due to height variation precision and terrain in four cities with three ventilation systems

			Height Variation Pro	ecision for City				Height	Variation of Terrain	1		
City	Ventilaiton System	Wind Speed Profile Exponent Wind Speed A Profile Boundary Layer Thickness		Air Temperature Gradient Coefficient	MAX	MIN	Suburban height variations	Wind Speed Profile Exponent	Wind Speed Profile Boundary Layer Thickness	Air Temperature Gradient Coefficient	MAX	MIN
		0.33	0.33 400m				0.22 370m 0.0065K/m	0.22	370m	0.0065K/m		
	HVAC			=	5.1%	-1.0%						-2.6%
London	Simplified NV				8.5%	-5.5%	<mark></mark>	=		<b>,</b> b	25.4%	-10.8%
	Detailed NV				8.6%	-1.4%					21.7%	-3.5%
	HVAC				5.7%	-1.2%					15.9%	-3.4%
Wellington	Simplified NV			·····	14.0%	-7.7%	atili. "da	=			28.2%	-8.3%
	Detailed NV				11.9%	-2.6%	didi			.ihh	33.2%	-8.7%
	HVAC				2.5%	-0.8%				=	7.3%	-2.0%
Beijing	Simplified NV				2.7%	-5.1%					7.6%	-10.4%
	Detailed NV				3.4%	-1.1%					9.7%	-2.5%
	HVAC				0.0%	-0.7%					0.1%	-1.7%
Singapore	Simplified NV				0.0%						0.0%	-7.0%
					0.0%	-3.1%					0.0%	-/.0%
	Detailed NV					-0.9%						-2.0%

Figure 140: Monthly percentage changes at the 33<sup>rd</sup> Floor due to height variation precision and terrain in four cities with three ventilation systems

At the 1<sup>st</sup> floor, the annual absolute changes are very small. In London's climate, Wellington's climate and Beijing's climate, the influence of the wind speed profile exponent due to terrain is bigger than the air temperature gradient coefficient. In Singapore's climate the air temperature gradient coefficient plays an important role than the wind speed profile exponent. The influence of the wind speed profile boundary layer thickness is the smallest (Figure 141).

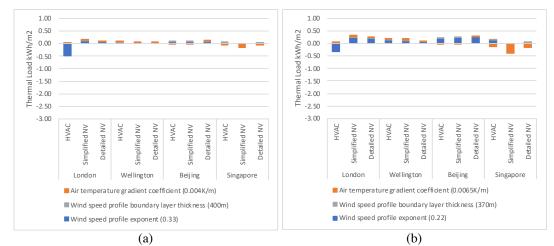


Figure 141: Annual absolute changes at the 1<sup>st</sup> floor due to (a) height variation precision and (b) height variation of terrain in four cities with three ventilation systems

At the 17th and 33rd floors, the absolute changes of thermal loads due to the air temperature gradient coefficient are significant in Singapore's climate (Figure 142 and Figure 143). They increase with height. However, the percentage changes in Singapore's climate are less than 7% (Figure 140), because the basic thermal load prediction in Singapore's climate are extremely high.

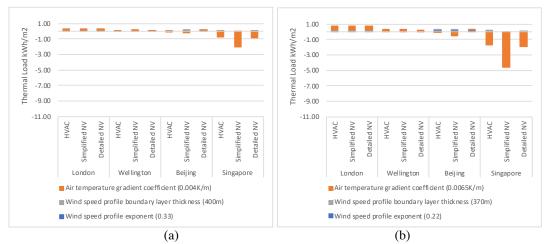


Figure 142: Annual absolute changes at the 17<sup>th</sup> floor due to (a) height variation precision and (b) height variation of terrain in four cities with three ventilation systems

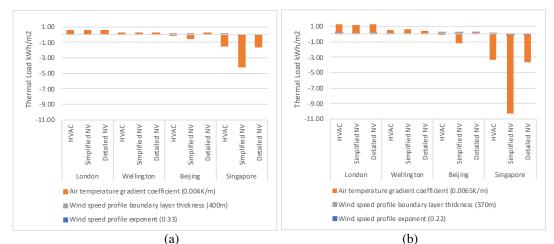


Figure 143: Annual absolute changes at the 33<sup>rd</sup> floor due to (a) height variation precision and (b) height variation of terrain in four cities with three ventilation systems

At the lower floor the influence of the wind speed profile exponent is bigger than the air temperature gradient coefficient. At the higher floor the influence of the air temperature gradient coefficient is bigger than the wind speed profile exponent. The influence of the wind speed profile boundary layer thickness is negligible.

# 8.5 Priority of Urban Microclimate Parameters in Different Climates

This section analyses the priority of parameters under different climatic conditions. Figure 144 shows the annual thermal load changes due to modelling parameters in the climate of four cities and the HDD and CDH of the four cities. From left to right is January to December in London, Beijing and Singapore. In Wellington from left to right is July to June to keep the order of the seasons.

In London main thermal loads are heating loads in winter. The UHI reduces heating load prediction through raising the environmental temperature. In London's climate the most important parameter of urban microclimate modelling is the UHI. The second one is urban wind. Then the importance of adjusted height variations, multilayer modelling method, and suburban air temperature gradient coefficient are similar. The influence of other parameters is very small.

The climate in Wellington is similar to that in London. Main thermal loads are also heating loads in Wellington. However, heating loads in Wellington are less than in London. In Wellington's climate, the UHI has the biggest effects on the thermal load prediction. The second one is urban wind. Then the importance of adjusted height variations, multilayer modelling method, and suburban air temperature gradient coefficient are similar. The influence of other parameters is very small.

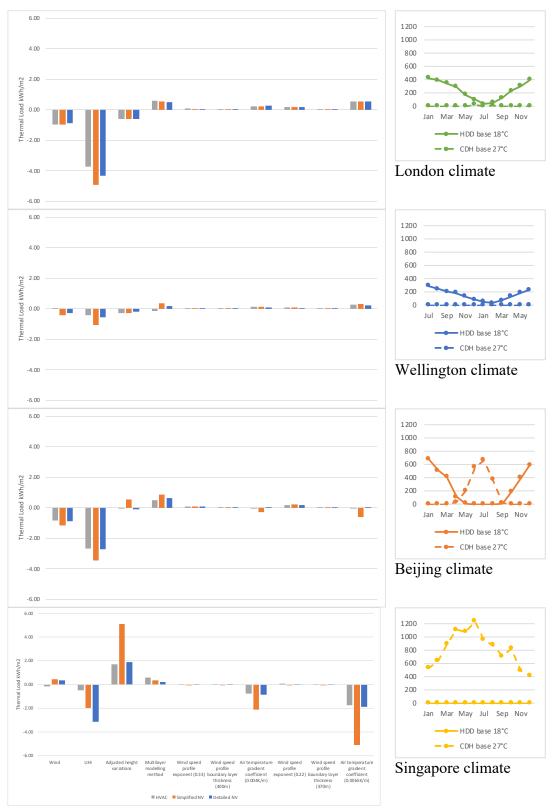


Figure 144: Annual thermal load changes due to urban microclimate parameters and relative climates HDD and CDH

The climate of Beijing is different from that of London and Wellington. It has high cooling loads in summer and high heating loads in winter. Heating loads in Beijing are higher than in London. In Beijing's climate, the most important parameter is the UHI. However, the annual thermal load changes due to the UHI in Beijing's climate are less than in London's climate. The UHI reduces heating loads in winter, but it increases cooling loads in summer. In Beijing's climate the heating load reduction and the cooling load increase in prediction cancel each other out. Hence, the annual thermal load changes in Beijing are not as high as in London. The second one is urban wind and the third one is the multilayer modelling method. The influence of other parameters is very limited.

In Singapore there are only cooling loads and the cooling loads are extremely high. In Singapore's climate the most important parameters are adjusted height variations and suburban air temperature gradient coefficient. The second one is the UHI and the third one is the precision of urban air temperature gradient coefficient.

Thermal load changes	London							Wellington							Beijir	ng		Singapore					
(kWh/m <sup>2)</sup>	HVAC	HVAC		Simplified NV		Detailed NV				ified	Detailed NV				Simplified NV		Detailed NV	HVAC		Simplified NV		Detailed NV	
Wind		-0.96	ĵ l	-0.98		-0.89		0.01		-0.42		-0.30		-0.82	- <b>-</b>	-1.14	-0.88		-0.16		0.46	0.35	
UHI		-3.72		-4.94		-4.33		-0.43		-1.06		-0.58		-2.66		-3.44	-2.70		-0.48		-1.99	-3.14	
Adjusted height variations		-0.62		-0.60		-0.63		-0.29		-0.31		-0.22		-0.06		0.53	-0.12		1.72		5.11	1.89	
Multilayer modelling method		0.59		0.54		0.48		-0.16		0.38		0.18		0.50		0.85	0.62		0.60		0.37	0.20	
Wind speed profile exponent (0.33)		0.06		0.05		0.05		0.03		0.02		0.01		0.06		0.06	0.06	;	0.03		-0.01	0.01	
Wind speed profile boundary layer thickness (400m)		0.04		0.04		0.03		0.02		0.02		0.01		0.04		0.04	0.04		0.02		-0.01	0.00	
Air temperature gradient coefficient (0.004K/m)		0.24		0.22		0.25		0.11		0.12		0.08		-0.01		-0.27	0.02		-0.78		-2.10	-0.84	
Wind speed profile exponent (0.22)		0.17		0.15		0.16		0.09		0.07		0.04		0.17		0.19	0.19		0.08		-0.02	0.02	
Wind speed profile boundary layer thickness (370m)		0.05		0.04		0.05		0.03		0.02		0.01		0.05		0.05	0.05		0.02		-0.01	0.00	
Air temperature gradient coefficient (0.0065K/m)		0.55		0.53		0.56		0.24		0.29		0.20		-0.01		-0.61	0.04		-1.75		-5.09	-1.89	
										(a	)												
			Lo	ndon			Wellington				Beijing					Singapore							
Percentage changes (%)	HVAC		Simp NV	lified	Detailed	i NV	HVAC		Simpl NV	ified	Detaile	ed NV	HVAC		Simplifi NV		Detailed NV	HVAC		Simplif NV	ied	Detailed NV	

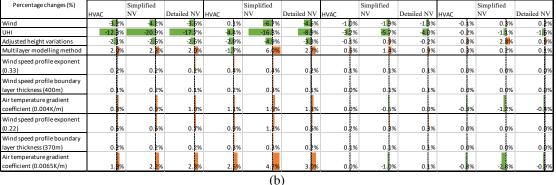


Figure 145: a) annual thermal load absolute changes b) annual thermal load percentage changes in different climate regions due to individual urban microclimate parameters

Figure 145 shows the annual absolute and percentage changes due to individual urban microclimate parameters. In London's climate and Beijing's climate, their annual absolute changes are similar. However, the percentage changes in London's climate are much bigger than those in Beijing's climate (Figure 145a). The UHI makes a more than 10% changes in London's climate, and in Wellington's climate when using the simplified natural ventilation system. The UHI should be modelled in London. In reality,

Wellington doesn't have the UHI effects. Urban winds in Wellington should be taken into consideration because there is an around 5% change due to urban winds with natural ventilation systems (Figure 145b).

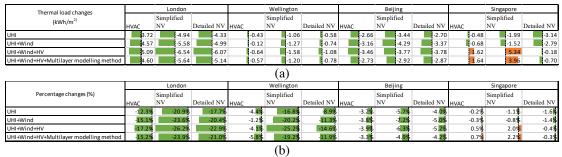


Figure 146: a) annual thermal load absolute changes b) annual thermal load percentage changes in different climate regions due to combined effects

Figure 146 shows the annual absolute and percentage changes due to the combined effects of urban microclimate parameters. The combined effects in London's climate and in Wellington's climate are notable (up to 20%). In London and Wellington, detailed urban microclimates should be modelled. However, in Beijing's climate and Singapore's climate, the combined effects are negligible using an HVAC system (Beijing less than 5%, Singapore less than 1%) and small using natural ventilation systems (Beijing less than 7.5%, Singapore less than 2.5%). Figure 146 only shows the annual results, and the relative smaller annual data does not mean that the monthly data is small In Beijing and Singapore, the level of detailed modelling should depend on the requirements of engineers and their monthly information. If they have time and require accurate results, detailed modelling is recommended.

The priority of parameters in London's climate and Wellington's climate are similar, because they both have a moderate climate. The biggest influence is from the UHI and the second one is urban wind. In a windy climate, the effects of urban winds are bigger than in other climates. In moderate climates, the adjusted height variations and multilayer modelling method, as well as suburban air temperature gradient coefficient have a similar effect on the building performance simulation.

In a continental climate, the UHI plays the most important role. The second one is urban wind and the third one is the multilayer modelling method. Other parameters have very small annual changes. However, the monthly changes in Beijing are big. Height variations of urban microclimates have opposite effects in summer and winter. Hence, they cancel each other out and annual changes are very small. In a tropical climate, the percentage changes of thermal loads are usually very small because the basic thermal loads are extremely high. The most important parameters in Singapore are the adjusted height variations and suburban air temperature gradient coefficient. The second one is the UHI. Urban winds have a very small influence in Singapore's climate.

Overall, it is worthwhile to develop a simulation system to model urban microclimate in detail under different climate conditions. The importance of each parameter varies in different climatic conditions. The effects of detailed modelling of urban microclimates also vary from season to season and are related with height. According to simulation results, engineers can use different strategies in different climates. In a moderate, developing the simulation system is recommended. In a windy climate, the effects of urban winds are bigger than in other climates. In a continental climate, there is a big difference in the monthly thermal load prediction. It helps engineers optimize the design of heating in winter and cooling in summer. In a tropical climate, air temperature gradient should be modelled. Developing the simulation system of urban microclimate helps engineers understand the effects of each parameters. The priority of parameters is not the same in different climates. Therefore, it is necessary to develop the simulation system in different climates.

# CHAPTER 9. CONCLUSIONS

# 9.1 Research Conclusions

This research aimed to explore the necessity of urban microclimate detailed modelling. The necessity is based on the size of the impact of urban microclimate detailed modelling on building performance simulation. Two goals have been achieved to address this research question:

1) Build a simulation system that can be used to report the likely impact of microclimates;

2) Make an estimate of the likely scale of the microclimate effects, based on annual simulations and accounting for the three-dimensional effects of urban environments on wind and temperature.

The research had three Phases: Phases A and B built the simulation system for the first goal; Phase C estimated the microclimate effects for the second goal.

Phase A – Review urban microclimates and modelling techniques.

Phase B – Develop a trustworthy simulation system to model the effect of urban microclimates on building performance.

Phase C – Analyse the impacts of urban microclimates on high-rise office buildings

Before developing the urban microclimate simulation system, Phase A illustrated urban microclimate parameters and prepared the appropriate modelling techniques for Phase B. Phase B modelled each urban microclimate parameter, strung the individual programs together, and then developed the simulation system. Phase C estimated the scale of urban microclimate detailed modelling effects in different climates and demonstrated the necessity of developing a simulation system to model urban microclimate in detail.

#### *Phase A – Review urban microclimates and modelling techniques.*

- What are the features of a three-dimensional urban microclimate model?
- What are the requirements for selecting appropriate modelling techniques?
- Are the modelling techniques reliable?

A systematic literature review revealed that few studies had comprehensively considered such factors as UHI, urban wind, Lapse Rate, and urban wind profile. A large number of studies focused on the influence of individual features, and some studies focused on the combined effects of two features. This research built a three-dimensional model of urban microclimates, and explored wind and temperature related features. The features were modelled together by developing a simulation system.

Urban Heat Island (UHI) and urban winds are the horizontal difference between urban and rural areas. Lapse Rate and urban wind profile are the vertical variations of urban microclimates. The UHI and Lapse Rate determine the three-dimensional temperature field in urban areas. Urban winds and urban wind profile determine the threedimensional wind field in urban areas. UHI, urban winds, Lapse Rate and urban wind profile are the features of the three-dimensional urban microclimate model studied in this research.

The research linked individual tools to develop a simulation system for comprehensive urban microclimate modelling. One reason for the lack of comprehensive studies on urban microclimates is the lack of available simulation tools. Modelling programs typically described one or two features of urban microclimate. There were only independent simulation tools, and none of them were comprehensive.

Urban Weather Generator (UWG) and ENVI-met can model urban temperature. OpenFOAM, Autodesk CFD and UrbaWind can model urban winds. UWG was used to model the UHI effects because it can predict the UHI for a whole year at hourly intervals. The outputs of UWG were the inputs of energy simulation programs. ENVImet could not meet these requirements. OpenFOAM was used instead of Autodesk CFD and UrbaWind because the difference between OpenFOAM and the wind tunnel data was smaller than that between the other two programs and the wind tunnel data. In addition to minor differences, OpenFOAM is capable of setting up flexible conditions for a wind tunnel. Defining the location of measurement points was necessary because, for detailed natural ventilation, the wind pressure coefficients on windows should be measured. However, Autodesk CFD could not be configured to define the location of measurement points. Wind profile modelling is carried out for the three-dimensional wind field. UrbaWind could not be configured to input selected inlet wind speed and turbulence profiles. Compared with Autodesk CFD and UrbaWind, OpenFOAM can define the meshing methodology and turbulence model, which is appropriate for this research.

UWG and OpenFOAM met the requirement of modelling the UHI and urban winds. Besides, they should have the compatibility. These individual programs can be linked up by the Visual Programming Language, Grasshopper. That is because Grasshopper, a plugin of Rhino which is a three-dimensional model program, provided a platform for UWG, OpenFOAM, and EnergyPlus. The three programs had corresponding Grasshopper plugins, UWG with Dragonfly, OpenFOAM with Butterfly, EnergyPlus with Ladybug and Honeybee. The platform of Grasshopper linked the three programs through their plugins. The platform made it possible to share the information among the three programs. For example, the output of UWG was the input of EnergyPlus.

UWG and OpenFOAM were picked to model urban microclimates, and EnergyPlus to predict building performance, because they could be linked via Grasshopper plugins. The reliability of these programs was verified by comparing simulation results with experimental data. The reliability of individual programs determined the feasibility of establishing urban microclimate models in a way that produced reliable predictions.

The UHI predicted by UWG is within the range of measurement data of London. Therefore, this established a level of trust of the predictions of UWG model in this study. The verification of OpenFOAM was divided into two parts: wind speeds and wind pressure coefficients. Wind speeds were associated with urban microclimate modelling, and wind pressure coefficients were associated with the detailed natural ventilation system. The weakness of wind speed modelling was the wake flow simulation. In this research, the average wind speed was taken as the urban wind speed, and the wind profile was the windward profile. Therefore, the influence of inaccurate wake flow calculation was limited. The wind pressure coefficients were related to detailed natural ventilation. Compared with wind tunnel data, the simulation results of the wind pressure coefficient were slightly underestimated. Thermal loads of the EnergyPlus model were compared with measurement data from a high-rise commercial building in Auckland. The simulation results of EnergyPlus model matched the real data well.

The research verified the reliability of the three programs. UWG and OpenFOAM were used for urban microclimate modelling. EnergyPlus was used for building performance

calculations. Dragonfly, butterfly, honeybee and ladybug are interfaces to UWG, OpenFOAM, and EnergyPlus (Section 2.3). They enabled the modelling programs to be linked together in a single system. Individual tools were prepared, and they were used as components to build the simulation system.

# Phase B – Develop a trustworthy simulation system to model the effect of urban microclimates on building performance.

- What is the case study used in this research?
- How do the horizontal parameters, vertical variations of urban microclimate and a multilayer modelling method influence the building performance prediction?
- *How does urban microclimate detailed modelling influence natural ventilation systems?*

The research took a real city and a prototypical high-rise office building as a case study. Taking a real city as the case study was because the weather information about a real city was exhaustive. Taking a prototypical high-rise office building as the case study was because it could represent common high-rise office buildings. A real high-rise office building was not used as the case study because it contains details that are too specific to be generalisable such as specific materials and irregular geometry. A real building with detailed data could not represent common high-rise office buildings. The City of London is a borough at the centre of the Greater London Metropolitan area. It was used as the case study city. The geometric data of the prototypical high-rise office building was based on the top 32 London's tallest office buildings over 100 m in 2016. The basic building performance model of the prototypical high-rise office building was based on the large office of Pacific Northwest National Laboratory.

The rural weather data collected from Gatwick Airport was the baseline. The UHI was calculated by the UWG based on the urban configuration of the City of London. This is because the Greater London is too large and most of the area is rural, and the City of London is densely populated. The City of London is in the central area and there are many high-rise office buildings there. The prototypical high-rise office building was modelled by selecting three representative floors following the NREL modelling recommendations developed for the Freedom Tower (Ellis & Torcellini, 2005).

The first stage demonstrated the importance of horizontal parameters of urban microclimate modelling. The research found that compared with rural weather data, UHI effects generated a more than 10% difference in building performance prediction, while urban winds generated a less than 5% difference. The difference expanded to around 15% when putting UHI effects and urban winds together. The influence increased with modelling precision and with height in London. The thermal load difference between rural and urban weather data for upper floors was more obvious than that for lower floors. Even a very slight influence due to urban winds increased from 2% at the bottom floor to 4% at the top floor. Compared with HVAC systems, the thermal loads with both rural and urban weather data decreased dramatically when natural ventilation systems are used. Natural ventilation efficiency was affected by urban microclimates and height. The influence of the UHI, urban winds and height on natural ventilation systems is greater than that on HVAC systems. Although the effects on HVAC systems were less than on natural ventilation systems. They were large enough that urban microclimates should be modelled in detail when using HVAC systems and natural ventilation systems.

The second stage demonstrated the necessity of modelling the vertical variations of urban microclimate. The building performance varied with height, and microclimate changed with height as well. In this research the height variations of microclimate were addressed by Wind Speed Profile Exponent, Wind Speed Profile Boundary Layer Thickness and Air Temperature Gradient Coefficient (Section 5.5.4). The effects of vertical variations were worth investigating because the results changed when importing those parameters into building performance simulation, especially at higher floors.

The research found that vertical variations had a greater impact on building performance in urban areas than in rural areas. Vertical variations of rural microclimates increased thermal load predictions slightly. However, vertical variations of urban microclimates reduced thermal load predictions. At a lower floor, the vertical variations of wind speed had a greater influence than those of temperature gradient. While at a higher floor, the air temperature gradient had a big influence on the simulation results, and the influence increases with height. The accuracy of boundary layer thickness also did not have a significant influence on building performance predictions. Hence, engineers should pay more attention the wind speed profile exponent and the boundary layer thickness when simulating building performance of a low-rise building. However, for a high-rise building, vertical variations of urban microclimates should be considered, especially the temperature gradient coefficient.

The process of analysing parameters of urban microclimate three-dimensional model was also the process of developing the simulation system. The research found that the simulation system was capable of modelling the effects of UHI, urban wind, microclimatic vertical variations, natural ventilation system and height on building performance simulation. This natural ventilation system was simplified natural ventilation and the vertical variations of microclimate were constant.

To model a specified urban microclimate just around a high-rise building, wind pressure coefficients were introduced, and they were imported into a detailed natural ventilation system. Both temperature and detailed natural ventilation were mixed-mode ventilation systems. The detailed natural ventilation system improved natural ventilation efficiency in spring and autumn, by approximately 10% to 30%. The influence of wind related features on detailed natural ventilation system was smaller than that of temperature related features.

The third stage developed a multilayer modelling method. In rural areas vertical variations of microclimates are constant. Vertical variations of urban microclimates are not constant. The inconsistent vertical variation in urban areas was divided into two layers by the urban canopy, a lower portion in the Urban Canopy Layer (UCL) and an upper portion in the Urban Boundary Layer (UBL). It is because the UBL portion is more like rural microclimates. The prototypical high-rise office building was split at the height of UCL. The portion in the UCL was around 1/4 the height of the high-rise office building, and the portion in the UBL was around 3/4 the height.

The research found microclimatic vertical variations increase thermal load predictions in the UCL and decrease thermal load predictions in the UBL. Detailed natural ventilation was more efficient in the UBL than that in the UCL. In the UCL, the multilayer modelling method had similar results to the single-layer modelling method. In the UBL, the thermal loads of the multilayer modelling method were higher than those of the single-layer modelling method in most of the year.

The multilayer urban microclimate modelling method had a bigger effect on the simulation using natural ventilation systems than that using an HVAC system. The

annual thermal load change due to the multilayer modelling method was quite small, because the increase in the UCL and the decrease in the UBL canceled each other out. It is not recommended to build a multilayer model when considering annual thermal load changes which is less than 4%. Only if there is enough time, engineers can build a multilayer urban microclimate model to help them predict and improve building performance for different floors, especially when using natural ventilation systems.

In this research, an urban microclimate simulation system was successfully developed. The goal was to determine what is the scale of the likely microclimate effects on energy performance and study the necessity of detailed modelling of each urban microclimate effect, not to present a system that precisely predicts how microclimate varies. The system was developed to study the relative effect on tall building performance of the detailed modelling of each urban microclimate effect. The goal was not to predict absolute values that could be compared to real measurements. Having identified those microclimate effects that have a significant effect on building performance in this study, a follow-on study will be needed to validate the predictions of the combined system against real measurements. The research started with modelling the UHI, urban winds, and vertical variations, then the multilayer modelling method. The comprehensive simulation system was built step by step and the urban microclimate effects on building performance were illustrated piece by piece. The urban microclimate simulation system was developed using the geometry and climate of the City of London. Then the research evaluated the case by testing the case study conclusions in the climate of other cities.

# Phase C – Analyse the impacts of urban microclimates on high-rise office buildings

- Is the impact of urban microclimate detailed modelling on building performance prediction important enough to develop a simulation system?
- What is the priority of urban microclimate parameters in different climates?

The necessity of urban microclimate detailed modelling has been proved in the climate of London. London has a moderate climate. The simulation system worked well in a moderate climate. Whether the London conclusions are robust in other climates was studied. The research also studied the effects of urban microclimate detailed modelling on windy, continental, and tropical climates. Wellington is windy and moderate. Beijing has a continental climate, and Singapore has a tropical climate. The study added case study climates for Wellington, Beijing and Singapore to the existing London case study. The weather data of the four cities were imported. The urban configuration was based on the City of London, and since the research studied the influence of climates rather than specific cities, other conditions, such as the prototypical high-rise office building and the height variations of urban microclimates were the same.

The corresponding urban microclimates of the four climate regions were modelled. The analysis of the effects of urban microclimate detailed modelling in different climates contained three parts: 1) the urban microclimate modelling precision; 2) height variation precision for a city; 3) height variations of terrain. The reason of studying the influence of suburban height variations was the uneven urban density. It was found that, in addition to the urban microclimate modelling precision, the height variations of terrain are more important than the height variation precision for the city.

The annual and monthly percentage and absolute changes in building performance predictions under different climatic conditions were compared. The combined effects produced around -25% annual change in London's climate and Wellington' climate at most. In Beijing's climate the change was around -6% and in Singapore's climate was 2.2% at most. The annual percentage changes under a moderate climate were great. Under a continental climate, the annual percentage changes were not great, but the monthly percentage changes were great. That is because urban microclimate parameters had opposite effects in summer and winter, they cancelled each other out. The monthly data is helpful for engineers to design heating and cooling strategies. Under a tropical climate, the annual percentage changes were very small, but the absolute changes were great which are useful for the capacity design of building equipment. It is necessary to model urban microclimate in detail in different climates because the effects were different and significant.

In a moderate climate and a continental climate, the biggest influence was from the UHI and the second one was urban winds. In a moderate climate, the adjusted height variations and multilayer modelling method, as well as suburban air temperature gradient coefficient had a similar effect on the building performance simulation. In a continental climate, third one was the multilayer modelling method. Other parameters have very small annual changes. The most important parameters in a tropical climate were adjusted height variations and suburban air temperature gradient coefficient. The second one was the UHI. Urban winds had very small influence on a tropical climate.

The priority of parameters was different in different climates. Hence, it is necessary to model urban microclimate in detail in different climates. Here is the second reason.

The combined effects due to several parameters were significant, while individual effects due to each parameter were small. Only the annual percentage changes due to the effects of the UHI in moderate climates were more than 10%. The effects of urban winds and multilayer modelling method in a windy climate with simplified natural ventilation were more than 5%. The effects due to urban winds in a windy climate were higher than in other climates. In moderate climates, adjusted height variations and multilayer modelling method had opposite effects. The absolute changes due to these two parameters were close, hence, they could be left out when the simulation period is limited. In a continental climate, height variations were not important when only considering annual thermal loads. In a tropical climate, the important parameters were height variations and the air temperature gradient coefficient was the most important parameter among the height variations. The microclimate modelling cannot be done systematically by simple rule of thumb adjustment, because each climate is different.

In moderate climates, urban microclimates should be modelled in detail. In windy climates, urban winds should be modelled. In continental climates, the monthly change data helps engineers optimize the design of heating in winter and cooling in summer. In tropical climate, the air temperature gradient coefficient should be modelled firstly. Developing the simulation system of urban microclimate helps engineers understand the effects of each parameters. The parameters' priorities in different climate regions are different.

In this research, an urban microclimate simulation system was developed, and the necessity of urban microclimate detailed modelling was proved. The research found that in different climates urban microclimate should be model in detail, because detailed modelling has a significant impact on building performance predictions and the priority of urban microclimates in each climate is inconsistent. The level of detailed modelling depends on the requirements of engineers. Detailed modelling is important for both mechanical and natural ventilation, and it is more important for natural ventilation systems than for an HVAC system.

# 9.2 Limitations and Future Studies

- The temperature gradient set in EnergyPlus can only be positive (air temperature decreases with height), however, in reality it sometimes can be negative (air temperature increases with height). In this study, the negative value cannot be explored due to the limitation of simulation tools. In the future, when EnergyPlus allows for a negative temperature gradient, its influence can be explored.
- 2) This research explored the influence of wind and temperature and the EnergyPlus contained the model of shading from nearby buildings on solar radiation. However, due to urban pollution, solar radiation in urban areas is different from that in rural areas. The influence of the difference in solar radiation due to urban pollution could be explored in the future.
- 3) This research demonstrated the necessity of modelling in detail. It does not necessarily demonstrate that the system makes a precise estimate, but it could be explored in the future.

In this research, the effects of detailed modelling in four climate regions are explored. The four climates are moderate, windy, extremely hot and cold, and tropical climates. The effects are different in different climates. In the future more climate regions could be explored, such as humid subtropical climate.

In Chapter 6, the research found the influence of wind speed exponent and relative boundary layer thickness on building performance simulation is significant at a lower floor. Are the vertical variations worth modelling for a middle-rise or low-rise building? What height is worth modelling? This research studied high-rise office buildings and used a 35 storied building (154 m) as a case study. The height of 154 m is high enough to be affected by vertical variations of urban microclimates. However, we do not know if a 20 storied building should model vertical variations and whether the height affected by vertical variations of urban microclimates is correlated with the height of Urban Canopy Layer. In the future, the minimum height that is affected by vertical variations could be studied.

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# **APPENDIX A. WORKFLOW**

This appendix illustrates the workflow of urban microclimate simulation system.

### A.1 Building Thermal Loads Simulation

#### A.1.1 Geometry and thermal zones

• Step1: Geometry of the building

Floor plan: 72m x 50m

Storeys: 35

Height: 154 m

Floor to floor: 4.4 m

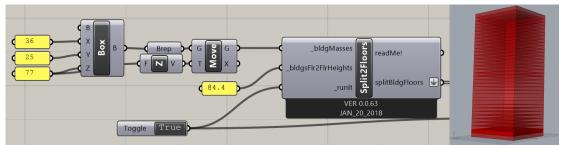


Figure A. 1 Set-up of the building geometry

• Step2: Split each floor into 5 zones

Perimeter zone depth: 5 m

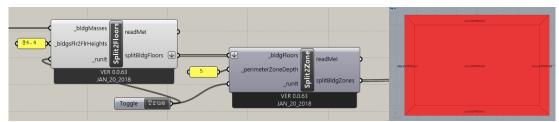


Figure A. 2 Set-up of the thermal zones

• Step3: Name each zones

Storey: 0-34

Zone: 0-4

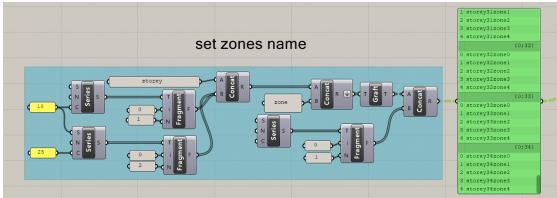


Figure A. 3 Set zones name

• Step4: Turn geometry into Honeybee Zones

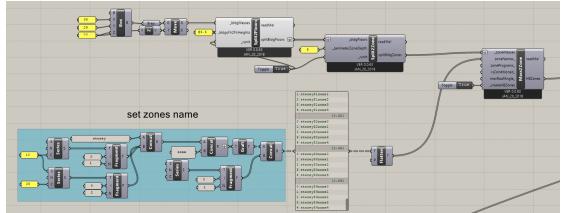


Figure A. 4 Set Honeybee Zones

• Step5: Take 3 floors 15 zones as template

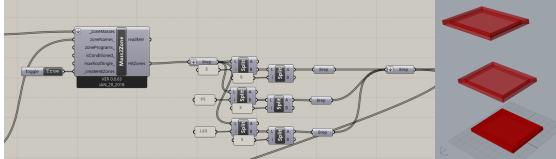


Figure A. 5 Set typical 3 floors with 15 zones

A blank line above the subheading has already been built into this template so it is not necessary to leave another blank line before headings so long as you are using the proscribed heading and subheading styles. Styles were discussed in Chapter 1 of this document.

### A.1.2 Loads, Schedule and Constructions

• Step6: Set office buildings loads and schedules

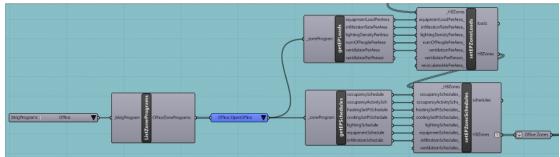


Figure A. 6 Set-up of loads and schedules

• Step7: Set glazing ratio

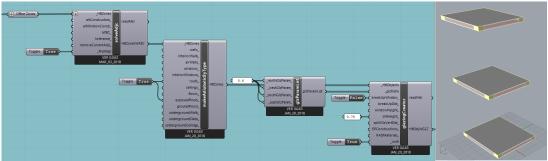


Figure A. 7 Set-up of windows

• Step8: Assign office building constructions

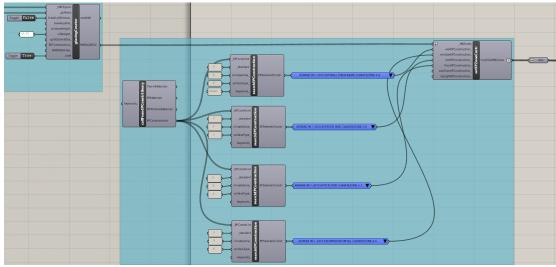


Figure A. 8 Set-up of constructions

### A.1.3 Thermal Loads

- Step9: Import weather data and vertical variations
- Step10: Run the simulation and calculate thermal loads

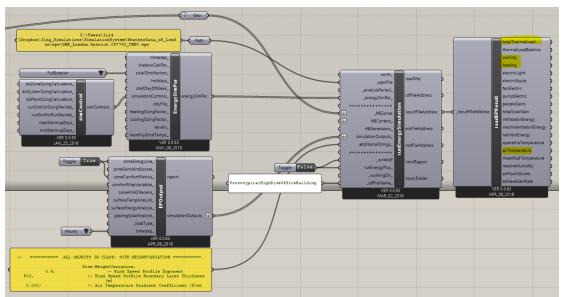


Figure A. 9 Import weather data and simulate the building performance

## A.2 Urban Microclimate Simulation

## A.2.1 Urban wind

• Step1: Geometries of the city

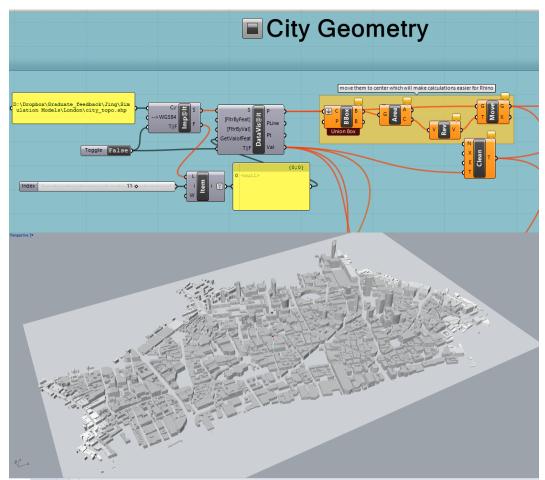


Figure A. 10 Rebuild three-dimensional model of the city

• Step2: Build wind tunnel box

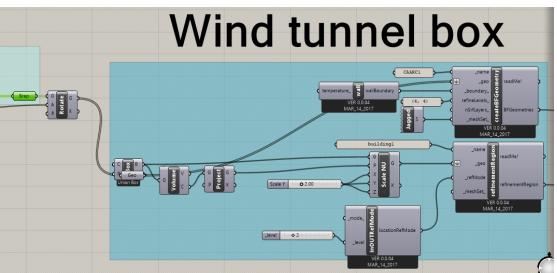


Figure A. 11 Set-up of wind tunnel box

• Step3: Grid and mesh

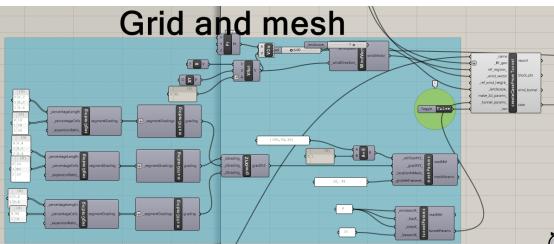


Figure A. 12 Set-up of grid and mesh

• Step4: Set test points

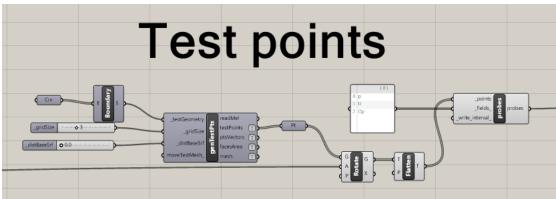


Figure A. 13 Set-up of test points

• Step5: Run the simulation and calculate urban wind speed

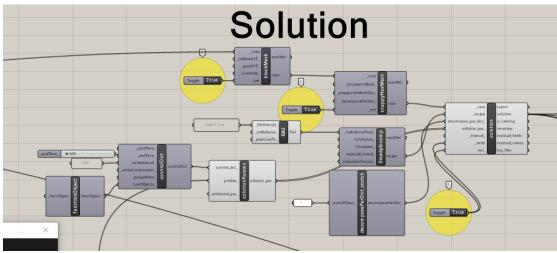


Figure A. 14 Run the wind tunnel simulation

## A.2.2 Urban Heat Island

- Step1: Geometry of the city (same as A.2.1)
- Step2: Import geometries of the city and weather file

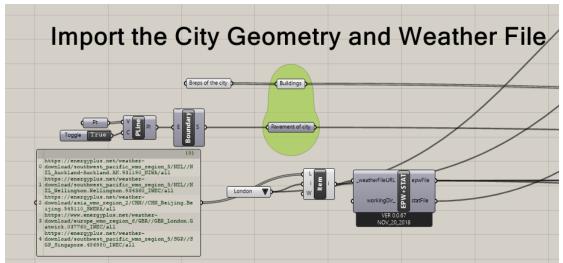


Figure A. 15 Set-up of weather data and urban configuration

• Step3: Define typologies and the city

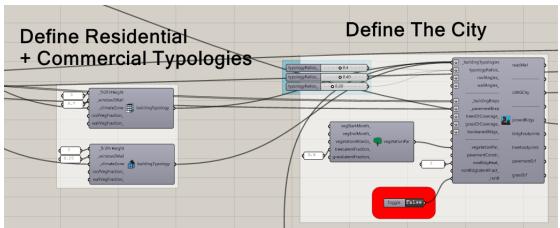


Figure A. 16 Define the parameters of the city

• Step4: Run the UWG and get the UHI weather file with epw format

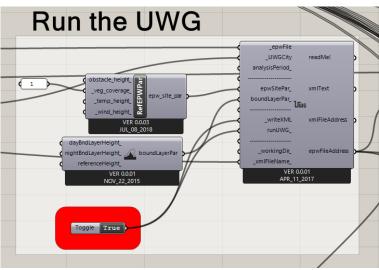


Figure A. 17 Simulate the UHI effects

### A.3 Detailed natural ventilation system

- Step1: Get wind pressure coefficients around the prototypical building (wind tunnel model similar to A.2.1)
- Step2: Import wind pressure coefficients into EnergyPlus model

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Figure A. 18 Set-up of the wind pressure coefficients of AirflowNetwork

• Step3: Simulate the building performance and calculate thermal loads (same as A.1)

## A.4 Versions of programs and plugins used in the simulation system

	OpenFOAM	Urban Weather Generator	EnergyPlus		
Version	v1812	4.1	9.	1.0	
Plugin	Butterfly	Dragonfly	Ladybug	Honeybee	
Version	VER 0.0.04 Oct_06_2018	VER 0.0.01 APR_29_2016	VER 0.0.66 Mar_03_2018	VER 0.0.63 May_18_2018	

Table A. 1 Programs and plugins for the urban microclimate simulation system